

PRINCIPAL FINANCIAL GROUP INC
Form 4
December 05, 2007

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
GRISWELL J BARRY

2. Issuer Name and Ticker or Trading Symbol
PRINCIPAL FINANCIAL GROUP INC [PFG]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
711 HIGH STREET
(Street)

3. Date of Earliest Transaction (Month/Day/Year)
12/03/2007

Director 10% Owner
 Officer (give title below) Other (specify below)
Chairman and CEO

DES MOINES, IA 50392

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) | | |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|------------------------|---|
| | | | | (A) or (D) | Price | | | | |
| Common Stock | 12/03/2007 | | S ⁽¹⁾ | V | 100 | A | \$ 64.93 | 187,889 ⁽²⁾ | D |
| Common Stock | 12/03/2007 | | S ⁽¹⁾ | | 600 | D | \$ 64.94 | 187,289 ⁽²⁾ | D |
| Common Stock | 12/03/2007 | | S ⁽¹⁾ | | 500 | D | \$ 64.95 | 186,789 ⁽²⁾ | D |
| Common Stock | 12/03/2007 | | S ⁽¹⁾ | | 400 | D | \$ 64.96 | 186,389 ⁽²⁾ | D |
| Common Stock | 12/03/2007 | | S ⁽¹⁾ | | 1,500 | D | \$ 64.97 | 184,889 ⁽²⁾ | D |

Edgar Filing: PRINCIPAL FINANCIAL GROUP INC - Form 4

| | | | | | | | |
|--------------|------------|------------------------|-------|---|----------|--------------------|---|
| Common Stock | 12/03/2007 | <u>S⁽¹⁾</u> | 1,700 | D | \$ 64.98 | 183,189 <u>(2)</u> | D |
| Common Stock | 12/03/2007 | <u>S⁽¹⁾</u> | 1,200 | D | \$ 64.99 | 181,989 <u>(2)</u> | D |
| Common Stock | 12/03/2007 | <u>S⁽¹⁾</u> | 1,200 | D | \$ 65 | 180,789 <u>(2)</u> | D |
| Common Stock | 12/03/2007 | <u>S⁽¹⁾</u> | 1,300 | D | \$ 65.01 | 179,489 <u>(2)</u> | D |
| Common Stock | 12/03/2007 | <u>S⁽¹⁾</u> | 1,100 | D | \$ 65.02 | 178,389 <u>(2)</u> | D |
| Common Stock | 12/03/2007 | <u>S⁽¹⁾</u> | 490 | D | \$ 65.03 | 177,899 <u>(2)</u> | D |
| Common Stock | 12/03/2007 | <u>S⁽¹⁾</u> | 1,513 | D | \$ 65.04 | 176,386 <u>(2)</u> | D |
| Common Stock | 12/03/2007 | <u>S⁽¹⁾</u> | 1,777 | D | \$ 65.05 | 174,609 <u>(2)</u> | D |
| Common Stock | 12/03/2007 | <u>S⁽¹⁾</u> | 121 | D | \$ 65.06 | 174,488 <u>(2)</u> | D |
| Common Stock | 12/03/2007 | <u>S⁽¹⁾</u> | 680 | D | \$ 65.07 | 173,808 <u>(2)</u> | D |
| Common Stock | 12/03/2007 | <u>S⁽¹⁾</u> | 199 | D | \$ 65.08 | 173,609 <u>(2)</u> | D |
| Common Stock | 12/03/2007 | <u>S⁽¹⁾</u> | 800 | D | \$ 65.09 | 172,809 <u>(2)</u> | D |
| Common Stock | 12/03/2007 | <u>S⁽¹⁾</u> | 500 | D | \$ 65.1 | 172,309 <u>(2)</u> | D |
| Common Stock | 12/03/2007 | <u>S⁽¹⁾</u> | 900 | D | \$ 65.12 | 171,409 <u>(2)</u> | D |
| Common Stock | 12/03/2007 | <u>S⁽¹⁾</u> | 1,493 | D | \$ 65.13 | 169,916 <u>(2)</u> | D |
| Common Stock | 12/03/2007 | <u>S⁽¹⁾</u> | 500 | D | \$ 65.14 | 169,416 <u>(2)</u> | D |
| Common Stock | 12/03/2007 | <u>S⁽¹⁾</u> | 1,000 | D | \$ 65.15 | 168,416 <u>(2)</u> | D |
| Common Stock | 12/03/2007 | <u>S⁽¹⁾</u> | 766 | D | \$ 65.16 | 167,650 <u>(2)</u> | D |
| Common Stock | 12/03/2007 | <u>S⁽¹⁾</u> | 200 | D | \$ 65.17 | 167,450 <u>(2)</u> | D |
| Common Stock | 12/03/2007 | <u>S⁽¹⁾</u> | 1,441 | D | \$ 65.18 | 166,009 <u>(2)</u> | D |
| | 12/03/2007 | <u>S⁽¹⁾</u> | 600 | D | | 165,409 <u>(2)</u> | D |

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
 - ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Pursuant to a 10b5-1 plan adopted December 15, 2006, as amended on August 7, 2007.
 - (2) Includes 3,306 shares acquired pursuant to the Principal Financial Group, Inc. Employee Stock Purchase Plan.

Remarks:

This is the fifth of five Form 4s filed by the reporting person on the same date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.
Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.