

KELLEHER BARRY

Form 4

April 30, 2018

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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(Print or Type Responses)

1. Name and Address of Reporting Person *
KELLEHER BARRY

(Last) (First) (Middle)

25 FRONTAGE ROAD

(Street)

ANDOVER, MA 01810

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
VICOR CORP [vicr]

3. Date of Earliest Transaction
(Month/Day/Year)
04/26/2018

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	04/26/2018		M	5,000 A	\$ 11.42 7,257	D	
Common Stock	04/26/2018		S	5,000 D	\$ 36.701 2,257	D	
Common Stock	04/26/2018		M	5,000 A	\$ 11.42 7,257	D	
Common Stock	04/26/2018		S	5,000 D	\$ 36.7555 2,257	D	
Common Stock	04/26/2018		M	6,000 A	\$ 11.42 8,257	D	
	04/26/2018		S	6,000 D	2,257	D	

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Common Stock						\$ 36.7197		
Common Stock	04/26/2018		M	1,491	A	\$ 13.42	3,748	D
Common Stock	04/26/2018		S	1,491	D	\$ 36.7435	2,257	D
Common Stock	04/26/2018		M	4,727	A	\$ 11.42	6,984	D
Common Stock	04/26/2018		S	4,727	D	\$ 36.7435	2,257	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares
				Code	V (A) (D)	Date Exercisable Expiration Date	Title	
Non Qualified Stock Option	\$ 11.42	04/26/2018		M	5,000	<u>(1)</u> 10/23/2024	Common Stock	5,000
Non Qualified Stock Option	\$ 11.42	04/26/2018		M	5,000	<u>(1)</u> 10/23/2024	Common Stock	5,000
Non Qualified Stock Option	\$ 11.42	04/26/2018		M	6,000	<u>(1)</u> 10/23/2024	Common Stock	6,000
Non Qualified	\$ 13.42	04/26/2018		M	1,491	<u>(2)</u> 06/19/2025	Common Stock	1,491

Stock
Option

Non Qualified Stock Option	\$ 11.42	04/26/2018	M	4,727	(1)	10/23/2024	Common Stock	4,727
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Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
KELLEHER BARRY 25 FRONTAGE ROAD ANDOVER, MA 01810	X			

Signatures

/s/Richard J. Nagel Jr. Attorney in Fact for Barry
Kelleher

04/30/2018

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Granted on 10/23/2014 under the Company's Amended and Restated 2000 Stock Option and Incentive Plan and vest over a five year period.
- (2) Granted on 6/19/2015 under the Company's Amended and Restated 2000 Stock Option and Incentive Plan and vest over a five year period.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.
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