

RANKIN ALFRED M ET AL  
 Form 5/A  
 September 10, 2018

**FORM 5**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0362  
 Expires: January 31, 2005  
 Estimated average burden hours per response... 1.0

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).  
 Form 3 Holdings Reported Form 4 Transactions Reported

**ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person \*  
 RANKIN ALFRED M ET AL

2. Issuer Name and Ticker or Trading Symbol  
 HYSTER-YALE MATERIALS HANDLING, INC. [HY]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year)  
 12/31/2017

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
 Chairman

5875 LANDERBROOK DRIVE

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)  
 02/14/2018

6. Individual or Joint/Group Reporting

(check applicable line)

MAYFIELD HEIGHTS, OH 44124

(City) (State) (Zip)

Form Filed by One Reporting Person  
 Form Filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|--|--|---|
| Class A Common Stock            | 12/14/2017                           | Â  | G                              | 7,602 D   | \$ 0 175,278   | I  |   |

Represents the proportionate limited partnership interest in shares held by AMR Associates LP

|                            |   |   |   |   |   |   |        |   |  |
|----------------------------|---|---|---|---|---|---|--------|---|--|
| Class A<br>Common<br>Stock | Â | Â | Â | Â | Â | Â | 14,752 | I | Held in an Individual Retirement Account for the benefit of the Reporting Person.                    |
| Class A<br>Common<br>Stock | Â | Â | Â | Â | Â | Â | 80,660 | I | Reporting Person serves as Trustee of a Trust for the benefit of the Alfred M. Rankin, Jr.           |
| Class A<br>Common<br>Stock | Â | Â | Â | Â | Â | Â | 10,271 | I | proportionate LP interest in shares held by RA I in qualified annuity interest trust-benefit of AMR  |
| Class A<br>Common<br>Stock | Â | Â | Â | Â | Â | Â | 951    | I | Represents the proportionate limited partnership interest in shares held by Rankin Associates II, LP |
| Class A<br>Common<br>Stock | Â | Â | Â | Â | Â | Â | 19     | I | proportionate general partnership interest shares held by RAIV                                       |
| Class A<br>Common<br>Stock | Â | Â | Â | Â | Â | Â | 1,087  | I | Proportionate limited partnership interest in shares held by Rankin Associates IV, L.P               |
| Class A<br>Common          | Â | Â | Â | Â | Â | Â | 179    | I | Represents the proportionate   |

|                      |   |   |   |   |   |   |        |   |  |
|----------------------|---|---|---|---|---|---|--------|---|--|
| Stock                |   |   |   |   |   |   |        |   | interest in shares held by RA5   |
| Class A Common Stock | Â | Â | Â | Â | Â | Â | 130    | I | Represents the proportionate interest in shares held by RAVI   |
| Class A Common Stock | Â | Â | Â | Â | Â | Â | 1,975  | I | proportionate LP interest in shares of RA II LP held by Rankin Management Inc as general partner               |
| Class A Common Stock | Â | Â | Â | Â | Â | Â | 45     | I | Proportionate Interest in shares held by RA5 held by Rankin Management Inc.                                    |
| Class A Common Stock | Â | Â | Â | Â | Â | Â | 44     | I | Proportionate Interest in shares held by RA6 held by Rankin Management Inc.                                    |
| Class A Common Stock | Â | Â | Â | Â | Â | Â | 21,286 | I | Reporting Person serves as Trustee of Trusts for the benefit of the Estate of Alfred M. Rankin. <sup>(1)</sup> |
| Class A Common Stock | Â | Â | Â | Â | Â | Â | 42,666 | I | proportionate LP interest in shares held by RA I LP held in trust for benefit of Bruce Rankin <sup>(1)</sup>   |
|                      | Â | Â | Â | Â | Â | Â | 26,119 | I |  |

