SunOpta Inc. Form 8-K November 09, 2016

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

# FORM 8-K

# CURRENT REPORT PURSUANT TO SECTION 13 OR 15(d) OF THE SECURITIES EXCHANGE ACT OF 1934

Date of Report (Date of earliest event reported): November 9, 2016

# **SUNOPTA INC.**

(Exact name of registrant as specified in its charter)

#### **Canada**

(State or other jurisdiction of incorporation)

#### <u>001-34198</u>

 $(Commission\ File\ Number)$ 

### Not Applicable

(IRS Employer Identification No.)

### 2233 Argentia Road, Suite 401 Mississauga, Ontario, L5N 2X7, Canada

(Address of Principal Executive Offices)

#### (905) 821-9669

(Registrant's telephone number, including area code)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously	satisfy the filing obligation of
the registrant under any of the following provisions:	

[	] Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
[	] Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
[	] Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
[	] Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

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#### ITEM 2.02 RESULTS OF OPERATIONS AND FINANCIAL CONDITION.

On November 9, 2016, SunOpta Inc. (the Company) issued a press release announcing financial results for the quarter ended October 1, 2016. A copy of the press release is furnished as Exhibit 99.1 to this Current Report on Form 8-K.

The information in Item 2.02 of this Current Report, including but not limited to Exhibit 99.1 attached hereto, is furnished and shall not be deemed filed for purposes of Section 18 of the Securities Exchange Act of 1934, as amended (the Exchange Act ), or otherwise subject to the liabilities of that section, nor shall such information be deemed incorporated by reference in any filing under the Securities Act of 1933, as amended, or the Exchange Act, except as shall be expressly set forth by specific reference in such filing.

#### ITEM 9.01. FINANCIAL STATEMENTS AND EXHIBITS.

(d) Exhibits

The list of exhibits in the Exhibit Index is incorporated herein by reference.

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#### **SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

SUNOPTA INC.

By: /s/ Robert McKeracher Robert McKeracher

Vice President and Chief Financial Officer

Date: November 9, 2016

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# **EXHIBIT INDEX**

Exhibit No.	<u>Description</u>
<u>99.1</u>	Press Release, dated November 9, 2016, announcing financial results for the quarter ended October 1, 2016.