

Edgar Filing: DIVIDEND & INCOME FUND, INC. - Form NSAR-B

DIVIDEND & INCOME FUND, INC.

Form NSAR-B

February 14, 2012

PAGE 1
000 B000000 11/30/2011
000 C000000 0001059213
000 D000000 N
000 E000000 NF
000 F000000 Y
000 G000000 N
000 H000000 N
000 I000000 6.1
000 J000000 A
001 A000000 DIVIDEND AND INCOME FUND, INC.
001 B000000 811-08747
001 C000000 2127850400
002 A000000 11 HANOVER SQUARE
002 B000000 NEW YORK
002 C000000 NY
002 D010000 10005
003 000000 N
004 000000 N
005 000000 N
006 000000 N
007 A000000 N
007 B000000 0
007 C010100 1
007 C010200 2
007 C010300 3
007 C010400 4
007 C010500 5
007 C010600 6
007 C010700 7
007 C010800 8
007 C010900 9
007 C011000 10
008 A000001 BEXIL ADVISERS LLC
008 B000001 A
008 C000001 801-71976
008 D010001 NEW YORK
008 D020001 NY
008 D030001 10005
012 A000001 BNY MELLON INVESTMENT SERVICING (US), INC.
012 B000001 84-00000
012 C010001 WESTBORO
012 C020001 MA
012 C030001 01581
012 A000005 ILLINOIS STOCK TRANSFER COMPANY
012 B000005 84-00115
012 C010005 CHICAGO
012 C020005 IL
012 C030005 60606
013 A000001 TAIT, WELLER & BAKER LLP
013 B010001 PHILADELPHIA
013 B020001 PA
PAGE 2
013 B030001 19103
015 A000001 STATE STREET BANK & TRUST COMPANY
015 B000001 C
015 C010001 KANSAS CITY
015 C020001 MO
015 C030001 64105

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015 E010001 X
 018 000000 Y
 019 A000000 N
 019 B000000 0
 020 A000001 MERRILL LYNCH
 020 C000001 11
 020 A000002 B. RILEY & CO INC.
 020 C000002 8
 020 A000003 GOLDMAN, SACHS & CO.
 020 C000003 2
 020 A000004 JANNEY MONTGOMERY SCOTT INC.
 020 C000004 2
 020 A000005 TRADE MANAGE CAPITAL INC.
 020 C000005 2
 020 A000006 BARCLAYS CAPITAL
 020 C000006 1
 020 A000007 STATE STREET GLOBAL MARKETS
 020 C000007 1
 020 C000008 0
 020 C000009 0
 020 C000010 0
 021 000000 27
 022 A000001 STATE STREET
 022 C000001 20576
 022 D000001 10382
 022 A000002 WELLS FARGO
 022 C000002 15671
 022 D000002 18277
 022 A000003 JEFFERIES & CO.
 022 C000003 577
 022 D000003 2022
 022 A000004 CHASE SECURITIES
 022 C000004 1651
 022 D000004 309
 022 A000005 CREDIT SUISSE FIRST BOSTON
 022 C000005 915
 022 D000005 973
 022 A000006 BANK OF AMERICA
 022 C000006 25
 022 D000006 1825
 022 A000007 GOLDMAN SACHS
 022 C000007 1059
 022 D000007 273
 022 A000008 DEUTSCHE BANK SECURITIES
 022 C000008 388
 PAGE 3
 022 D000008 858
 022 A000009 STIFEL NICOLAUS & CO. INC.
 022 C000009 534
 022 D000009 184
 022 A000010 PRINCERIDGE GROUP LLC
 022 C000010 612
 022 D000010 0
 023 C000000 42008
 023 D000000 35103
 024 000000 N
 025 D000001 0
 025 D000002 0
 025 D000003 0
 025 D000004 0
 025 D000005 0
 025 D000006 0

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| 028 | F020000 | 0 |
| 028 | F030000 | 0 |
| | PAGE 4 | |
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045 000000 Y
 046 000000 Y
 047 000000 Y
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 048 J010000 0
 048 J020000 0.000
 048 K010000 0
 PAGE 5
 048 K020000 0.000
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 050 000000 N
 051 000000 N
 052 000000 N
 053 A000000 Y
 053 B000000 Y
 053 C000000 N
 054 A000000 N
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 061 000000 0
 062 A000000 N
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062 E000000 0.0
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062 G000000 0.0
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062 P000000 0.0
062 Q000000 0.0
062 R000000 0.0
063 A000000 0

PAGE 6

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066 C000000 N
066 D000000 N
066 E000000 N
066 F000000 Y
066 G000000 N
067 000000 N
068 A000000 N
068 B000000 N
069 000000 N
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070 A020000 N
070 B010000 Y
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070 N010000 Y
070 N020000 N
070 O010000 Y
070 O020000 Y
070 P010000 Y
070 P020000 N
070 Q010000 N
070 Q020000 N

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070 R010000 Y
 070 R020000 Y
 071 A000000 22057
 071 B000000 26147
 071 C000000 90212

PAGE 7

071 D000000 24
 072 A000000 12
 072 B000000 2565
 072 C000000 2966
 072 D000000 0
 072 E000000 0
 072 F000000 864
 072 G000000 67
 072 H000000 0
 072 I000000 37
 072 J000000 4
 072 K000000 27
 072 L000000 1
 072 M000000 30
 072 N000000 69
 072 O000000 1
 072 P000000 200
 072 Q000000 72
 072 R000000 36
 072 S000000 76
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 072 X000000 1501
 072 Y000000 16
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 074 G000000 0
 074 H000000 0
 074 I000000 6152
 074 J000000 0
 074 K000000 0
 074 L000000 1077
 074 M000000 271

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074 N000000 89758
 074 O000000 0
 074 P000000 92
 074 Q000000 0

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| | | |
|-----------|-----------------|------------------------------|
| 074 | R010000 | 0 |
| 074 | R020000 | 0 |
| 074 | R030000 | 0 |
| 074 | R040000 | 18337 |
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| 074 | U010000 | 16921 |
| 074 | U020000 | 0 |
| 074 | V010000 | 4.22 |
| 074 | V020000 | 0.00 |
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| 076 | 000000 | 3.46 |
| 077 | A000000 | Y |
| 077 | B000000 | Y |
| 077 | Q020000 | Y |
| 078 | 000000 | N |
| 080 | A000000 | ICI MUTUAL INSURANCE COMPANY |
| 080 | C000000 | 1950 |
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| 081 | B000000 | 5 |
| 082 | A000000 | Y |
| 082 | B000000 | 25 |
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| 086 | A020000 | 0 |
| 086 | B010000 | 0 |
| 086 | B020000 | 0 |
| 086 | C010000 | 0 |
| 086 | C020000 | 0 |
| 086 | D010000 | 0 |
| 086 | D020000 | 0 |
| 086 | E010000 | 0 |
| 086 | E020000 | 0 |
| 086 | F010000 | 0 |
| 086 | F020000 | 0 |
| 087 | A010000 | COMMON STOCK |
| 087 | A020000 | 25538A105 |
| 087 | A030000 | DNI |
| | PAGE | 9 |
| 088 | A000000 | N |
| 088 | B000000 | N |
| 088 | C000000 | N |
| 088 | D000000 | N |
| SIGNATURE | THOMAS O'MALLEY | |
| TITLE | CFO | |