

Edgar Filing: DIVIDEND & INCOME FUND - Form NSAR-B

DIVIDEND & INCOME FUND

Form NSAR-B

March 03, 2014

PAGE 1
000 B000000 12/31/2013
000 C000000 0001059213
000 D000000 N
000 E000000 NF
000 F000000 Y
000 G000000 N
000 H000000 N
000 I000000 6.1
000 J000000 A
001 A000000 DIVIDEND AND INCOME FUND
001 B000000 811-08747
001 C000000 2127850900
002 A000000 11 HANOVER SQUARE
002 B000000 NEW YORK
002 C000000 NY
002 D010000 10005
003 000000 N
004 000000 N
005 000000 N
006 000000 N
007 A000000 N
007 B000000 0
007 C010100 1
007 C010200 2
007 C010300 3
007 C010400 4
007 C010500 5
007 C010600 6
007 C010700 7
007 C010800 8
007 C010900 9
007 C011000 10
008 A000001 BEXIL ADVISERS LLC
008 B000001 A
008 C000001 801-71976
008 D010001 NEW YORK
008 D020001 NY
008 D030001 10005
012 A000001 AMERICAN STOCK TRANSFER & TRUST COMPANY, LLC
012 B000001 84-00416
012 C010001 BROOKLYN
012 C020001 NY
012 C030001 11219
013 A000001 TAIT, WELLER & BAKER LLP
013 B010001 PHILADELPHIA
013 B020001 PA
013 B030001 19103
015 A000001 STATE STREET BANK & TRUST COMPANY
015 B000001 C
015 C010001 KANSAS CITY
015 C020001 MO
PAGE 2
015 C030001 64105
015 E010001 X
018 000000 Y
019 A000000 N
019 B000000 0
020 A000001 FIDELITY BROKERAGE

Edgar Filing: DIVIDEND & INCOME FUND - Form NSAR-B

| | | |
|-----|---------|---------------------------------|
| 020 | C000001 | 35 |
| 020 | A000002 | TRADE MANAGE CAPITAL INC. |
| 020 | C000002 | 26 |
| 020 | A000003 | JP MORGAN SECURITIES INC. |
| 020 | C000003 | 11 |
| 020 | A000004 | BMO CAPITAL MARKETS CORP. |
| 020 | C000004 | 4 |
| 020 | A000005 | SCOTIA CAPITAL INC. |
| 020 | C000005 | 4 |
| 020 | A000006 | FBR CAPITAL MARKETS |
| 020 | C000006 | 3 |
| 020 | A000007 | RBC CAPITAL MARKETS |
| 020 | C000007 | 3 |
| 020 | A000008 | STATE STREET GLOBAL MARKETS LLC |
| 020 | C000008 | 0 |
| 020 | A000009 | STATE STREET |
| 020 | C000009 | 0 |
| 020 | C000010 | 0 |
| 021 | 000000 | 84 |
| 022 | A000001 | STATE STREET |
| 022 | C000001 | 30933 |
| 022 | D000001 | 30952 |
| 022 | C000002 | 0 |
| 022 | D000002 | 0 |
| 022 | C000003 | 0 |
| 022 | D000003 | 0 |
| 022 | C000004 | 0 |
| 022 | D000004 | 0 |
| 022 | C000005 | 0 |
| 022 | D000005 | 0 |
| 022 | C000006 | 0 |
| 022 | D000006 | 0 |
| 022 | C000007 | 0 |
| 022 | D000007 | 0 |
| 022 | C000008 | 0 |
| 022 | D000008 | 0 |
| 022 | C000009 | 0 |
| 022 | D000009 | 0 |
| 022 | C000010 | 0 |
| 022 | D000010 | 0 |
| 023 | C000000 | 30933 |
| 023 | D000000 | 30952 |
| 024 | 000000 | N |
| 025 | D000001 | 0 |
| 025 | D000002 | 0 |
| | PAGE | 3 |
| 025 | D000003 | 0 |
| 025 | D000004 | 0 |
| 025 | D000005 | 0 |
| 025 | D000006 | 0 |
| 025 | D000007 | 0 |
| 025 | D000008 | 0 |
| 026 | A000000 | N |
| 026 | B000000 | Y |
| 026 | C000000 | N |
| 026 | D000000 | Y |
| 026 | E000000 | N |
| 026 | F000000 | N |
| 026 | G010000 | N |
| 026 | G020000 | N |
| 026 | H000000 | Y |
| 027 | 000000 | N |

