MARSH & MCLENNAN COMPANIES, INC.

Form 4 March 01, 2016

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL OMB** 

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Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Last)

(City)

(Print or Type Responses)

1. Name and Address of Reporting Person \* Ledford Laurie

(Street)

(State)

2. Issuer Name and Ticker or Trading Symbol

Issuer

below)

MARSH & MCLENNAN COMPANIES, INC. [MMC]

(Check all applicable)

5. Relationship of Reporting Person(s) to

(First) (Middle)

(Zip)

3. Date of Earliest Transaction

Director 10% Owner Other (specify X\_ Officer (give title

1166 AVENUE OF THE

(Month/Day/Year) 02/28/2016

SVP & Chief HR Officer

**AMERICAS** 

4. If Amendment, Date Original

Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

NEW YORK, NY 10036

1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year) 2A. Deemed Execution Date any (Month/Day/Y	Code	ionor Disposed (Instr. 3, 4 ar	` '	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	02/28/2016	M(1)	14,722 A	\$ 0	33,160.5441	D	
Common Stock	02/28/2016	F <u>(1)</u>	7,715 D	\$ 57.825	25,445.5441	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	onDerivative Securities		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Units	<u>(2)</u>	02/28/2016		M(1)		14,722	<u>(3)</u>	(3)	Common Stock	14,722	

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Ledford Laurie 1166 AVENUE OF THE AMERICAS NEW YORK, NY 10036

SVP & Chief HR Officer

De

## **Signatures**

/s/ Tiffany D. Wooley, Attorney-in-Fact

03/01/2016

Date

\*\*Signature of Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Vesting and distribution to reporting person of 14,722 shares underlying restricted stock units of which 7,715 were withheld by Marsh & McLennan Companies to cover applicable taxes. These 14,722 shares underlying restricted stock units relate to 1,827 restricted stock

- (1) units that were granted on February 25, 2013, 9,866 restricted stock units relating to performance stock units that were granted on February 25, 2013 for the performance period 2013-2015, 1,563 restricted stock units that were granted on February 24, 2014, and 1,466 restricted stock units that were granted on February 23, 2015.
- (2) The security converts to Marsh & McLennan Companies common stock on a 1-for-1 basis.
- (3) Not Applicable.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2