#### Edgar Filing: AMERICAN AXLE & MANUFACTURING HOLDINGS INC - Form 4

#### AMERICAN AXLE & MANUFACTURING HOLDINGS INC

Form 4 May 18, 2016

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number: 3235-0287

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Expires: January 31, 2005
Estimated average

0.5

**OMB APPROVAL** 

Form 4 or Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

burden hours per response...

5. Relationship of Reporting Person(s) to

See Instruction 1(b).

Common

Common

Common

Stock

Stock

Stock

05/18/2016

05/18/2016

05/18/2016

(Print or Type Responses)

1. Name and Address of Reporting Person \*

CULTON I	Symbol AMERICAN AXLE & MANUFACTURING HOLDINGS INC [AXL]						(Check all applicable)  Director 10% Owner					
(Last) (First) (Middle) ONE DAUCH DRIVE			3. Date of Earliest Transaction (Month/Day/Year) 05/18/2016						Officer (give title Other (specify below) VP Cost Engineering-Full Frame			
DETROIT,	4. If Amendment, Date Original Filed(Month/Day/Year)						6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person					
(City)	(State)	(Zip)	Tabl	e I - No	n-D	erivative	Secur	ities Acq	uired, Disposed o	f, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Da (Month/Day/Year		3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)  (A) or Code V Amount (D) Price				d of (D)	5. Amount of Securities Form: Direct Indirect Beneficially (D) or Beneficial Owned Indirect (I) Ownershi Following (Instr. 4) (Instr. 4) Reported Transaction(s) (Instr. 3 and 4)				
Common Stock	05/18/2016			S	·	1,100	D	\$ 15.53	21,055	D		
Common Stock	05/18/2016			S		984	D	\$ 15.54	20,071	D		

S

S

S

2,100

1,300

1,292

17,971

16,671

15,379

D

D

D

#### Edgar Filing: AMERICAN AXLE & MANUFACTURING HOLDINGS INC - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

**SEC 1474** 

(9-02)

9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

	1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Tit	le and	8. Price of	1
	Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transac	tionNumber	Expiration Date		Amount of Underlying Securities		Derivative	
	Security	or Exercise		any (Month/Day/Year)	Code	of	(Month/Day/Year)				Security	
	(Instr. 3)	Price of			(Instr. 8	) Derivativ					(Instr. 5)	į
		Derivative			Securities		;	(Instr.	. 3 and 4)			
		Security				Acquired						1
						(A) or						1
						Disposed						
						of (D)						
						(Instr. 3,						
						4, and 5)						
										<b>A</b>		
										Amount		
							Date Expiration Exercisable Date	Expiration		or		
								Title	Title Number			
					~					of		
					Code \	(A) (D)				Shares		

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

CULTON DAVID A ONE DAUCH DRIVE DETROIT, MI 48211-1198

VP Cost Engineering-Full Frame

### **Signatures**

/s/ Laura L. Douglas, attorney in fact 05/18/2016

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2