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NICHOLAS APPEL GATE CONVERTIBLE & INCOME FUND

Form NSAR-A

October 26, 2007

PAGE 1  
000 A000000 08/31/2007  
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000 F000000 Y  
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011 A000001 UBS SECURITIES LLC  
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011 C010001 STAMFORD  
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011 A000002 PRUDENTIAL SECURITIES, INC.  
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011 C010002 NEW YORK

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011 C020002 NY  
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011 A000003 H&R BLOCK FINANCIAL ADVISORS, INC.  
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011 A000004 WACHOVIA CAPITAL MARKETS, LLC  
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011 A000006 RBC DAIN RAUSCHER, INC.  
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011 A000008 ADVEST, INC.  
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012 A000001 PFPC INC.  
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012 C010001 PROVIDENCE  
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013 A000001 PRICEWATERHOUSECOOPERS LLP  
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014 A000002 DRESDNER KLEINWORT WASSERSTEIN SECURITIES LLC  
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022 A000007 BEAR STEARNS COS., INC.  
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022 A000008 MERRILL LYNCH & CO., INC.  
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022 A000009 BANK OF AMERICA CORP.  
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022 A000010 R.W. PRESSPRICH & CO., INC.  
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PAGE 7

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TITLE TREASURER & CEO