

LARSON DAVID L

Form 4

April 10, 2013

FORM 4
UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
Expires: January 31,
2005
Estimated average
burden hours per
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person *
LARSON DAVID L

2. Issuer Name **and** Ticker or Trading
Symbol
WINTRUST FINANCIAL CORP
[WTFC]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)
9700 WEST HIGGINS, 8TH
FLOOR

3. Date of Earliest Transaction
(Month/Day/Year)
02/24/2011

____ Director ____ 10% Owner
__X__ Officer (give title below) ____ Other (specify below)
EXECUTIVE VICE PRESIDENT

(Street)
ROSEMONT, IL 60018

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)
__X__ Form filed by One Reporting Person
____ Form filed by More than One Reporting
Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V	Amount	(A) or (D) Price			
Common Stock <u>(1)</u>	02/24/2011		P		4	A \$ 33.13	6,990	D	
Common Stock <u>(2)</u>	05/03/2011		F		319	D \$ 33.97	6,671	D	
Common Stock <u>(1)</u>	08/25/2011		P		4	A \$ 30.48	6,675	D	
Common Stock <u>(1)</u>	02/23/2012		P		4	A \$ 33.35	6,679	D	
Common Stock <u>(2)</u>	05/03/2012		F		319	D \$ 35.99	6,360	D	

Edgar Filing: LARSON DAVID L - Form 4

Common Stock <u>(1)</u>	08/23/2012	P	4	A	\$ 37	6,364	D
Common Stock <u>(1)</u>	02/21/2013	P	4	A	\$ 37	7,368 <u>(3)</u>	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned Following Reported Transaction (Instr. 6)
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

Reporting Owners

Reporting Owner Name / Address	Relationships
LARSON DAVID L 9700 WEST HIGGINS, 8TH FLOOR ROSEMONT, IL 60018	Director 10% Owner Officer Other EXECUTIVE VICE PRESIDENT

Signatures

/s/David A. Dykstra,
Attorney-in-fact

04/10/2013

 Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Reflects shares acquired pursuant to a broker-administered dividend re-investment program and inadvertently reported on a delayed basis.

(2)

Edgar Filing: LARSON DAVID L - Form 4

Reflects use of shares in connection with tax withholding associated with vesting of restricted stock inadvertently reported on a delayed basis.

- (3) Includes 1,000 shares acquired in a transaction reported on a Form 4 in August 2011 and inadvertently omitted from amount of securities reported as beneficially owned in subsequent filings.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.