Ingredion Inc Form 3 March 13, 2017

### FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB APPROVAL

OMB Number:

3235-0104

Expires:

January 31, 2005

0.5

Estimated average burden hours per response...

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement Ingredion Inc [INGR] Gray James D (Month/Day/Year) 03/01/2017 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 5 WESTBROOK CORPORATE (Check all applicable) **CENTER** (Street) 6. Individual or Joint/Group 10% Owner Director \_X\_\_ Officer Other Filing(Check Applicable Line) (give title below) (specify below) \_X\_ Form filed by One Reporting Executive VP and CFO Person WESTCHESTER, ÂILÂ 60154 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 4. Nature of Indirect Beneficial 1. Title of Security 2. Amount of Securities Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Â Common Stock D 8,146.7955 (1) (2) Common Stock 300.1144 (3) I Phantom Stock Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

currently valid OMB control number.

1. Title of Derivative Security	2. Date Exercisable and	3. Title and Amount of	4.	5.	6. Nature of Indirect
(Instr. 4)	Expiration Date	Securities Underlying	Conversion	Ownership	Beneficial Ownership
	(Month/Day/Year)	Derivative Security	or Exercise	Form of	(Instr. 5)
		(Instr. 4)	Price of	Derivative	

#### Edgar Filing: Ingredion Inc - Form 3

	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivative Security	Security: Direct (D) or Indirect (I) (Instr. 5)	
Employee Stock Options (Right to Buy)	(4)	02/03/2024	Common Stock	7,710	\$ 59.58	D	Â
Employee Stock Options (Right to Buy)	(5)	02/02/2025	Common Stock	4,988	\$ 82.28	D	Â
Employee Stock Options (Right to Buy)	(6)	02/01/2026	Common Stock	4,807	\$ 99.96	D	Â
Employee Stock Options (Right to Buy)	(7)	02/06/2027	Common Stock	12,090	\$ 118.97	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Relationships				
	Director	10% Owner	Officer	Other	
Gray James D 5 WESTBROOK CORPORATE CENTER WESTCHESTER, IL 60154	Â	Â	Executive VP and CFO	Â	

### **Signatures**

Christine M. Castellano, Attorney-in-Fact

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
  - Includes 2,672.7955 restricted stock units ("RSUs") issued under the Ingredion Incorporated Stock Incentive Plan. The RSUs may be settled only in shares of common stock (one share per RSU). 630.1352 RSUs will vest on February 3, 2018, 571.6603 RSUs will vest on
- (1) February 2, 2019 and 1,471 RSUs will vest on February 7, 2020. In the event of termination of employment due to (a) death, (b) disability or (c) retirement on or after (i) age 65, (ii) age 62 with 5 years of service or (iii) age 55 with 10 years of service, the RSUs will vest on a pro-rata basis using the number of full months employed during the thirty-six month vesting period.
- (2) Includes RSUs acquired through deemed dividend reinvestment. RSUs acquired through deemed dividend reinvestment vest on the dates when the RSUs with respect to which they are deemed dividends vest.
- (3) Each phantom stock unit represents the right to receive one share of common stock.
- (4) These options vested in three equal annual installments on February 4, 2015, 2016 and 2017.
- (5) Two thirds of these options vested in equal annual installments on February 3, 2016 and 2017, and the remaining one third of these options will vest on February 3, 2018.
- One third of these options vested on February 2, 2017, and the remaining two thirds of these options will vest in equal annual installments on February 2, 2018 and 2019.
- (7) These options will vest in three equal annual installments on February 7, 2018, 2019 and 2020.

Â

#### **Remarks:**

Reporting Owners 2

#### Edgar Filing: Ingredion Inc - Form 3

#### Exhibit List Exhibit 24--Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.