Edgar Filing: DIVIDEND & INCOME FUND - Form NSAR-B

| | | |
|-----|---------|-------|
| 028 | A010000 | 0 |
| 028 | A020000 | 0 |
| 028 | A030000 | 0 |
| 028 | A040000 | 0 |
| 028 | B010000 | 0 |
| 028 | B020000 | 0 |
| 028 | B030000 | 0 |
| 028 | B040000 | 0 |
| 028 | C010000 | 0 |
| 028 | C020000 | 0 |
| 028 | C030000 | 0 |
| 028 | C040000 | 0 |
| 028 | D010000 | 0 |
| 028 | D020000 | 0 |
| 028 | D030000 | 0 |
| 028 | D040000 | 0 |
| 028 | E010000 | 0 |
| 028 | E020000 | 0 |
| 028 | E030000 | 0 |
| 028 | E040000 | 0 |
| 028 | F010000 | 0 |
| 028 | F020000 | 0 |
| 028 | F030000 | 0 |
| 028 | F040000 | 0 |
| 028 | G010000 | 0 |
| 028 | G020000 | 0 |
| 028 | G030000 | 0 |
| 028 | G040000 | 0 |
| 028 | H000000 | 0 |
| 030 | A000000 | 0 |
| 030 | B000000 | 0.00 |
| 030 | C000000 | 0.00 |
| 031 | A000000 | 0 |
| 031 | B000000 | 0 |
| 032 | 000000 | 0 |
| | PAGE | 4 |
| 033 | 000000 | 0 |
| 035 | 000000 | 0 |
| 036 | B000000 | 0 |
| 038 | 000000 | 0 |
| 042 | A000000 | 0 |
| 042 | B000000 | 0 |
| 042 | C000000 | 0 |
| 042 | D000000 | 0 |
| 042 | E000000 | 0 |
| 042 | F000000 | 0 |
| 042 | G000000 | 0 |
| 042 | H000000 | 0 |
| 043 | 000000 | 0 |
| 044 | 000000 | 0 |
| 045 | 000000 | Y |
| 046 | 000000 | N |
| 047 | 000000 | Y |
| 048 | 000000 | 0.950 |
| 048 | A010000 | 0 |
| 048 | A020000 | 0.000 |
| 048 | B010000 | 0 |
| 048 | B020000 | 0.000 |
| 048 | C010000 | 0 |
| 048 | C020000 | 0.000 |
| 048 | D010000 | 0 |
| 048 | D020000 | 0.000 |

Edgar Filing: DIVIDEND & INCOME FUND - Form NSAR-B

| | | |
|-----|---------|-------|
| 048 | E010000 | 0 |
| 048 | E020000 | 0.000 |
| 048 | F010000 | 0 |
| 048 | F020000 | 0.000 |
| 048 | G010000 | 0 |
| 048 | G020000 | 0.000 |
| 048 | H010000 | 0 |
| 048 | H020000 | 0.000 |
| 048 | I010000 | 0 |
| 048 | I020000 | 0.000 |
| 048 | J010000 | 0 |
| 048 | J020000 | 0.000 |
| 048 | K010000 | 0 |
| 048 | K020000 | 0.000 |
| 049 | 000000 | N |
| 050 | 000000 | N |
| 051 | 000000 | N |
| 052 | 000000 | N |
| 053 | A000000 | N |
| 054 | A000000 | N |
| 054 | B000000 | N |
| 054 | C000000 | N |
| 054 | D000000 | N |
| 054 | E000000 | N |
| 054 | F000000 | N |
| | PAGE | 5 |
| 054 | G000000 | Y |
| 054 | H000000 | Y |
| 054 | I000000 | N |
| 054 | J000000 | N |
| 054 | K000000 | N |
| 054 | L000000 | N |
| 054 | M000000 | Y |
| 054 | N000000 | N |
| 054 | O000000 | N |
| 055 | A000000 | Y |
| 055 | B000000 | Y |
| 056 | 000000 | N |
| 057 | 000000 | N |
| 058 | A000000 | N |
| 059 | 000000 | Y |
| 060 | A000000 | Y |
| 060 | B000000 | Y |
| 061 | 000000 | 0 |
| 062 | A000000 | N |
| 062 | B000000 | 0.0 |
| 062 | C000000 | 0.0 |
| 062 | D000000 | 0.0 |
| 062 | E000000 | 0.0 |
| 062 | F000000 | 0.0 |
| 062 | G000000 | 0.0 |
| 062 | H000000 | 0.0 |
| 062 | I000000 | 0.0 |
| 062 | J000000 | 0.0 |
| 062 | K000000 | 0.0 |
| 062 | L000000 | 0.0 |
| 062 | M000000 | 0.0 |
| 062 | N000000 | 0.0 |
| 062 | O000000 | 0.0 |
| 062 | P000000 | 0.0 |
| 062 | Q000000 | 0.0 |
| 062 | R000000 | 0.0 |

