

AMAZON COM INC
Form 4
May 05, 2016

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
BEZOS JEFFREY P

(Last) (First) (Middle)

P.O. BOX 81226

(Street)

SEATTLE, WA 98108-1226

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
AMAZON COM INC [AMZN]

3. Date of Earliest Transaction
(Month/Day/Year)
05/03/2016

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☐ Director ☒ 10% Owner
☒ Officer (give title below) ☐ Other (specify below)

Chairman, CEO and President

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock, par value \$.01 per share	05/03/2016		S ⁽¹⁾	74,503 (2)	D \$ 673.8415	82,839,882	D
Common Stock, par value \$.01 per share	05/03/2016		S ⁽¹⁾	152,379 (3)	D \$ 674.7531	82,687,503	D
Common Stock, par	05/03/2016		S ⁽¹⁾	40,277 (4)	D \$ 675.6285	82,647,226	D

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value

\$.01 per
share

Common
Stock, par
value 05/03/2016
\$.01 per
share

S⁽¹⁾ 49,763 D \$ 676.6944 82,597,463 D
(5)

Common
Stock, par
value 05/03/2016
\$.01 per
share

S⁽¹⁾ 67,683 D \$ 677.6621 82,529,780 D
(6)

Common
Stock, par
value 05/03/2016
\$.01 per
share

S⁽¹⁾ 11,311 D \$ 678.7377 82,518,469 D
(7)

Common
Stock, par
value 05/03/2016
\$.01 per
share

S⁽¹⁾ 2,265 (8) D \$ 679.496 82,516,204 D

Common
Stock, par
value 05/03/2016
\$.01 per
share

G V 741 D \$ 0 82,515,463 D

Common
Stock, par
value 05/03/2016
\$.01 per
share

G⁽⁹⁾ V 2,962 D \$ 0 82,512,501 D

Common
Stock, par
value 05/04/2016
\$.01 per
share

S⁽¹⁾ 13,034 D \$ 662.6453 82,499,467 D
(10)

Common
Stock, par
value 05/04/2016
\$.01 per
share

S⁽¹⁾ 7,288 D \$ 664.5881 82,492,179 D
(11)

Common 05/04/2016
Stock, par
value

S⁽¹⁾ 55,298 D \$ 666.0706 82,436,881 D
(12)

\$.01 per
share

Common
Stock, par

value 05/04/2016

S⁽¹⁾

174,971
(13)

D

\$ 667.0632

82,261,910 D

\$.01 per
share

Common
Stock, par

value 05/04/2016

S⁽¹⁾

41,843
(14)

D

\$ 667.9339

82,220,067 D

\$.01 per
share

Common
Stock, par

value 05/04/2016

S⁽¹⁾

50,592
(15)

D

\$ 668.9279

82,169,475 D

\$.01 per
share

Common
Stock, par

value 05/04/2016

S⁽¹⁾

40,670
(16)

D

\$ 669.7722

82,128,805 D

\$.01 per
share

Common
Stock, par

value 05/04/2016

S⁽¹⁾

6,797
(17)

D

\$ 671.0108

82,122,008 D

\$.01 per
share

Common
Stock, par

value 05/04/2016

S⁽¹⁾

7,488
(18)

D

\$ 671.8944

82,114,520 D

\$.01 per
share

Common
Stock, par

value 05/04/2016

S⁽¹⁾

200

D

\$ 672.56

82,114,320 D

\$.01 per
share

Common
Stock, par

value 05/04/2016

G⁽⁹⁾

V 179

D

\$ 0

82,114,141 D

\$.01 per
share

Common
Stock, par

value 05/05/2016

S⁽¹⁾

33,211
(19)

D

\$ 667.0052

82,080,930 D

\$.01 per

share

Common
Stock, par
value 05/05/2016
\$.01 per
share

S⁽¹⁾ 25,250 D \$ 668.1129 82,055,680 D
(20)

Common
Stock, par
value 05/05/2016
\$.01 per
share

S⁽¹⁾ 41,118 D \$ 669.1741 82,014,562 D
(21)

Common
Stock, par
value 05/05/2016
\$.01 per
share

S⁽¹⁾ 31,164 D \$ 670.0697 81,983,398 D
(22)

Common
Stock, par
value 05/05/2016
\$.01 per
share

S⁽¹⁾ 6,085 D \$ 670.9863 81,977,313 D
(23)

Common
Stock, par
value 05/05/2016
\$.01 per
share

S⁽¹⁾ 4,763 D \$ 671.86 81,972,550 D
(24)

Common
Stock, par
value 05/05/2016
\$.01 per
share

S⁽¹⁾ 26,999 D \$ 673.0678 81,945,551 D
(25)

