Edgar Filing: AMERICAN AXLE & MANUFACTURING HOLDINGS INC - Form 4

Form 4	N AXLE & MAN	NUFACTU	JRING	HOLDI	NGS INC	2					
November 15, 2016 FORM 4 Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). NUNTED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940								ON OMB Numl DF Expir Estin burde respo	ber: ³	235-0287 anuary 31, 2005 age	
(Print or Type	e Responses)										
DAUCH DAVID C Symb AME MAN			Symbol AMEI	I RICAN UFACT	and Ticker AXLE & URING H		C	 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director 10% Owner 			
(Last)	(First) JCH DRIVE	(Middle)		/Day/Yea	st Transactio r)	on			(give title	ve title Other (specify below) aairman & CEO	
DETROIT	(Street) 7, MI 48211-1198			nendment Ionth/Day/'	, Date Orig Year)	inal		6. Individual Applicable Lind _X_ Form filed Form filed Person	e) l by One Repo	orting Person	
(City)	(State)	(Zip)	Та	ble I - No	on-Derivati	ve Seo	curities A	cquired, Dispose	ed of, or Bei	neficially O	wned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		d Date, if	3. Transact Code (Instr. 8)	4. Securi tion(A) or D (Instr. 3,	ties A ispose 4 and (A) or	cquired ed of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature Indirect D Ownersh (Instr. 4)	e of Beneficial iip
Common Stock	11/14/2016			P	700	A	\$ 14.27	148,308	Ι	By Family Trusts	/UGMA
Common Stock	11/14/2016			Р	2,873	A	\$ 14.3	151,181	Ι	By Family Trusts	/UGMA
Common Stock	11/14/2016			Р	700	А	\$ 14.31	151,881	Ι	By Family Trusts	/UGMA
	11/14/2016			Р	600	А		152,481	Ι		

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Common Stock					\$ 14.32			By Family/UGMA Trusts
Common Stock	11/14/2016	Р	500	А	\$ 14.33	152,981	Ι	By Family/UGMA Trusts
Common Stock	11/14/2016	Р	1,500	A	\$ 14.34	154,481	I	By Family/UGMA Trusts
Common Stock	11/14/2016	Р	1,101	A	\$ 14.35	155,582	I	By Family/UGMA Trusts
Common Stock	11/14/2016	Р	300	А	\$ 14.36	155,882	I	By Family/UGMA Trusts
Common Stock	11/14/2016	Р	200	А	\$ 14.37	156,082	I	By Family/UGMA Trusts
Common Stock	11/14/2016	Р	200	А	\$ 14.38	156,282	I	By Family/UGMA Trusts
Common Stock	11/14/2016	Р	470	A	\$ 14.39	156,752	I	By Family/UGMA Trusts
Common Stock	11/14/2016	Р	230	A	\$ 14.4	156,982	I	By Family/UGMA Trusts
Common Stock	11/14/2016	Р	618	А	\$ 14.41	157,600	I	By Family/UGMA Trusts
Common Stock	11/14/2016	Р	1,666	А	\$ 14.42	159,266	I	By Family/UGMA Trusts
Common Stock	11/14/2016	Р	400	A	\$ 14.43	159,666	I	By Family/UGMA Trusts
Common Stock	11/14/2016	Р	300	А	\$ 14.44	159,966	I	By Family/UGMA Trusts
Common Stock	11/14/2016	Р	900	А	\$ 14.45	160,866	I	By Family/UGMA Trusts
Common Stock	11/14/2016	Р	3,100	A	\$ 14.46	163,966	Ι	By Family/UGMA

								Trusts
Common Stock	11/14/2016	Р	2,700	А	\$ 14.47	166,666	Ι	By Family/UGMA Trusts
Common Stock	11/14/2016	Р	1,600	Α	\$ 14.48	168,266	Ι	By Family/UGMA Trusts
Common Stock	11/14/2016	Р	8,699	А	\$ 14.49	176,965	I	By Family/UGMA Trusts
Common Stock	11/14/2016	Р	2,450	А	\$ 14.5	179,415	I	By Family/UGMA Trusts
Common Stock	11/14/2016	Р	1,300	А	\$ 14.51	180,715	I	By Family/UGMA Trusts
Common Stock	11/14/2016	Р	4,452	А	\$ 14.52	185,167	I	By Family/UGMA Trusts
Common Stock	11/14/2016	Р	7,100	А	\$ 14.53	192,267	I	By Family/UGMA Trusts
Common Stock	11/14/2016	Р	2,527	А	\$ 14.54	194,794	I	By Family/UGMA Trusts
Common Stock	11/14/2016	Р	214	А	\$ 14.55	195,008	I	By Family/UGMA Trusts
Common Stock	11/14/2016	Р	1,000	А	\$ 14.56	196,008	I	By Family/UGMA Trusts
Common Stock	11/14/2016	Р	1,600	А	\$ 14.57	197,608 <u>(1)</u>	I	By Family/UGMA Trusts
Common Stock						225,878 <u>(2)</u>	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of 2. 3. Transaction Date 3A. Deemed 7. Title and 8. Price of 4. 5. 6. Date Exercisable and 9. Nt Derivative Conversion (Month/Day/Year) Execution Date, if TransactionNumber Expiration Date Amount of Derivative Deriv Security or Exercise any Code of (Month/Day/Year) Underlying Security Secu Price of (Month/Day/Year) (Instr. 8) (Instr. 5) (Instr. 3) Derivative Securities Bene Derivative Securities (Instr. 3 and 4) Own Security Acquired Follo (A) or Repo Disposed Trans of (D) (Insti (Instr. 3, 4, and 5) Amount or Expiration Date Title Number Exercisable Date of Code V (A) (D) Shares

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Reporting Owners

Reporting Owner Name / Address	Relationships								
	Director	10% Owner	Officer	Other					
DAUCH DAVID C ONE DAUCH DRIVE DETROIT, MI 48211-1198	Х		Chairman & CEO						
Signatures									
/s/ Laura L. Douglas, attorney in fact		11/15/201	6						

**Signature of Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 72,423 shares which were previously owned directly. These shares were contributed to the insiders personal trust on March 8, 2016.
- (2) Excludes 72,423 shares that were contributed to the insider's personal trust as described in footnote (1).

Date

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.