#### NACCO INDUSTRIES INC

Form 4 May 23, 2014

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

**OMB APPROVAL** 

response...

Form 4 or Form 5 obligations may continue.

See Instruction

Check this box

if no longer

Section 16.

subject to

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* TAPLIN THEODORE D

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

(Middle)

NACCO INDUSTRIES INC [NC]

(Check all applicable)

(Last)

(First)

3. Date of Earliest Transaction (Month/Day/Year)

Director

10% Owner Officer (give title \_\_X\_ Other (specify

NACCO INDUSTRIES, INC., 5875 LANDERBROOK DRIVE, STE.

220

05/21/2014 below)

below)

Member of a Group

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

MAYFIELD HEIGHTS, OH 44124

(City)	(State) (	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned								
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	: 8)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Cl. A			Code V	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)			
Class A Common Stock	05/21/2014		S	100	D	\$ 52.65	30,080	D		
Class A Common Stock	05/21/2014		S	230	D	\$ 52.83	29,850	D		
Class A Common Stock	05/21/2014		S	300	D	\$ 52.85	29,550	D		
Class A	05/21/2014		S	100	D	\$	29,450	D		

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Common Stock					52.86		
Class A Common Stock	05/21/2014	S	50	D	\$ 52.87	29,400	D
Class A Common Stock	05/21/2014	S	400	D	\$ 52.88	29,000	D
Class A Common Stock	05/21/2014	S	500	D	\$ 52.9	28,500	D
Class A Common Stock	05/21/2014	S	200	D	\$ 52.93	28,300	D
Class A Common Stock	05/21/2014	S	100	D	\$ 52.94	28,200	D
Class A Common Stock	05/21/2014	S	9	D	\$ 52.98	28,191	D
Class A Common Stock	05/21/2014	S	2,593	D	\$ 53	25,598	D
Class A Common Stock	05/21/2014	S	100	D	\$ 53.02	25,498	D
Class A Common Stock	05/21/2014	S	100	D	\$ 53.04	25,398	D
Class A Common Stock	05/21/2014	S	5	D	\$ 53.09	25,393	D
Class A Common Stock	05/21/2014	S	213	D	\$ 53.1	25,180	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Class B Common Stock	\$ 0 (1)					<u>(1)</u>	<u>(1)</u>	Class A Common Stock	5,000

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

TAPLIN THEODORE D NACCO INDUSTRIES, INC. 5875 LANDERBROOK DRIVE, STE. 220 MAYFIELD HEIGHTS, OH 44124

Member of a Group

8. Price of Derivative Security (Instr. 5)

## **Signatures**

/s/ Jesse L. Adkins, attorney-in-fact

05/23/2014

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) N/A

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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