

Edgar Filing: Fortress Investment Group LLC - Form 13F-HR

Fortress Investment Group LLC
Form 13F-HR
November 16, 2009

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Form 13F

Form 13F COVER PAGE

Report for the Calendar Year or Quarter Ended: September 30, 2009

Check here if Amendment ☐; Amendment Number:
This Amendment (Check only one.): ☐ is a restatement.
☐ adds new holdings entries.

Institutional Investment Manager Filing this Report:

Name: Fortress Investment Group LLC
Address: 1345 Avenue of the Americas, 46th Flr.
New York, NY 10105

13F File Number: 28-12421

The institutional investment manager filing this report and the person by whom it is signed hereby represent that the person signing the report is authorized to submit it, that all information contained herein is true, correct and complete, and that it is understood that all required items, statements, schedules, lists, and tables, are considered integral parts of this form.

Person Signing this Report on Behalf of Reporting Manager:

Name: David Brooks
Title: Secretary, VP and General Counsel
Phone: 212-798-6100

Signature, Place, and Date of Signing:

/s/ David Brooks New York, NY November 16, 2009

Report Type (Check only one.):

☒ 13F HOLDINGS REPORT.
☐ 13F NOTICE.
☐ 13F COMBINATION REPORT.

FORM 13F SUMMARY PAGE

Report Summary:

Number of Other Included Managers: 8
Form13F Information Table Entry Total: 113
Form13F Information Table Value Total: \$1,627,928 (thousands)
List of Other Included Managers:

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Provide a numbered list of the name(s) and Form 13F file number(s) of all institutional managers with respect to which this report is filed, other than the manager filing this report.

No.	13F File Number	Name
1	28-12421	Fortress Investment Group LLC
2	28-12417	FIG Corp
3	28-12418	Fortress Operating Entity I LP
4	28-10806	FIG LLC
5	28-10807	Drawbridge Global Macro Advisors LLC
6	28-11237	Drawbridge Special Opportunities Advisors LLC
7	28-10162	FIG Advisors LLC
8	28-13249	Fortress Commodities Advisors LLC
9	28-13498	Fortress Macro Advisors LLC

FORM 13F INFORMATION TABLE									
NAME OF ISSUER	TITLE OF CLASS	CUSIP	VALUE (x\$1000)	SHARES/ PRN AMT	SH/ PRN	PUT/ CALL	INVSMT DSCRETN	OTH MANA	
AIRCASTLE LTD	COM	G0129K104	2764	285875	SH		DEFINED	1	2
AIRCASTLE LTD	COM	G0129K104	210323	21750002	SH		DEFINED	1	2
AIRCASTLE LTD	COM	G0129K104	35054	3625000	SH		DEFINED	1	2
AIRCASTLE LTD	COM	G0129K104	37712	3900000	SH		DEFINED	1	2
ALCOA INC	COM	013817101	853	65000	SH		DEFINED	1	2
ANGLOGOLD ASHANTI LTD	SPONSORED ADR	035128206	4253	104334	SH		DEFINED	1	2
AVIS BUDGET GROUP	COM	053774105	1336	100000	SH	PUT	DEFINED	1	2
AVON PRODS INC	COM	054303102	968	28500	SH	PUT	DEFINED	1	2
BANK OF AMERICA CORPORATION	COM	060505104	8750	500000	SH	CALL	DEFINED	1	2
BARRICK GOLD CORP	COM	067901108	1690	44600	SH		DEFINED	1	2
BARRICK GOLD CORP	COM	067901108	6269	165400	SH		DEFINED	1	2
BARRICK GOLD CORP	COM	067901108	17055	450000	SH	CALL	DEFINED	1	2
BJS WHOLESALE CLUB INC	COM	05548J106	6969	192400	SH		DEFINED	1	2
BOYD GAMING CORP	COM	103304101	1854	156200	SH	PUT	DEFINED	1	2
BROOKDALE SR LIVING INC	COM	112463104	937487	51709177	SH		DEFINED	1	2
BROOKDALE SR LIVING INC	COM	112463104	2267	125000	SH		DEFINED	1	2
BROOKDALE SR LIVING INC	COM	112463104	14981	826292	SH		DEFINED	1	2
BRUNSWICK CORP	COM	117043109	149	12412	SH		DEFINED	1	2
BRUNSWICK CORP	COM	117043109	15973	1333300	SH	PUT	DEFINED	1	2
C H ROBINSON WORLDWIDE INC	COM NEW	12541W209	982	17000	SH	PUT	DEFINED	1	2
CAPITOL ACQUISITION CORP DEL	*W EXP 11/08/201	14055E112	450	750000	SH		DEFINED	1	2
CARNIVAL CORP	PAIRED CTF	143658300	666	20000	SH	CALL	DEFINED	1	2
CARNIVAL CORP	PAIRED CTF	143658300	5325	160000	SH	PUT	DEFINED	1	2
CHESAPEAKE ENERGY CORP	COM	165167107	2272	80000	SH	CALL	DEFINED	1	2
CHESAPEAKE ENERGY CORP	COM	165167107	2272	80000	SH	PUT	DEFINED	1	2
CHINA HLDGS ACQUISITION CORP	*W EXP 11/16/201	16942N114	318	990000	SH		DEFINED	1	2
DANA HOLDING CORP	COM	235825205	6624	972625	SH		DEFINED	1	2
DIAMOND OFFSHORE DRILLING IN	COM	25271C102	2959	30975	SH		DEFINED	1	2
EBAY INC	COM	278642103	6556	277700	SH		DEFINED	1	2
ENTERPRISE ACQUISITION CORP	*W EXP 11/07/201	29365R116	150	500000	SH		DEFINED	1	2
FEDEX CORP	COM	31428X106	2256	29500	SH	PUT	DEFINED	1	2
FORD MTR CO DEL	COM PAR \$0.01	345370860	123	17000	SH		DEFINED	1	2
FORD MTR CO DEL	COM PAR \$0.01	345370860	2163	300000	SH	PUT	DEFINED	1	2
GAP INC DEL	COM	364760108	6739	314900	SH		DEFINED	1	2
GENCO SHIPPING & TRADING LTD	SHS	Y2685T107	312	15000	SH	PUT	DEFINED	1	2
GENERAL ELECTRIC CO	COM	369604103	4401	268000	SH	PUT	DEFINED	1	2
GENESIS LEASE LTD	ADR	37183T107	2015	225200	SH		DEFINED	1	2
GLOBAL BRANDS ACQUISITION CO	*W EXP 12/06/201	378982110	300	1500000	SH		DEFINED	1	2

