#### Edgar Filing: SOUTHWESTERN ENERGY CO - Form 4

SOUTHWESTERN ENERGY CO Form 4 February 22, 2017 OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Stoute Charles G Issuer Symbol SOUTHWESTERN ENERGY CO (Check all applicable) [SWN] 3. Date of Earliest Transaction (Last) (First) (Middle) Director 10% Owner Other (specify X\_Officer (give title (Month/Day/Year) below) below) 10000 ENERGY DRIVE 02/20/2017 Vice President -- HS&E (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting SPRING, TX 77389 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired 5. Amount of 6. Ownership 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of (D) Securities Form: Direct Indirect (Instr. 3) any Code (Instr. 3, 4 and 5) Beneficially (D) or Beneficial (Month/Day/Year) (Instr. 8) Owned Indirect (I) Ownership Following (Instr. 4) (Instr. 4) Reported (A) Transaction(s) or (Instr. 3 and 4) Code V Amount (D) Price Common \$ A<sup>(1)</sup> 02/20/2017 1,737 А 19,285 D Stock 8.68 Common F<sup>(2)</sup> 02/20/2017 568 D D 18,717 8.68 Stock Common D 02/21/2017(3) \$0 Α 11,830 A 30,547 Stock Common by 401(k) 5,430.5607 Ι Stock Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount o Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Share
Stock Options (Right to Buy)	\$ 8.59	02/21/2017 <u>(3)</u>		А	26,130	02/21/2018 <u>(4)</u>	02/21/2024	Common Stock	26,130

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer		Other		
Stoute Charles G 10000 ENERGY DRIVE SPRING, TX 77389			Vice President -	- HS&E			
Signatures							
/s/ Melissa D. McCarty, attorne	02/22/20	017					

Stoute <u>\*\*</u>Signature of Reporting Person

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Date

- (1) February 20, 2017, distribution to reporting person of issuer's common stock pursuant to Performance Unit Award Agreement dated December 5, 2013.
- (2) Represents net share settlement of common stock award to satisfy withholding taxes on February 20, 2017.
- (3) Restricted stock and options granted in consideration of services as an officer.
- (4) Options become exercisable in three equal installments beginning on the first anniversary of the grant date specified in Column 3 or immediately upon death, disability, retirement at age 65 with required years of service, or a change in control.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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