Common
Stock, par
value 05/05/2016
\$.01 per
share

S⁽¹⁾ 16,595 D \$ 674.2802 81,928,956 D
(26)

Common
Stock, par
value 05/05/2016
\$.01 per
share

S⁽¹⁾ 17,487 D \$ 674.8208 81,911,469 D
(27)

Common
Stock, par
value 05/05/2016
\$.01 per
share

S⁽¹⁾ 966 ⁽²⁸⁾ D \$ 676.1866 81,910,503 D

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
BEZOS JEFFREY P P.O. BOX 81226 SEATTLE, WA 98108-1226	X	X	Chairman, CEO and President	

Signatures

/s/ PAUL DAUBER, attorney-in-fact for Jeffrey P. Bezos, Chairman of the Board, Chief Executive Officer and President

05/05/2016

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This transaction was effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person.
- (2) Represents the weighted average sale price. The highest price at which shares were sold was \$674.16 and the lowest price at which shares were sold was \$673.17.
- (3) Represents the weighted average sale price. The highest price at which shares were sold was \$675.15 and the lowest price at which shares were sold was \$674.17.
- (4) Represents the weighted average sale price. The highest price at which shares were sold was \$676.19 and the lowest price at which shares were sold was \$675.21.
- (5)

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Represents the weighted average sale price. The highest price at which shares were sold was \$677.21 and the lowest price at which shares were sold was \$676.23.

- (6) Represents the weighted average sale price. The highest price at which shares were sold was \$678.30 and the lowest price at which shares were sold was \$677.32.
- (7) Represents the weighted average sale price. The highest price at which shares were sold was \$679.21 and the lowest price at which shares were sold was \$678.32.
- (8) Represents the weighted average sale price. The highest price at which shares were sold was \$680.05 and the lowest price at which shares were sold was \$679.46.
- (9) Contributions to non-profit organizations.
- (10) Represents the weighted average sale price. The highest price at which shares were sold was \$663.19 and the lowest price at which shares were sold was \$662.48.
- (11) Represents the weighted average sale price. The highest price at which shares were sold was \$665.02 and the lowest price at which shares were sold was \$664.05.
- (12) Represents the weighted average sale price. The highest price at which shares were sold was \$666.53 and the lowest price at which shares were sold was \$665.55.
- (13) Represents the weighted average sale price. The highest price at which shares were sold was \$667.54 and the lowest price at which shares were sold was \$666.55.
- (14) Represents the weighted average sale price. The highest price at which shares were sold was \$668.54 and the lowest price at which shares were sold was \$667.55.
- (15) Represents the weighted average sale price. The highest price at which shares were sold was \$669.50 and the lowest price at which shares were sold was \$668.55.
- (16) Represents the weighted average sale price. The highest price at which shares were sold was \$670.54 and the lowest price at which shares were sold was \$669.55.
- (17) Represents the weighted average sale price. The highest price at which shares were sold was \$671.36 and the lowest price at which shares were sold was \$670.55.
- (18) Represents the weighted average sale price. The highest price at which shares were sold was \$672.47 and the lowest price at which shares were sold was \$671.56.
- (19) Represents the weighted average sale price. The highest price at which shares were sold was \$667.50 and the lowest price at which shares were sold was \$666.51.
- (20) Represents the weighted average sale price. The highest price at which shares were sold was \$668.50 and the lowest price at which shares were sold was \$667.51.
- (21) Represents the weighted average sale price. The highest price at which shares were sold was \$669.51 and the lowest price at which shares were sold was \$668.52.
- (22) Represents the weighted average sale price. The highest price at which shares were sold was \$670.50 and the lowest price at which shares were sold was \$669.52.
- (23) Represents the weighted average sale price. The highest price at which shares were sold was \$671.52 and the lowest price at which shares were sold was \$670.53.
- (24) Represents the weighted average sale price. The highest price at which shares were sold was \$672.51 and the lowest price at which shares were sold was \$671.53.
- (25) Represents the weighted average sale price. The highest price at which shares were sold was \$673.50 and the lowest price at which shares were sold was \$672.55.
- (26) Represents the weighted average sale price. The highest price at which shares were sold was \$674.59 and the lowest price at which shares were sold was \$673.61.
- (27) Represents the weighted average sale price. The highest price at which shares were sold was \$675.54 and the lowest price at which shares were sold was \$674.63.
- (28) Represents the weighted average sale price. The highest price at which shares were sold was \$676.46 and the lowest price at which shares were sold was \$675.68.

Remarks:

The reporting person undertakes to provide, upon request by the staff of the SEC, the issuer, or a security holder of the issuer,

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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