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GLOBAL CONSUMER ACQST CORP	*W EXP 11/27/201	378983118	418	575500	SH	DEFINED	1	2	
GOLD FIELDS LTD NEW	SPONSORED ADR	38059T106	2783	202000	SH	DEFINED	1	2	
GOLDEN POND HEALTHCARE INC	*W EXP 11/06/201	38116J117	3	750000	SH	DEFINED	1	2	
GOOGLE INC	CL A	38259P508	7438	15000	SH	DEFINED	1	2	
GRAINGER W W INC	COM	384802104	18766	210000	SH	PUT	DEFINED	1	2
HALLIBURTON CO	COM	406216101	336	12400	SH	DEFINED	1	2	
HALLIBURTON CO	COM	406216101	1427	52600	SH	DEFINED	1	2	
HASBRO INC	COM	418056107	6205	223600	SH	DEFINED	1	2	
IDEATION ACQUISITION CORP	*W EXP 11/19/201	451665111	412	250000	SH	DEFINED	1	2	
INTL PAPER CO	COM	460146103	489	22000	SH	DEFINED	1	2	
ITT EDUCATIONAL SERVICES INC	COM	45068B109	1380	12500	SH	PUT	DEFINED	1	2
KB HOME	COM	48666K109	1993	120000	SH	DEFINED	1	2	
KB HOME	COM	48666K109	1593	95900	SH	CALL	DEFINED	1	2
KB HOME	COM	48666K109	6712	404100	SH	CALL	DEFINED	1	2
KROGER CO	COM	501044101	32797	1589000	SH	DEFINED	1	2	
LIBERTY ACQUISITION HLDGS CO	*W EXP 12/12/201	53015Y115	203	336900	SH	DEFINED	1	2	
LIBERTY ACQUISITION HLDGS CO	COM	53015Y107	454	33191	SH	DEFINED	1	2	
LOWES COS INC	COM	548661107	6056	289200	SH	DEFINED	1	2	
MACYS INC	COM	55616P104	1829	100000	SH	PUT	DEFINED	1	2
MARKET VECTORS ETF TR	GOLD MINER ETF	57060U100	2962	65400	SH	DEFINED	1	2	
MARKET VECTORS ETF TR	GOLD MINER ETF	57060U100	10399	229600	SH	DEFINED	1	2	
MASCO CORP	COM	574599106	996	77100	SH	DEFINED	1	2	
MCDONALDS CORP	COM	580135101	6340	111100	SH	DEFINED	1	2	
MGM MIRAGE	COM	552953101	12613	1039000	SH	PUT	DEFINED	1	2
MOHAWK INDS INC	COM	608190104	477	10000	SH	CALL	DEFINED	1	2
MOHAWK INDS INC	COM	608190104	1192	25000	SH	PUT	DEFINED	1	2
NABORS INDUSTRIES LTD	SHS	G6359F103	297	14200	SH	DEFINED	1	2	
NABORS INDUSTRIES LTD	SHS	G6359F103	1208	57800	SH	DEFINED	1	2	
NAVIOS MARITIME ACQUIS CORP	*W EXP 06/25/201	Y62159119	912	1250000	SH	DEFINED	1	2	
NEWCASTLE INVT CORP	COM	65105M108	3046	1025729	SH	DEFINED	1	2	
NEWCASTLE INVT CORP	COM	65105M108	569	191600	SH	DEFINED	1	2	
NEWS CORP	CL A	65248E104	6684	557500	SH	DEFINED	1	2	
NORTHWESTERN CORP	COM NEW	668074305	20652	845347	SH	DEFINED	1	2	
NUCOR CORP	COM	670346105	1175	25000	SH	CALL	DEFINED	1	2
NUCOR CORP	COM	670346105	1175	25000	SH	PUT	DEFINED	1	2
ODYSSEY MARINE EXPLORATION I	COM	676118102	1790	962502	SH	DEFINED	1	2	
OIL SVC HOLDRS TR	DEPOSTRY RCPT	678002106	1961	16700	SH	DEFINED	1	2	
OIL SVC HOLDRS TR	DEPOSTRY RCPT	678002106	8020	68300	SH	DEFINED	1	2	
PACCAR INC	COM	693718108	2278	60000	SH	PUT	DEFINED	1	2
PARKER HANNIFIN CORP	COM	701094104	389	7500	SH	DEFINED	1	2	
PARKER HANNIFIN CORP	COM	701094104	1384	26700	SH	DEFINED	1	2	
PAYCHEX INC	COM	704326107	726	25000	SH	PUT	DEFINED	1	2
PEABODY ENERGY CORP	COM	704549104	372	10000	SH	DEFINED	1	2	
PEABODY ENERGY CORP	COM	704549104	1526	41000	SH	DEFINED	1	2	
PENN NATL GAMING INC	COM	707569109	4353	157377	SH	DEFINED	1	2	
POLARIS INDS INC	COM	731068102	619	15000	SH	PUT	DEFINED	1	2
POWERSHARES QQQ TRUST	UNIT SER 1	73935A104		510300	SH	PUT	DEFINED	1	2
PROSPECT ACQUISITION CORP	*W EXP 11/14/201	74347T111	569	924800	SH	DEFINED	1	2	
RALCORP HLDGS INC NEW	COM	751028101	5689	97300	SH	DEFINED	1	2	
SAKS INC	COM	79377W108	134	19600	SH	DEFINED	1	2	
SAKS INC	COM	79377W108	1364	200000	SH	PUT	DEFINED	1	2
SAPPHIRE INDUSTRIALS CORP	*W EXP 01/17/201	80306T117	416	1000000	SH	DEFINED	1	2	
SCHLUMBERGER LTD	COM	806857108	811	13600	SH	DEFINED	1	2	
SCHLUMBERGER LTD	COM	806857108	3361	56400	SH	DEFINED	1	2	
SLM CORP	COM	78442P106	248	28400	SH	DEFINED	1	2	
SLM CORP	COM	78442P106	24237	2779500	SH	PUT	DEFINED	1	2
SMITHFIELD FOODS INC	COM	832248108	8244	597400	SH	PUT	DEFINED	1	2
SPDR SERIES TRUST	S&P RETAIL ETF	78464A714	5841	170000	SH	PUT	DEFINED	1	2
SPDR TR	UNIT SER 1	78462F103		219000	SH	PUT	DEFINED	1	2
SPX CORP	COM	784635104	432	7050	SH	DEFINED	1	2	
SPX CORP	COM	784635104	1623	26495	SH	DEFINED	1	2	
STARWOOD HOTELS&RESORTS WRLD	COM	85590A401	727	22000	SH	DEFINED	1	2	

