#### Edgar Filing: SIGMATRON INTERNATIONAL INC - Form 4

SIGMATRON INTERNATIONAL INC Form 4 January 10, 2014 OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Frauendorfer Linda K Issuer Symbol SIGMATRON INTERNATIONAL (Check all applicable) INC [SGMA] (Last) (First) (Middle) 3. Date of Earliest Transaction \_X\_ Director 10% Owner X\_Officer (give title Other (specify (Month/Day/Year) below) below) 2201 LANDMEIER ROAD 01/08/2014 Chief Financial Officer (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting ELK GROVE VILLAGE, IL 60007 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired 5. Amount of 6. 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of (D) Securities Ownership Indirect (Instr. 3) any Code (Instr. 3, 4 and 5) Beneficially Form: Direct Beneficial Ownership (Month/Day/Year) Owned (D) or (Instr. 8) Following Indirect (I) (Instr. 4) Reported (Instr. 4) (A) Transaction(s) or (Instr. 3 and 4) Code V Amount (D) Price Common 01/08/2014 01/08/2014 Ρ 1.653 A \$ 3.6 1.653 D Stock (1) Common 01/09/2014 01/09/2014 Р 4,347 \$3.6 4,347 D А Stock (2) \$ Common 01/08/2014 01/08/2014 S 1.653 D 5.7181 0 D Stock (3) \$ Common 01/09/2014 S 5.6634 0 D 01/09/2014 4,347 D Stock (4)

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transacti<br>Code<br>(Instr. 8) | 5. Number<br>prof Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed of<br>(D)<br>(Instr. 3, 4,<br>and 5) |       | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                    | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) |  |
|---|---|---|---|---------------------------------------|---|-------|--|--------------------|---|--|
|   |   |   |   | Code V                                | (A)   | (D)   | Date<br>Exercisable  | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of<br>Shares |
| Stock<br>Option<br>(Right to<br>Buy)                | \$ 3.6  | 01/08/2014                              | 01/08/2014  | X                                     |   | 1,653 | 01/08/2014   | 07/18/2022         | Common<br>Stock   | 1,653                                  |
| Stock<br>Option<br>(Right to<br>Buy)                | \$ 3.6  | 01/09/2014                              | 01/09/2014  | Х                                     |   | 4,347 | 01/09/2014   | 07/18/2022         | Common<br>Stock   | 4,347                                  |

### **Reporting Owners**

| Reporting Owner Name / Address   | Relationships |           |                         |       |  |  |  |
|--|---------------|-----------|-------------------------|-------|--|--|--|
|  | Director      | 10% Owner | Officer                 | Other |  |  |  |
| Frauendorfer Linda K<br>2201 LANDMEIER ROAD<br>ELK GROVE VILLAGE, IL 60007 | Х             |           | Chief Financial Officer |       |  |  |  |
| Signatures   |               |           |                         |       |  |  |  |
| /s/Linda   |               |           |                         |       |  |  |  |

K.Frauendorfer 01/10/2014 \*\*Signature of Reporting Date Person Date

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

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- (1) Acquired pursuant to the exercise of 1,653 Stock Options on the same date. See below.
- (2) Acquired pursuant to the exercise of 4,347 Stock Options on the same date. See below.
- (3) Average price at which the shares of Common Stock were sold.
- (4) Average price at which the shares of Common Stock were sold.
- (5) 25,000 Stock Options were previously sold in a tender offer on or about 10/01/2013 in a transaction exempted pursuant to Rule 16b-3.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.