

ARGAN INC
Form 4
October 06, 2016

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Getsinger Peter W

(Last) (First) (Middle)

35 SNOW'S POINT

(Street)

EDGARTOWN, MA 02539

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
ARGAN INC [AGX]

3. Date of Earliest Transaction
(Month/Day/Year)
10/04/2016

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)

Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
| Common Stock | | | | | 12,935 | D | |
| Common Stock | 10/04/2016 | | S | 500 ⁽¹⁾ D \$ 58.2112 | 0 | I | By IRA. |
| Common Stock | 10/04/2016 | | S | 400 ⁽²⁾ D \$ 58.17 | 0 | I | By inherited IRA. |
| Common Stock | 06/27/2016 | | J | 33 ⁽³⁾ D \$ 0 | 267 | I | By Trust for children. |
| Common Stock | 06/27/2016 | | J | 33 ⁽³⁾ A \$ 0 | 133 | I | Held in |

Stock

custody
account
for child.

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Put or Call (Instr. 3) | |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|---------------------------|----------------------------|
| | | | | Code | V (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Stock Option to purchase Common Stock | \$ 30.44 | | | | | 01/07/2017 | 01/07/2026 | Common Stock | 10,000 |
| Stock Option to purchase Common Stock | \$ 31.82 | | | | | 12/11/2015 | 12/11/2024 | Common Stock | 5,000 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|---------|-------|
| | Director | 10% Owner | Officer | Other |
| Getsinger Peter W 35 SNOW'S POINT EDGARTOWN, MA 02539 | X | | | |

Signatures

/s/ Peter W.
Getsinger

10/06/2016

__Signature of
Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
 - ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) On October 4, 2016, the Reporting Person sold 500 shares of the Issuer's common stock held through the Peter W Getsinger IRA at a price of \$58.21128 per share on the open market.
 - (2) On October 4, 2016, the Reporting Person sold 400 shares of the Issuer's common stock held through the Peter W Getsinger inherited IRA at a price of \$58.17 per share on the open market.
 - (3) On June 27, 2016, 33 shares of the Issuer's common stock were transferred by the Reporting Person's account held by Trust for children to the account held in custody account for child.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.