AMAZON COM INC

Form 4

November 18, 2014

Check the if no lor subject to Section Form 4 Form 5	his box nger to 16. or Filed pu	UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,							
obligations may continue. See Instruction 1(b). Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940									
(Print or Type 1. Name and Σapolsky Γ	Address of Reporting	g Person *	Symbol		nd Ticker or	, and the second	5. Relationship of Issuer	f Reporting Pe	rson(s) to
(Last) (First) (Middle)			AMAZON COM INC [AMZN] 3. Date of Earliest Transaction (Month/Day/Year)			(Check all applicable) Director 10% Owner			
PO BOX 81226			11/15/2014			X Officer (give title Other (specify below) Senior Vice President			
CEATTI E		4. If Amendment, Date Original Filed(Month/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting			
(City)	(State)	(Zip)	Tr1	hla I Nam	Danimatina	C	Person	£ D £ .:	.ll-, O d
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	•	d Oate, if	3.		es Acquired (A)	5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect	7. Nature of Indirect Beneficial Ownership (Instr. 4)

(City)	(State)	(Zip) Tal	ble I - Non	-Derivativ	e Sec	urities Acqui	red, Disposed of	, or Beneficia	lly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired (A) Transactionor Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)			(D)	5. Amount of Securities Ownership Beneficially Form: Owned Direct (D) Following or Indirect	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common			Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)	(I) (Instr. 4)	
Stock, par value \$.01 per share	11/15/2014		M	866	A	\$ 0	4,327	D	
Common Stock, par value \$.01 per share	11/15/2014		M	305	A	\$ 0	4,632	D	
Common Stock, par	11/15/2014		M	16	A	\$ 0	4,648	D	

Edgar Filing: AMAZON COM INC - Form 4

value \$.01 per share								
Common Stock, par value \$.01 per share	11/15/2014	M	958	A	\$ 0	5,606	D	
Common Stock, par value \$.01 per share	11/17/2014	S(1)	158	D	\$ 319.5128 (2)	5,448	D	
Common Stock, par value \$.01 per share	11/17/2014	S(1)	200	D	\$ 322.035 (3)	5,248	D	
Common Stock, par value \$.01 per share	11/17/2014	S(1)	200	D	\$ 323.645 (4)	5,048	D	
Common Stock, par value \$.01 per share	11/17/2014	S(1)	300	D	\$ 326.51 (5)	4,748	D	
Common Stock, par value \$.01 per share	11/17/2014	S <u>(1)</u>	100	D	\$ 320.23	4,648	D	
Common Stock, par value \$.01 per share						71.204	I	Held by the reporting person's Amazon.com 401(k) plan account

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Edgar Filing: AMAZON COM INC - Form 4

 $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	onof		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Unit Award	\$ 0 (6)	11/15/2014		M		866	05/15/2013(7)	02/15/2018	Common Stock, par value \$.01 per share	866
Restricted Stock Unit Award	\$ 0 (6)	11/15/2014		M		305	05/15/2014(8)	02/15/2017	Common Stock, par value \$.01 per share	305
Restricted Stock Unit Award	\$ 0 (6)	11/15/2014		M		16	05/15/2014 <u>(9)</u>	02/15/2017	Common Stock, par value \$.01 per share	16
Restricted Stock Unit Award	\$ 0 (6)	11/15/2014		M		958	05/15/2014(10)	02/15/2016	Common Stock, par value \$.01 per share	958

Reporting Owners

Reporting Owner Name / Address	Relationships							
F	Director	10% Owner	Officer	Other				
Zapolsky David								
PO BOX 81226			Senior Vice President					
SEATTLE, WA 98108-1226								

Reporting Owners 3

Signatures

/s/ David Zapolsky, Senior Vice President, General Counsel & Secretary

11/18/2014

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This transaction was effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person.
- Represents the weighted average sale price. The highest price at which shares were sold was \$319.59 and the lowest price at which shares were sold was \$319.11.
- Represents the weighted average sale price. The highest price at which shares were sold was \$322.29 and the lowest price at which shares were sold was \$321.78.
- (4) Represents the weighted average sale price. The highest price at which shares were sold was \$323.76 and the lowest price at which shares were sold was \$323.53.
- (5) Represents the weighted average sale price. The highest price at which shares were sold was \$326.59 and the lowest price at which shares were sold was \$326.45.
- (6) Converts into Common Stock on a one-for-one basis.
 - This award vests based upon the following vesting schedule and the satisfaction of certain business criteria intended to qualify the award as tax-deductible compensation under Section 162(m) of the Internal Revenue Code: 604 shares on each of May 15, 2013,
- (7) August 15, 2013, and November 15, 2013; 603 shares on February 15, 2014; 866 shares on each of May 15, 2014, August 15, 2014, November 15, 2014, and February 15, 2015; 573 shares on each of May 15, 2015, August 15, 2015, November 15, 2015, and February 15, 2016; 451 shares on each of May 15, 2016, August 15, 2016, and November 15, 2016; 450 shares on February 15, 2017; 1,002 shares on each of May 15, 2017, August 15, 2017, and November 15, 2017; and 1,001 shares on February 15, 2018.
- This award vests based upon the following vesting schedule: 305 shares on each of May 15, 2014, August 15, 2014, and November 15, 2014; 303 shares on February 15, 2015; 363 shares on each of May 15, 2015, August 15, 2015, and November 15, 2015; 361 shares on February 15, 2016; 334 shares on each of May 15, 2016, August 15, 2016, and November 15, 2016; and 333 shares on February 15, 2017.
- This award vests based upon the following vesting schedule: 17 shares on May 15, 2014; 16 shares on each of August 15, 2014, (9) November 15, 2014, and February 15, 2015; 27 shares on each of May 15, 2015, August 15, 2015, and November 15, 2015; 26 shares on February 15, 2016; and 524 shares on each of May 15, 2016, August 15, 2016, November 15, 2016, and February 15, 2017.
 - This award vests based upon the following vesting schedule: 957 shares on May 15, 2014; 958 shares on each of August 15, 2014,
- (10) November 15, 2014, and February 15, 2015; 722 shares on each of May 15, 2015 and August 15, 2015; and 723 shares on each of November 15, 2015 and February 15, 2016.

Remarks:

The reporting person undertakes to provide, upon request by the staff of the SEC, the issuer, or a security holder of the issuer, Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 4