Jilla Rustom Form 4 October 24, 2017

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer

subject to Section 16. Form 4 or

Form 5 obligations may continue. See Instruction

1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*

Jilla Rustom

(Last) (First) (Middle)

C/O MSC INDUSTRIAL DIRECT CO., INC., 75 MAXESS ROAD

(Street)

(State)

2. Issuer Name and Ticker or Trading

Symbol

MSC INDUSTRIAL DIRECT CO INC [MSM]

(Month/Day/Year)

10/20/2017

4. If Amendment, Date Original

3. Date of Earliest Transaction

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)

Director

X\_ Officer (give title

Issuer

below)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

(I)

(Instr. 4)

5. Relationship of Reporting Person(s) to

(Check all applicable)

EVP & Chief Financial Officer

6. Ownership

Form: Direct

10% Owner Other (specify

7. Nature of

Ownership

(9-02)

(Instr. 4)

Indirect

(D) or Indirect Beneficial

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

**OMB** 

Number:

Expires:

response...

Estimated average

burden hours per

MELVILLE, NY US 11747

1. Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3)

(City)

(Month/Day/Year)

(Zip)

3. Code

4. Securities TransactionAcquired (A) or Disposed of (D) (Instr. 8)

(Instr. 3, 4 and 5) (A)

or

Beneficially Owned Following Reported

Securities

5. Amount of

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

Transaction(s) (Instr. 3 and 4) Code V Amount (D) Price

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Conversion

3. Transaction Date 3A. Deemed

5. Number of (Month/Day/Year) Execution Date, if TransactiorDerivative

6. Date Exercisable and **Expiration Date** 

7. Title and Amount of **Underlying Securities** 

1

#### Edgar Filing: Jilla Rustom - Form 4

| Security (Instr. 3)        | or Exercise<br>Price of<br>Derivative<br>Security |            | any<br>(Month/Day/Year) | Code (Instr. 8) | Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | (Month/Day/Year)    |                    | (Instr. 3 and 4)                                    |                                     |
|----------------------------|---|------------|-------------------------|-----------------|---|---------------------|--------------------|---|-------------------------------------|
|                            |   |            |                         | Code V          | (A) (D)   | Date<br>Exercisable | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of Shares |
| Options (right to buy) (1) | \$ 79.6   | 10/20/2017 |                         | A               | 27,551  | (2)                 | 10/19/2024         | Class A<br>Common<br>Stock,<br>\$0.001<br>par value | 27,551                              |
| Restricted<br>Stock Unit   | (3)   | 10/20/2017 |                         | A               | 5,182   | <u>(4)</u>          | <u>(4)</u>         | Class A Common Stock, \$0.001 par value             | 5,182                               |

# **Reporting Owners**

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

Jilla Rustom C/O MSC INDUSTRIAL DIRECT CO., INC. 75 MAXESS ROAD MELVILLE, NY US 11747

**EVP & Chief Financial Officer** 

### **Signatures**

/s/ Rustom Jilla 10/24/2017

\*\*Signature of Person Date

Reporting Person

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents options to purchase the Issuer's Class A Common Stock, \$0.001 par value ("Common Stock").
- An option to purchase 27,551 shares of the Issuer's Common Stock was issued to the Reporting Person under the Issuer's 2005 Omnibus (2) Incentive Plan. 6,887 shares of Common Stock become exercisable on October 20, 2018, and 6,888 shares of Common Stock become exercisable on each of October 20, 2019, October 20, 2020, and October 20, 2021.
- (3) Each RSU represents a contingent right to receive one share of the Issuer's Class A Common Stock.
- 1,036 of 5,182 RSUs granted on October 20, 2017 will vest on each of October 20, 2018, October 20, 2019, and October 20, 2020, and (4) 1,037 RSUs will vest on each of October 20, 2021, and October 20, 2022, provided that the Reporting Person remains continuously employed by the Issuer through each applicable vesting date. The vested shares will be delivered to the Reporting Person upon vesting.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2