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TRANSOCEAN LTD	REG SHS	H8817H100	1009	11800	SH	DEFINED	1	2	
TRANSOCEAN LTD	REG SHS	H8817H100	4123	48200	SH	DEFINED	1	2	
TREMISIS ENERGY ACQ CORP II	*W EXP 12/05/201	89472N119	220	1100000	SH	DEFINED	1	2	
TRIAN ACQUISITION I CORP	*W EXP 01/23/201	89582E116	371	798200	SH	DEFINED	1	2	
TRIPLECROWN ACQUISITION CORP	*W EXP 10/22/201	89677G117	243	2559500	SH	DEFINED	1	2	
UNITED REFINING ENERGY CORP	*W EXP 12/11/201	911360113	448	1600000	SH	DEFINED	1	2	
UNITED STATES STL CORP NEW	COM	912909108	471	10620	SH	DEFINED	1	2	
UNITED STATES STL CORP NEW	COM	912909108	1562	35200	SH	DEFINED	1	2	
UNITED STATES STL CORP NEW	COM	912909108	2844	64100	SH	CALL	DEFINED	1	2
UNITED STATES STL CORP NEW	COM	912909108	11576	260900	SH	CALL	DEFINED	1	2
WAL MART STORES INC	COM	931142103	5699	116100	SH	DEFINED	1	2	
WILLBROS GROUP INC DEL	COM	969203108	691	45350	SH	DEFINED	1	2	
YUM BRANDS INC	COM	988498101	5976	177000	SH	DEFINED	1	2	