

ILLUMINA INC
Form 4
January 22, 2015

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
Expires: January 31,
2005
Estimated average
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(Print or Type Responses)

1. Name and Address of Reporting Person *
WALT DAVID R

(Last) (First) (Middle)

5200 ILLUMINA WAY

(Street)

SAN DIEGO, CA 92122

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading
Symbol
ILLUMINA INC [ILMN]

3. Date of Earliest Transaction
(Month/Day/Year)
01/20/2015

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	01/20/2015		M	Amount (1) 4,000	A \$ 6.225	860,930	D
Common Stock	01/20/2015		S	200 (1)	D \$ 190.37 (2)	860,730	D
Common Stock	01/20/2015		S	100 (1)	D \$ 191.34	860,630	D
Common Stock	01/20/2015		S	377 (1)	D \$ 192.5536 (3)	860,253	D
Common Stock	01/20/2015		S	1,200 (1)	D \$ 193.8991	859,053	D

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Common Stock	01/20/2015	S	1,307 (1)	D	⁽⁴⁾ \$ 194.8375 (5)	857,746	D	
Common Stock	01/20/2015	S	816 (1)	D	⁽⁶⁾ \$ 196.4311	856,930	D	
Common Stock	01/20/2015	S	100 (1)	D	\$ 190	76,860	I	By Spouse
Common Stock	01/20/2015	S	250 (1)	D	⁽⁷⁾ \$ 192.922	76,610	I	By Spouse
Common Stock	01/20/2015	S	800 (1)	D	⁽⁸⁾ \$ 194.0781	75,810	I	By Spouse
Common Stock	01/20/2015	S	400 (1)	D	⁽⁹⁾ \$ 194.8725	75,410	I	By Spouse
Common Stock	01/20/2015	S	450 (1)	D	⁽¹⁰⁾ \$ 196.4711	74,960	I	By Spouse

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Security (Instr. 3 and 4)	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Non-Qualified Stock Option (right to buy)	\$ 6.225	01/20/2015		M	4,000 (1)	06/07/2006	06/28/2015	Common Stock	4,000

Reporting Owners

Reporting Owner Name / Address	Relationships
	Director 10% Owner Officer Other
WALT DAVID R 5200 ILLUMINA WAY SAN DIEGO, CA 92122	X

Signatures

Scott M. Davies for David
R. Walt

01/22/2015

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The transaction was made pursuant to a 10b5-1 plan.
 - (2) Weighted average sale price representing 200 shares sold ranging from \$189.92 to \$190.82 per share. Number of shares sold at each separate price will be made available to the SEC staff, the issuer, or a security holder of the issuer upon request.
 - (3) Weighted average sale price representing 377 shares sold ranging from \$192.37 to \$192.97 per share. Number of shares sold at each separate price will be made available to the SEC staff, the issuer, or a security holder of the issuer upon request.
 - (4) Weighted average sale price representing 1,200 shares sold ranging from \$193.40 to \$194.34 per share. Number of shares sold at each separate price will be made available to the SEC staff, the issuer, or a security holder of the issuer upon request.
 - (5) Weighted average sale price representing 1,307 shares sold ranging from \$194.455 to \$195.41 per share. Number of shares sold at each separate price will be made available to the SEC staff, the issuer, or a security holder of the issuer upon request.
 - (6) Weighted average sale price representing 816 shares sold ranging from \$195.81 to \$196.79 per share. Number of shares sold at each separate price will be made available to the SEC staff, the issuer, or a security holder of the issuer upon request.
 - (7) Weighted average sale price representing 250 shares sold ranging from \$192.51 to \$193.44 per share. Number of shares sold at each separate price will be made available to the SEC staff, the issuer, or a security holder of the issuer upon request.
 - (8) Weighted average sale price representing 800 shares sold ranging from \$193.51 to \$194.455 per share. Number of shares sold at each separate price will be made available to the SEC staff, the issuer, or a security holder of the issuer upon request.
 - (9) Weighted average sale price representing 400 shares sold ranging from \$194.55 to \$195.27 per share. Number of shares sold at each separate price will be made available to the SEC staff, the issuer, or a security holder of the issuer upon request.
 - (10) Weighted average sale price representing 450 shares sold ranging from \$195.90 to \$196.675 per share. Number of shares sold at each separate price will be made available to the SEC staff, the issuer, or a security holder of the issuer upon request.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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