Edgar Filing: HOPKINS DEBORAH C - Form 4

August 16, 2018 FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMINISION Washington, D.C. 20549 Check this box if no longer subject to Section 16. Form 4 or Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations may continue. Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 10(b). Print or Type Responses 1. Name and Address of Reporting Person* HOPKINS DEBORAH C (Last) (Last) (Ifirst) (Middle) (Last) (Ifirst) (Middle) (Itirst) (Middle) (Mid	HOPKINS DEBORAH	С										
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 OMB APPROVAL Check this box if no longer subject to Section 16. STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Expires: January 31, 2005 State of 16. Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations may continue. Seetion 17(a) of the Public Utility Holding Company Act of 1940 S. Relationship of Reporting Person. Section 17(a) of the Investment Company Act of 1940 S. Relationship of Reporting Person(s) to Issuer Print or Type Responses 2. Issuer Name and Ticker or Trading Symbol S. Relationship of Reporting Person(s) to Issuer S. Relationship of Reporting Person(s) to Issuer (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year)	Form 4											
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OMB 3235-0287 Washington, D.C. 20549 Number: January 31, 2005 Sction 16. SECURITIES Form 4 or Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section see Instruction 30(h) of the Investment Company Act of 1940 1(b). 2. Issuer Name and Ticker or Trading Print or Type Responses) 2. Issuer Name and Ticker or Trading 1. Name and Address of Reporting Person [*] 2. Issuer Name and Ticker or Trading MARSH & MCLENNAN COMPANIES, INC. [MMC] (Last) (First) (Middle) 3. Date of Earliest Transaction -X_Director (Month/Day/Year) Officer (give tile Oblow) Officer (give tile Volter (specify below)	FORM 4						NGE			PPROVAL		
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1. Name and Address of Reporting Person [*] 2. Issuer Name and Ticker or Trading Symbol 5. Relationship of Reporting Person(s) to Issuer HOPKINS DEBORAH C Symbol MARSH & MCLENNAN COMPANIES, INC. [MMC] 6. Relationship of Reporting Person(s) to Issuer (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) 6. Z_ Director 10% Owner C/O MARSH & MCLENNAN COMPANIES, INC., 1166 08/15/2018 08/15/2018	Form 5 obligations may continue. See Instruction Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940									. 0.0		
HOPKINS DEBORAH C Symbol Issuer MARSH & MCLENNAN COMPANIES, INC. [MMC] (Check all applicable) (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year)	(Print or Type Responses)											
C/O MARSH & MCLENNAN COMPANIES, INC., 1166(Month/Day/Year) 08/15/2018Officer (give title below)Other (specify below)	HOPKINS DEBORAH C Sym MA			H & MCI	LENNAN	1	ng	Issuer				
	(Mc			Day/Year)	ransaction			Officer (give title Other (specify				
(Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) _X_Form filed by One Reporting Person	· · · · · · · · · · · · · · · · · · ·				-	1		Applicable Line) _X_ Form filed by One Reporting Person				
NEW YORK, NY 10036 Form filed by More than One Reporting Person	NEW YORK, NY 1003	6							More than One R	eporting		
(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned	(City) (State)	(Zip)	Tab	ole I - Non-I	Derivative	Secur	ities A	cquired, Disposed o	of, or Beneficia	lly Owned		
	Security (Month/Day/Year) Execution Date, if (Instr. 3) any		Date, if	TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5) (A))	Securities Beneficially Owned Following Reported Transaction(s)	Form: Direct (D) or Indirect (I)	Indirect Beneficial Ownership		
Code V Amount (D) Price (Insul 3 and 4) Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.	Domindom Dorost succes	to line for	loss of -									

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number of TransactionDerivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4,		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. De Se (Ir		
				Code	v	and 5) (A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stk. Units-Dir. Stk. Plan	<u>(1)</u>	08/15/2018		A <u>(2)</u>		362.36		<u>(3)</u>	<u>(3)</u>	Common Stock	362.36	\$
Restricted Stk. Units-Dir. Stk. Plan	<u>(1)</u>	08/15/2018		J <u>(4)</u>	V	28.31		<u>(3)</u>	<u>(3)</u>	Common Stock	28.31	\$

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Reporting Owners

Reporting Owner Name / Address		Relationships						
		Director	10% Owner	Officer	Other			
HOPKINS DEBORAH C C/O MARSH & MCLENNAN COMPANIES 1166 AVENUE OF THE AMERICAS NEW YORK, NY 10036	S, INC.	X						
Signatures								
/s/ Tiffany D. Wooley, Attorney-in-Fact	08/16/20	18						
**Signature of Reporting Person	Date							
Explanation of Response	s:							

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The security converts to Marsh & McLennan Companies common stock on a 1-for-1 basis.
- (2) Acquired in connection with director fees pursuant to the Marsh & McLennan Companies Directors Stock Compensation Plan.
- (3) Not Applicable.
- (4) Acquired with dividend equivalents credited to the reporting person's account under the Marsh & McLennan Companies Directors Stock Compensation Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.