Edgar Filing: DIVIDEND & INCOME FUND - Form NSAR-B

| | | |
|-----|---------|--------|
| 063 | A000000 | 0 |
| 063 | B000000 | 0.0 |
| 066 | A000000 | Y |
| 066 | B000000 | N |
| 066 | C000000 | N |
| 066 | D000000 | N |
| 066 | E000000 | N |
| 066 | F000000 | Y |
| 066 | G000000 | N |
| 067 | 000000 | N |
| 068 | A000000 | N |
| 068 | B000000 | N |
| 069 | 000000 | N |
| 070 | A010000 | Y |
| 070 | A020000 | N |
| | PAGE | 6 |
| 070 | B010000 | Y |
| 070 | B020000 | N |
| 070 | C010000 | Y |
| 070 | C020000 | N |
| 070 | D010000 | Y |
| 070 | D020000 | N |
| 070 | E010000 | Y |
| 070 | E020000 | N |
| 070 | F010000 | Y |
| 070 | F020000 | N |
| 070 | G010000 | Y |
| 070 | G020000 | N |
| 070 | H010000 | Y |
| 070 | H020000 | N |
| 070 | I010000 | Y |
| 070 | I020000 | N |
| 070 | J010000 | Y |
| 070 | J020000 | Y |
| 070 | K010000 | Y |
| 070 | K020000 | Y |
| 070 | L010000 | Y |
| 070 | L020000 | Y |
| 070 | M010000 | Y |
| 070 | M020000 | Y |
| 070 | N010000 | Y |
| 070 | N020000 | Y |
| 070 | O010000 | Y |
| 070 | O020000 | Y |
| 070 | P010000 | Y |
| 070 | P020000 | N |
| 070 | Q010000 | N |
| 070 | Q020000 | N |
| 070 | R010000 | Y |
| 070 | R020000 | N |
| 071 | A000000 | 85346 |
| 071 | B000000 | 58587 |
| 071 | C000000 | 129822 |
| 071 | D000000 | 45 |
| 072 | A000000 | 12 |
| 072 | B000000 | 957 |
| 072 | C000000 | 3929 |
| 072 | D000000 | 0 |
| 072 | E000000 | 3 |
| 072 | F000000 | 1233 |
| 072 | G000000 | 185 |
| 072 | H000000 | 0 |

Edgar Filing: DIVIDEND & INCOME FUND - Form NSAR-B

072 I000000 12
 072 J000000 31
 072 K000000 10
 072 L000000 63
 072 M000000 38

PAGE 7

072 N000000 50
 072 O000000 0
 072 P000000 168
 072 Q000000 77
 072 R000000 37
 072 S000000 162
 072 T000000 0
 072 U000000 0
 072 V000000 32
 072 W000000 52
 072 X000000 2151
 072 Y000000 0
 072 Z000000 2738
 072AA000000 14323
 072BB000000 0
 072CC010000 13395
 072CC020000 0
 072DD010000 10921
 072DD020000 0
 072EE000000 0
 073 A010000 1.1600
 073 A020000 0.0000
 073 B000000 0.0000
 073 C000000 0.4700
 074 A000000 0
 074 B000000 0
 074 C000000 0
 074 D000000 8597
 074 E000000 1760
 074 F000000 150256
 074 G000000 0
 074 H000000 0
 074 I000000 8749
 074 J000000 0
 074 K000000 0
 074 L000000 724
 074 M000000 34
 074 N000000 170120
 074 O000000 264
 074 P000000 182
 074 Q000000 0
 074 R010000 0
 074 R020000 0
 074 R030000 0
 074 R040000 21593
 074 S000000 0
 074 T000000 148081
 074 U010000 8610
 074 U020000 0
 074 V010000 17.20
 074 V020000 0.00

PAGE 8

074 W000000 0.0000
 074 X000000 64
 074 Y000000 0
 075 A000000 0

Edgar Filing: DIVIDEND & INCOME FUND - Form NSAR-B

075 B000000 114166
076 000000 15.11
077 A000000 Y
077 B000000 Y
077 Q020000 Y
077 Q030000 Y
078 000000 N
080 A000000 ICI MUTUAL INSURANCE COMPANY
080 C000000 1500
081 A000000 Y
081 B000000 11
082 A000000 Y
082 B000000 25
083 A000000 N
083 B000000 0
084 A000000 N
084 B000000 0
085 A000000 Y
085 B000000 N
086 A010000 2529
086 A020000 34449
086 B010000 0
086 B020000 0
086 C010000 0
086 C020000 0
086 D010000 0
086 D020000 0
086 E010000 0
086 E020000 0
086 F010000 0
086 F020000 0
087 A010000 SHARES OF BENEFICIAL OWNERSHIP
087 A020000 25538A204
087 A030000 DNI
088 A000000 N
088 B000000 N
088 C000000 N
088 D000000 N
SIGNATURE THOMAS O'MALLEY
TITLE CFO