Edgar Filing: BERNHARDT GEORGE ALEXANDER SR - Form 4

BERNHARDT Form 4 April 02, 2009	Γ GEORGE AL	.EXAND	ER SR								
FORM	Δ								PPROVAL		
-	UNITED	STATES		RITIES A shington	N OMB Number:	3235-0287					
Check this if no longer subject to Section 16.	r STATEN								January 31, 2005 average urs per . 0.5		
Form 4 or Form 5 obligations may continue. See Instruction 1(b). Form 5 obligations may continue. See Instruction Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940											
(Print or Type Re	sponses)										
1. Name and Address of Reporting Person <u>*</u> BERNHARDT GEORGE ALEXANDER SR			2. Issuer Name and Ticker or Trading Symbol Duke Energy CORP [DUK]			-	5. Relationship of Reporting Person(s) to Issuer				
(Last)	(First) (I	Middle)		of Earliest T	_	L	(Che	eck all applicabl	e)		
526 S. CHURCH STREET			(Month/Day/Year) 03/31/2009			X_ Director 10% Owner Officer (give title Other (specify below) below)					
(Street)			4. If Amendment, Date Original Filed(Month/Day/Year)			վ	 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 				
CHARLOTT	E, NC 28202						Person	More than One K	eporting		
(City)	(State)	(Zip)	Tab	le I - Non-l	Derivative	Securities A	Acquired, Disposed	of, or Beneficia	lly Owned		
	. Transaction Date Month/Day/Year)		Date, if	3. Transactio Code (Instr. 8) Code V	Disposed (Instr. 3, 4	(A) or of (D)	Securities Beneficially Owned	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Reminder: Repor	t on a separate line	e for each cl	ass of sec	urities bene	ficially ow	ned directly	or indirectly.				
					inforr requi	nation cont red to responses ays a curren	spond to the colle tained in this form ond unless the fo ntly valid OMB co	n are not rm	SEC 1474 (9-02)		

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number	6. Date Exercisable and	7. Title and Amount of	8. Pric
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	onof Derivative	Expiration Date	Underlying Securities	Deriva
Security	or Exercise		any	Code	Securities	(Month/Day/Year)	(Instr. 3 and 4)	Securi
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Acquired			(Instr.

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	Derivative Security			(A) or Disposed (D) (Instr. 3, 4 and 5)							
			Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Phantom Stock Director Savings Plan	<u>(1)</u>	03/31/2009	А		1,641		(2)	<u>(3)</u>	Common Stock	1,641	\$ 14
Repor	ting Ov	wners									

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
BERNHARDT GEORGE ALEXANDER SR 526 S. CHURCH STREET CHARLOTTE, NC 28202	Х						
Signatures							
/s/ David S. Maltz, Attorney-in-fact for G. Ale Bernhardt, Sr.	X	(04/02/20	09			
** Signature of Reporting Person			Date				

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Converts to Common Stock on a 1-for-1 basis.
- (2) Generally payable upon reporting person's termination of service.
- (3) Expiration date not applicable.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.