

GERSHWIND ERIK

Form 4

October 23, 2018

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
GERSHWIND ERIK

2. Issuer Name **and** Ticker or Trading
Symbol
MSC INDUSTRIAL DIRECT CO
INC [MSM]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)
C/O MSC INDUSTRIAL DIRECT
CO., INC., 75 MAXESS ROAD

3. Date of Earliest Transaction
(Month/Day/Year)
10/19/2018

☒ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify below)
President and CEO

(Street)
MELVILLE, NY US 11747

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership Indirect Beneficial Ownership (Instr. 4)
Class A Common Stock, \$0.001 par value	10/19/2018		M	2,801	A \$ 0 ⁽¹⁾	100,234	D
Class A Common Stock, \$0.001 par value	10/19/2018		M	208.7404	A \$ 0 ⁽²⁾	100,443	D
	10/19/2018		F	0.7404 ⁽³⁾	D	100,442	D

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Class A Common Stock, \$0.001 par value					\$ 80.65		
Class A Common Stock, \$0.001 par value	10/20/2018	M	2,763	A	\$ 0 ⁽¹⁾	103,205	D
Class A Common Stock, \$0.001 par value	10/20/2018	M	71.3667	A	\$ 0 ⁽²⁾	103,276	D
Class A Common Stock, \$0.001 par value	10/20/2018	F	0.3667 ⁽³⁾	D	\$ 80.65	103,276	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)
				Code	V (A) (D)	Date Exercisable Expiration Date Date	Title Amount o Number o Shares
Restricted Stock Units (RSU)	<u>(1)</u>	10/19/2018		M	2,801	<u>(4)</u> <u>(4)</u>	Class A Common Stock, \$0.001 par value 2,801
Restricted Stock Units (RSU)	<u>(1)</u>	10/20/2018		M	2,763	<u>(5)</u> <u>(5)</u>	Class A Common Stock, \$0.001 2,763

Dividend Equivalent Units (DEU)	(2)	10/19/2018	M	208.7404	(2)	(2)	par value Class A Common Stock, \$0.001 par value	208.7404
Dividend Equivalent Units (DEU)	(2)	10/20/2018	M	71.3667	(2)	(2)	par value Class A Common Stock, \$0.001 par value	71.3667

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
GERSHWIND ERIK C/O MSC INDUSTRIAL DIRECT CO., INC. 75 MAXESS ROAD MELVILLE, NY US 11747	X		President and CEO	

Signatures

/s/ Erik
 Gershwind
 10/23/2018
 **Signature of Reporting Person Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Each RSU represents a contingent right to receive one share of Common Stock.
- (2) The dividend equivalent units accrued with respect to outstanding awards of restricted stock units (RSUs) and vest at the same time(s) as the underlying RSUs. Each dividend equivalent unit represents a contingent right to receive one share of Common Stock.
- (3) Disposition of Class A Common Stock to the Issuer solely to partially cover tax withholding obligations arising from the vesting of RSUs and DEUs.
 2,801 of 14,006 RSUs granted on October 19, 2015 vested on each of October 19, 2016, October 19, 2017, and October 19, 2018. 2,801 RSUs vest on October 19, 2019, and 2,802 vest on October 19, 2020, provided that the Reporting Person remains continuously employed by the Issuer through each applicable vesting date. The vested shares will be delivered to the Reporting Person upon vesting.
- (5) 2,763 of 13,819 RSUs granted on October 20, 2017 vested on October 20, 2018. 2,764 RSUs vest on each of October 20, 2019, October 20, 2020, October 20, 2021, and October 20, 2022, provided that the Reporting Person remains continuously employed by the Issuer through each applicable vesting date. The vested shares will be delivered to the Reporting Person upon vesting.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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