

JOHNSON CONTROLS INC  
Form 4  
November 19, 2014

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Davis Simon

(Last) (First) (Middle)

5757 N. GREEN BAY AVE.

(Street)

MILWAUKEE, WI 53209

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol

JOHNSON CONTROLS INC [JCI]

3. Date of Earliest Transaction (Month/Day/Year)

11/18/2014

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 Officer (give title below) \_\_\_\_\_ Other (specify below)

Vice President & ACHRO

6. Individual or Joint/Group Filing(Check Applicable Line)

Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|----------------------------------------------------|--------------------------------|-------------------------------------------------------------------|-----------------------------------------------------------------------------------------------|----------------------------------------------------------|-------------------------------------------------------|
|                                 |                                      |                                                    | Code                           | V Amount (D) Price                                                |                                                                                               |                                                          |                                                       |
| Common Stock                    | 11/07/2014                           |                                                    | G                              | V 444 D \$ 0                                                      | 13,418.5                                                                                      | D                                                        |                                                       |
| Common Stock                    | 11/18/2014                           |                                                    | A                              | 5,773 A \$ 0                                                      | 19,191.5                                                                                      | D                                                        |                                                       |
| Common Stock                    |                                      |                                                    |                                |                                                                   | 80.802 <sup>(1)</sup>                                                                         | I                                                        | By 401(k) Plan Trust                                  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

number.

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Security (Instr. 3 and 4) | Amount or Number of Shares |
|--------------------------------------------|--------------------------------------------------------|--------------------------------------|----------------------------------------------------|--------------------------------|-----------------------------------------------------------------------------------------|----------------------------------------------------------|-------------------------------------------------------------|----------------------------|
|                                            |                                                        |                                      |                                                    | Code V                         | (A) (D)                                                                                 | Date Exercisable Expiration Date                         | Title                                                       |                            |
| Employee Stock Option (Right to Buy)       | \$ 50.23                                               | 11/18/2014                           |                                                    | A                              | 18,661                                                                                  | 11/18/2016 <sup>(2)</sup> 11/18/2024                     | Common Stock                                                | 18,661                     |
| Employee Stock Option (Right to Buy)       | \$ 40.21                                               |                                      |                                                    |                                |                                                                                         | 10/01/2009 10/01/2017                                    | Common Stock                                                | 5,400                      |
| Employee Stock Option (Right to Buy)       | \$ 28.79                                               |                                      |                                                    |                                |                                                                                         | 10/01/2010 10/01/2018                                    | Common Stock                                                | 9,000                      |
| Employee Stock Option (Right to Buy)       | \$ 24.87                                               |                                      |                                                    |                                |                                                                                         | 10/01/2011 10/01/2019                                    | Common Stock                                                | 11,300                     |
| Employee Stock Option (Right to Buy)       | \$ 30.54                                               |                                      |                                                    |                                |                                                                                         | 10/01/2012 10/01/2020                                    | Common Stock                                                | 9,500                      |
| Employee Stock Option (Right to Buy)       | \$ 28.54                                               |                                      |                                                    |                                |                                                                                         | 10/07/2013 10/07/2021                                    | Common Stock                                                | 12,500                     |
| Employee Stock                             | \$ 27.85                                               |                                      |                                                    |                                |                                                                                         | 10/05/2014 10/05/2022                                    | Common Stock                                                | 16,100                     |

Option  
(Right to  
Buy)

Employee  
Stock

Option \$ 48.37  
(Right to  
Buy)

11/19/2015<sup>(2)</sup> 11/19/2023 Common Stock 10,2

## Reporting Owners

| Reporting Owner Name / Address                               | Relationships |           |                        |       |
|--------------------------------------------------------------|---------------|-----------|------------------------|-------|
|                                                              | Director      | 10% Owner | Officer                | Other |
| Davis Simon<br>5757 N. GREEN BAY AVE.<br>MILWAUKEE, WI 53209 |               |           | Vice President & ACHRO |       |

## Signatures

/s/ Angela Blair, attorney-in-fact for Simon  
Davis 11/19/2014

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The number of underlying securities is based on the stock fund balance on November 18, 2014. The actual number of shares issuable

(1) upon the distribution date is not determinable since the stock fund is a unitized account consisting of 96% company stock and 4% money market fund. The stock account balance reflected in this report is based on a November 18, 2014 stock fund price of \$50.23 per share.

(2) Fifty percent of the options become exercisable two years after the grant date; the remaining 50%, three years after grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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