

Lariviere Alphonse J. JR
Form 3
November 17, 2017

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

Â Lariviere Alphonse J. JR

(Last) (First) (Middle)

C/O KAMAN CORPORATION,Â 1332 BLUE HILLS AVENUE

(Street)

BLOOMFIELD,Â CTÂ 06002

(City) (State) (Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)

11/09/2017

3. Issuer Name and Ticker or Trading Symbol
KAMAN Corp [KAMN]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed(Month/Day/Year)

(Check all applicable)

☐ Director ☐ 10% Owner
☒ Officer ☐ Other
(give title below) (specify below)
President Kaman Distribution

6. Individual or Joint/Group Filing(Check Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities Beneficially Owned
(Instr. 4)

3. Ownership Form:
Direct (D)
or Indirect (I)
(Instr. 5)

4. Nature of Indirect Beneficial Ownership
(Instr. 5)

Kaman Common Stock

16,496

D Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security
(Instr. 4)

2. Date Exercisable and Expiration Date
(Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security
(Instr. 4)

4. Conversion or Exercise Price of Derivative

5. Ownership Form of Derivative Security:

6. Nature of Indirect Beneficial Ownership
(Instr. 5)

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Stock Options (Right to Buy)	Â <u>(1)</u>	02/18/2023	Kaman Common Stock	1,100	\$ 36.29	D	Â
Stock Options (Right to Buy)	Â <u>(1)</u>	02/19/2024	Kaman Common Stock	2,264	\$ 39.22	D	Â
Stock Options (Right to Buy)	Â <u>(1)</u>	02/17/2025	Kaman Common Stock	4,302	\$ 39.54	D	Â
Stock Options (Right to Buy)	Â <u>(1)</u>	02/23/2026	Kaman Common Stock	8,755	\$ 42.86	D	Â
Stock Options (Right to Buy)	Â <u>(1)</u>	02/17/2027	Kaman Common Stock	9,020	\$ 51.97	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Lariviere Alphonse J. JR C/O KAMAN CORPORATION 1332 BLUE HILLS AVENUE BLOOMFIELD,Â CTÂ 06002	Â	Â	Â President Kaman Distribution	Â

Signatures

/s/ Alphonse J.
Lariviere, Jr. 11/17/2017

Signature of Reporting
Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Exercisable at the rate of 20% per year, generally beginning March 1st of the year after the grant date; expires ten (10) years after grant.

(1) All options and stock appreciation rights were issued under the Corporation's 16b-3 qualified Stock Incentive Plans. The Plans include a feature which permits the exercise price for an option to be paid by withholding a portion of the shares otherwise issuable upon exercise.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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