Edgar Filing: WACHOVIA CORP NEW - Form 3

WACHOVIA CORP NEW

Form 3 March 03, 2006

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:

3235-0104

Expires:

January 31, 2005

0.5

Estimated average burden hours per

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, response...

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person * Statement WACHOVIA CORP NEW [WB] A Burr James Floyd (Month/Day/Year) 02/21/2006 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 301 SOUTH COLLEGE (Check all applicable) **STREET** (Street) 6. Individual or Joint/Group 10% Owner Director _X__ Officer _ Other Filing(Check Applicable Line) (give title below) (specify below) _X_ Form filed by One Reporting Treasurer Person CHARLOTTE, NCÂ 28288 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 4. Nature of Indirect Beneficial 1. Title of Security 2. Amount of Securities Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Â Common Stock 13,950 (8) D Common Stock 2,478.68 I 401K Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

currently valid OMB control number.

1. Title of Derivative	2. Date Exercisable and	3. Title and Amount of	4.	5.	6. Nature of Indirect
Security	Expiration Date	Securities Underlying	Conversion	Ownership	Beneficial
(Instr. 4)	(Month/Day/Year)	Derivative Security	or Exercise	Form of	Ownership
		(Instr. 4)	Price of	Derivative	(Instr. 5)

Edgar Filing: WACHOVIA CORP NEW - Form 3

	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivative Security	Security: Direct (D) or Indirect (I) (Instr. 5)	
ESOP (2)	04/22/2004(5)	04/22/2013	Common Stock	15,522	\$ 37.43	D	Â
ESOP (Right to Buy)	04/21/1999(7)	04/21/2008	Common Stock	1,609	\$ 62.125	D	Â
ESOP (Right to Buy)	04/21/1999(7)	04/21/2008	Common Stock	841	\$ 62.125	D	Â
ESOP (Right to Buy)	04/20/2000	04/20/2009	Common Stock	980	\$ 54.9375	D	Â
ESOP (Right to Buy)	04/20/2000	04/20/2009	Common Stock	1,820	\$ 54.9375	D	Â
ESOP (Right to Buy)	04/17/2002	04/17/2011	Common Stock	7,500	\$ 30.4	D	Â
ESOP (Right to Buy)	12/31/2003	07/31/2011	Common Stock	20,200	\$ 34.92	D	Â
ESOP (Right to Buy)	04/16/2003(3)	04/16/2012	Common Stock	16,999	\$ 37.98	D	Â
ESOP (Right to Buy)	04/19/2005(6)	04/19/2014	Common Stock	13,543	\$ 44.65	D	Â
ESOP (Right to Buy)	04/18/2006(4)	04/18/2015	Common Stock	12,596	\$ 50.38	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships				
1	Director	10% Owner	Officer	Other	
Burr James Floyd 301 SOUTH COLLEGE STREET CHARLOTTE, NC 28288	Â	Â	Treasurer	Â	

Signatures

James F. Burr 03/02/2006

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Provisions exist with respect to these shares to allow for the withholding of shares to satisfy tax withholding obligations and the withholding of shares in payment of the exercise price. The exercise of certain options in any one-year is limited to 100,000 dollars.

Reporting Owners 2

Edgar Filing: WACHOVIA CORP NEW - Form 3

- (2) Provisions exist with respect to these shares to allow for the withholding of shares to satisfy tax withholding obligations and the withholding of shares in payment of the exercise price.
- (3) The option vests in three equal installments beginning on 4/16/2003
- (4) The option becomes exercisable in substantially equal installments on each of the five anniversaries of the date of grant (20% per year), beginning 4/18/2006.
- (5) The option becomes exercisable in substantially equal installments on each of the five anniversaries of the date of grant (20% per year), beginning 4/22/2004.
- (6) The option becomes exercisable in substantially equal installments on each of the five anniversaries of the date of grant (20% per year), beginning 4/19/2005.
- (7) The option becomes exercisable in substantially equal installments on each of the five anniversaries of the date of grant (20% per year) beginning April 21, 1999.
- (8) As of 2/21/2006, includes 10,646 shares of unvested restricted stock with respect to which provisions exist to allow for the withholding of shares to satisfy tax withholding obligations

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.