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CONSUMER PORTFOLIO SERVICES INC

Form 3 May 19, 2014

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person * Statement CONSUMER PORTFOLIO SERVICES INC [CPSS] A Harton John Patrick (Month/Day/Year) 05/08/2014 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 19500 JAMBOREE ROAD (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) 10% Owner Director _X_ Form filed by One Reporting _X__ Officer Other Person IRVINE, CAÂ 92612 (give title below) (specify below) Form filed by More than One Sr, Vice President Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities 4. Nature of Indirect Beneficial 1. Title of Security Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) D Â Common Stock, no par value 35,250 Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

currently valid OMB control number.

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security		4. Conversion or Exercise	5. Ownership Form of	6. Nature of Indirect Beneficial Ownership	
			(Instr. 4)	·	Price of	Derivative	(Instr. 5)	
	Date	Expiration	Title	Amount or	Derivative	Security:		
	Exercisable	Date		Number of	Security	Direct (D) or Indirect		

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				Shares		(I) (Instr. 5)	
Employee stock option (right to buy)	(1)	05/07/2023	Common stock, no par value	30,000	\$ 7.97	D	Â
Employee stock option (right to buy)	(1)	05/13/2019	Common stock, no par value	30,000	\$ 0.77	D	Â
Employee stock option (right to buy)	(1)	07/16/2022	Common stock, no par value	7,000	\$ 1.94	D	Â
Employee stock option (right to buy)	(1)	04/03/2022	Common stock, no par value	18,000	\$ 1.2	D	Â
Employee stock option (right to buy)	(1)	06/03/2021	Common stock, no par value	25,000	\$ 1.03	D	Â
Employee stock option (right to buy)	(1)	04/27/2020	Common stock, no par value	12,000	\$ 1.81	D	Â
Employee stock option (right to buy)	(1)	02/01/2023	Common stock, no par value	25,000	\$ 6.86	D	Â
Employee stock option (right to buy)	05/23/2012	11/23/2021	Common stock, no par value	6,250	\$ 1.75	D	Â
Employee stock option (right to buy)	05/23/2012	11/23/2021	Common stock, no par value	2,500	\$ 1.5	D	Â
Employee stock option (right to buy)	(2)	12/30/2015	Common stock, no par value	5,000	\$ 1.5	D	Â
Employee stock option (right to buy)	(3)	10/25/2016	Common stock, no par value	10,000	\$ 1.5	D	Â
Employee stock option (right to buy)	(4)	07/30/2017	Common stock, no par value	10,000	\$ 1.5	D	Â
Employee stock option (right to buy)	(4)	01/30/2018	Common stock, no par value	10,000	\$ 1.5	D	Â
Employee stock option (right to buy)	06/10/2010	04/29/2015	Common stock, no par value	5,000	\$ 1.5	D	Â

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Employee stock option (right to buy)	(3)	02/27/2017	Common stock, no par value	2,500	\$ 1.5	D	Â
Employee stock option (right to buy)	(3)	05/22/2017	Common stock, no par value	15,000	\$ 1.5	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships					
Transfer de la companya de la compan	Director	10% Owner	Officer	Other		
Harton John Patrick 19500 JAMBOREE ROAD IRVINE, CA 92612	Â	Â	Sr, Vice President	Â		

Signatures

/s/ John P.
Harton

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Becomes or became exercisable in increments of 20% on the dates that are 9 years, 8 years, 7 years, 6 years and 5 years prior, respectively, to the expiration date.
- (2) Becomes or became exercisable as to 80% of the total on June 10, 2010, and as to the remaining increment of 20% of the total on December 30, 2010.
- (3) Becomes or became exercisable as to 60% of the total on June 10, 2010, and as to increments of 20% of the total on the dates that are 6 years and 5 years prior, respectively, to the expiration date.
- (4) Becomes or became exercisable as to 40% of the total on June 10, 2010, and as to increments of 20% of the total on the dates that are 7 years, 6 years and 5 years prior, respectively, to the expiration date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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