

Grubb Michael J.
Form 3
February 01, 2018

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *

Grubb Michael J.
(Last) (First) (Middle)

250 GIBRALTAR ROAD

(Street)

HORSHAM, PA 19044

(City) (State) (Zip)

2. Date of Event Requiring
Statement

(Month/Day/Year)
01/26/2018

3. Issuer Name and Ticker or Trading Symbol
TOLL BROTHERS INC [TOL]

4. Relationship of Reporting
Person(s) to Issuer

(Check all applicable)

____ Director ____ 10% Owner
____X____ Officer ____ Other
(give title below) (specify below)
Chief Accounting Officer

5. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group
Filing(Check Applicable Line)
____X____ Form filed by One Reporting
Person
____ Form filed by More than One
Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities
Beneficially Owned
(Instr. 4)

3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)

4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Common Stock

1,039

D

^

Common Stock

175

I

401(k) Plan

Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

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information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.**

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative
Security
(Instr. 4)

2. Date Exercisable and
Expiration Date
(Month/Day/Year)

3. Title and Amount of
Securities Underlying
Derivative Security
(Instr. 4)

4. Conversion
or Exercise
Price of
Derivative

5. Ownership
Form of
Derivative
Security:

6. Nature of Indirect
Beneficial
Ownership
(Instr. 5)

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Stock Options (Right to Buy)	12/17/2013	12/17/2022	Common Stock	1,250	\$ 32.22	D	Â
Stock Options (Right to Buy)	12/18/2016 ⁽¹⁾	12/18/2025	Common Stock	1,500	\$ 32.85	D	Â
Stock Options (Right to Buy)	12/19/2015 ⁽²⁾	12/19/2024	Common Stock	1,375	\$ 32.49	D	Â
Stock Options (Right to Buy)	12/20/2012	12/20/2021	Common Stock	1,000	\$ 20.5	D	Â
Stock Options (Right to Buy)	12/20/2014	12/20/2023	Common Stock	1,375	\$ 35.16	D	Â
Restricted Stock Units	01/05/2017 ⁽³⁾	01/05/2020	Common Stock	366	\$ 0	D	Â
Restricted Stock Units	12/01/2018 ⁽⁴⁾	12/01/2021	Common Stock	837	\$ 0	D	Â
Restricted Stock Units	01/05/2016 ⁽⁵⁾	01/05/2019	Common Stock	348	\$ 0	D	Â
Restricted Stock Units	12/01/2017 ⁽⁶⁾	12/01/2020	Common Stock	1,076	\$ 0	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Grubb Michael J. 250 GIBRALTAR ROAD HORSHAM, PA 19044	Â	Â	Â Chief Accounting Officer	Â

Signatures

/s/Kathryn G.
Flanagan, attorney-in-fact

02/01/2018

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) 750 exercisable as of 1/26/2018. 375 exercisable on 12/18/2018 and 12/18/2019.

(2) 1,031 exercisable as of 1/26/2018. 344 exercisable on 12/19/2018.

(3) 183 vested as of 1/26/2018. 91 vest on 1/5/2019 and 1/5/2020.

(4) Vesting 25% on 12/1/2018, 2019, 2020 and 2021.

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(5) 261 vested as of 1/26/2018. 87 vest 1/5/2019.

(6) 269 vested as of 1/26/2018. 269 vest on 12/1/2018, 2019 and 2020.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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