## Edgar Filing: MARSH & MCLENNAN COMPANIES, INC. - Form 5

MARSH & MCLENNAN COMPANIES, INC

|   | MCLENNAN CO                                | OMPANIE     | ES, INC.  |  |            |                |  |   |   |                        |  |  |
|---|--|-------------|---|--|------------|----------------|--|---|---|------------------------|--|--|
| Form 5<br>February 13   | 2017                                       |             |   |  |            |                |  |   |   |                        |  |  |
| FORM  |  |             |   |  |            | OMB APPROVAL   |  |   |   |                        |  |  |
| FURN  | RITIES AND EXCHANGE COMMISSION             |             |   |  | OMMISSION  | OMB<br>Number: | 3235-0362  |   |   |                        |  |  |
| Check this box if Was<br>no longer subject  |  |             |   | shington, D.C. 20549   |            |                |  |   | Expires:  | January 31,<br>2005    |  |  |
| 5 obligations OW may continue.  |  |             |   | EMENT OF CHANGES IN BENEFICIAL<br>VNERSHIP OF SECURITIES   |            |                |  |   |   | verage<br>s per<br>1.0 |  |  |
| See Instru<br>1(b).<br>Form 3 H<br>Reported<br>Form 4<br>Transactio<br>Reported                             | Filed pur<br>oldings Section 17(           | a) of the H | Public U  |  | ng Comp    | any A          | Act of   |   | I   |                        |  |  |
| Ledford Laurie Symbol<br>MARS   |  |             |   | er Name <b>and</b> Ticker or Trading<br>bl<br>SH & MCLENNAN<br>IPANIES, INC. [MMC]   |            |                |  | 5. Relationship of Reporting Person(s) to<br>Issuer<br>(Check all applicable) |   |                        |  |  |
|   |  |             | tement for Issuer's Fiscal Year Ended<br>th/Day/Year)<br>1/2016 |  |            |                | Director 10% Owner<br>X_ Officer (give title Other (specify<br>below) below)<br>SVP & Chief HR Officer       |   |   |                        |  |  |
| 1166 AVEN<br>AMERICA  | NUE OF THE<br>S                            |             |   |  |            |                |  | 517 @   |   |                        |  |  |
|   |  |             |   | endment, Date Original 6. In<br>nth/Day/Year)  |            |                |  |   | Individual or Joint/Group Reporting<br>(check applicable line)    |                        |  |  |
| NEW YOR   | K, NY 10036                                |             |   |  |            |                |  | _X_ Form Filed by C<br>Form Filed by M<br>Person                              |   |                        |  |  |
| (City)  | (State)                                    | (Zip)       | Tabl  | le I - Non-Der   | ivative Se | curiti         | es Acqu  | iired, Disposed of,   | or Beneficiall  | y Owned                |  |  |
| 1.Title of<br>Security<br>(Instr. 3)  | (Month/Day/Year) Execution Date, if<br>any |             | 3.<br>Transaction<br>Code<br>(Instr. 8)                         | 4. Securities<br>Acquired (A) or<br>Disposed of (D)<br>(Instr. 3, 4 and 5)<br>(A)<br>or<br>Amount (D) Price  |            | ))             | 5. Amount of<br>Securities<br>Beneficially<br>Owned at end of<br>Issuer's Fiscal<br>Year<br>(Instr. 3 and 4) | 6.<br>Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4)       | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |                        |  |  |
| Common<br>Stock   | 06/09/2016                                 | Â           |   | G  | 152        | D              | \$0  | 25,293.5441   | D   | Â                      |  |  |
| Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. |  |             |   | Persons who respond to the collection of information<br>contained in this form are not required to respond unless<br>the form displays a currently valid OMB control number. |            |                |  |   |   | SEC 2270<br>(9-02)     |  |  |

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (a.g., pute, colls, warrante, options, convertible acquirities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 4.<br>Transaction<br>Code<br>(Instr. 8) | 5.<br>Number<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3,<br>4, and 5) | Expiration D<br>(Month/Day/<br>e | Expiration Date<br>(Month/Day/Year) |       | le and<br>int of<br>rlying<br>ities<br>. 3 and 4) | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9.<br>of<br>D<br>So<br>B<br>O<br>E<br>I<br>S<br>Fi<br>(I |
|---|---|---|---|---|----------------------------------|-------------------------------------|-------|---|---|--|
|   |   |   |   | (A) (D)   | Date<br>Exercisable              | Expiration<br>Date                  | Title | Amount<br>or<br>Number<br>of<br>Shares            |   |  |

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## **Reporting Owners**

| Reporting Owner Name / Address                                      | Relationships |           |                        |       |  |  |  |
|---|---------------|-----------|------------------------|-------|--|--|--|
|   | Director      | 10% Owner | Officer                | Other |  |  |  |
| Ledford Laurie<br>1166 AVENUE OF THE AMERICAS<br>NEW YORK, NY 10036 | Â             | Â         | SVP & Chief HR Officer | Â     |  |  |  |
| Signatures  |               |           |                        |       |  |  |  |
| /s/ Tiffany D. Wooley,<br>Attorney-in-Fact                          | 02/13/2017    |           |                        |       |  |  |  |
| **Signature of Reporting Person                                     | I             | Date      |                        |       |  |  |  |

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.