Edgar Filing: ALLERGAN INC - Form 4

| ALLERGA Form 4 December 3 FORM Check th if no lor subject to Section Form 4 Form 5 obligation may con <i>See</i> Insti- 1(b). | 0, 2013 A UNITED STATE his box user o STATEMENT (5 5 5 5 5 5 5 5 5 5 5 5 5 | Washington DF CHANGES IN SECU Section 16(a) of t | n, D.C. 20 N BENEF RITIES he Securit Iding Cor | ICIA ties E | L OWN xchange y Act of | ERSHIP OF Act of 1934, 1935 or Section | OMB Number: Expires: Estimated a burden hour response | • | | |
|--|--|---|--|-----------------------|------------------------------|--|--|---|--|--|
| (Print or Type | Responses) | | | | | | | | | |
| Gangolli Ju | Address of Reporting Person <u>*</u> llian S (First) (Middle) | 2. Issuer Name ar Symbol ALLERGAN IN | NC [AGN] | | -0 | 5. Relationship of I Issuer (Check | Reporting Pers | | | |
| (Last) 2525 DUP0 | 3. Date of Earliest (Month/Day/Year) 12/27/2013 | 2/27/2013 | | | | Director 10% Owner X Officer (give title Other (specify below) CVP & Pres, North America | | | | |
| IRVINE, C | (Street) A 92612 | 4. If Amendment, I Filed(Month/Day/Ye | mendment, Date Original Month/Day/Year) | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person | | | |
| (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned | | | | | | | | | | |
| 1.Title of Security (Instr. 3) | any | | omr Dispos (Instr. 3, 4 | ed of (| D) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | |
| Common Stock | 12/27/2013 | М | 15,000 (1) | A | \$ 40.16 | 23,047.202 | D (2) | | | |
| Common Stock | 12/27/2013 | S | 15,000 (1) | D | \$ 110.17 | 8,047.202 | D (2) | | | |
| Common Stock | 12/27/2013 | М | 37,500 (1) | А | \$ 59.13 | 45,547.202 | D (2) | | | |
| Common Stock | 12/27/2013 | S | 37,500 (1) | D | \$ 110 | 8,047.202 | D (2) | | | |
| Common Stock | | | | | | 220.0783 | Ι | By ESOP Trust (3) | | |

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| Common Stock | | | | | | | 16,900.2901 | I | By The Gangolli Family Trust <u>(4)</u> | |
|--|--|---|---|--|-----|--|---------------------|---|--|-------------------------------------|
| Common Stock | | | | | | 2 | 264.0416 | Ι | By Wife' 401(k) plan | S |
| Reminder: Re | rially owned directly or indirectly. Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02) required to respond unless the form displays a currently valid OMB control number. | | | | | | | | | |
| Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) | | | | | | | | | | |
| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. 5. Number of TransactiorDerivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | | |
| | | | | Code V | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Employee Stock Option (Right to Buy) | \$ 40.16 | 12/27/2013 | | М | | 15,000 (1) | (5) | 02/20/2019 | Common Stock | 15,000 |
| Employee Stock Option (Right to Buy) | \$ 59.13 | 12/27/2013 | | М | | 37,500 (1) | <u>(6)</u> | 02/22/2020 | Common Stock | 37,500 |
| Reporting Owners | | | | | | | | | | |
| | | | R | elationshi | ps | | | | | |

| Reporting Owner Name / Address | Relationships | | | | | | |
|---------------------------------------|---------------|-----------|---------------------------|-------|--|--|--|
| | Director | 10% Owner | Officer | Other | | | |
| Gangolli Julian S | | | | | | | |
| 2525 DUPONT DRIVE | | | CVP & Pres, North America | | | | |
| IRVINE, CA 92612 | | | | | | | |

Signatures

/s/ Matthew J. Maletta, attorney-in-fact for Julian S. Gangolli

12/30/2013

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The transactions reported in this Form 4 were effected pursuant to a Rule 10b5-1 Trading Plan adopted by the reporting person on May 9, 2013.
- (2) Includes shares acquired under the Allergan, Inc. Dividend Reinvestment Plan.
- (3) Shares allocated to the reporting person's ESOP account as of reporting date.
- (4) Shares held by The Gangolli Family Trust established June 1, 2011, of which the reporting person and his spouse are each trustees.
- (5) The option became exercisable in four equal annual installments beginning February 20, 2010. The remaining outstanding options for this award are reported in this filing.
- (6) The option became exercisable in four equal annual installments beginning February 22, 2011.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.