|                            |   |   |   |   |   |   |        |   |  |   |
|----------------------------|---|---|---|---|---|---|--------|---|--|---|
| Class A<br>Common<br>Stock |   |   |   |   |   |   |        |   |  | proportionate<br>LP interest in<br>shares held by<br>RA II LP held<br>in trust for<br>benefit of<br>Bruce Rankin<br><u>(1)</u>  |
| Class A<br>Common<br>Stock | Â | Â | Â | Â | Â | Â | 46,182 | I |  | Trustee of a<br>Trust for the<br>benefit of<br>Bruce T<br>Rankin,<br>Trust's<br>proportionate<br>interest of<br>RAIV <u>(1)</u> |
| Class A<br>Common<br>Stock | Â | Â | Â | Â | Â | Â | 130    | I |  | Brother's<br>Proportionate<br>interest in<br>share held by<br>Rankin<br>Associates VI   |
| Class A<br>Common<br>Stock | Â | Â | Â | Â | Â | Â | 19     | I |  | Reporting<br>person serves<br>as Trustee of a<br>Trust for the<br>benefit of<br>Bruce T.<br>Rankin <u>(1)</u>                   |
| Class A<br>Common<br>Stock | Â | Â | Â | Â | Â | Â | 88,689 | I |  | Serves as<br>Trustee of<br>Trust fbo<br>Clara Rankin<br>representing<br>interest in<br>shares held by<br>RA5                    |
| Class A<br>Common<br>Stock | Â | Â | Â | Â | Â | Â | 5,051  | I |  | Serves as<br>Trustee of<br>Trust fbo<br>Clara Rankin<br>representing<br>interest in<br>shares held by<br>RA6                    |
| Class A<br>Common<br>Stock | Â | Â | Â | Â | Â | Â | 9,600  | I |  | Reporting   |

|                      |   |   |   |   |   |   |        |   |  |  |
|----------------------|---|---|---|---|---|---|--------|---|--|--|
| Common Stock         |   |   |   |   |   |   |        |   |  | Person serves as Trustee of Trusts for the benefit of each of grantor's grandchildren <sup>(1)</sup> |
| Class A Common Stock | Â | Â | Â | Â | Â | Â | 13,778 | I |  | Reporting Person Serves as Trustee for GSTs for the Benefit of Clara R. Williams                     |
| Class A Common Stock | Â | Â | Â | Â | Â | Â | 13,778 | I |  | Reporting Person Serves as Trustee for GSTs for the Benefit of Helen R. Butler                       |
| Class A Common Stock | Â | Â | Â | Â | Â | Â | 130    | I |  | Spouse's proportionate interest in shares held by RA VI  |
| Class A Common Stock | Â | Â | Â | Â | Â | Â | 21,006 | I |  | spouse serves as Trustee of a Trust for the benefit of Victoire G. Rankin <sup>(1)</sup>             |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 2270 (9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Date |           |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|---------|-----------|
|  |  |                                      |  |                                | (A) (D)   | Date Exercisable   | Expiration Date   | Title   | Amount or |

Edgar Filing: RANKIN ALFRED M ET AL - Form 5/A

Number  
of Shares

|                            |   |            |   |   |   |       |       |       |                            |        |
|----------------------------|---|------------|---|---|---|-------|-------|-------|----------------------------|--------|
| Class B<br>Common<br>Stock | Â | 12/14/2017 | Â | G | Â | 8,862 | Â (2) | Â (2) | Class A<br>Common<br>Stock | 8,862  |
| Class B<br>Common<br>Stock | Â | Â          | Â | Â | Â | Â     | Â (2) | Â (2) | Class A<br>Common<br>Stock | 14,160 |
| Class B<br>Common<br>Stock | Â | Â          | Â | Â | Â | Â     | Â (2) | Â (2) | Class A<br>Common<br>Stock | 17,556 |
| Class B<br>Common<br>Stock | Â | Â          | Â | Â | Â | Â     | Â (2) | Â (2) | Class A<br>Common<br>Stock | 18,373 |
| Class B<br>Common<br>Stock | Â | Â          | Â | Â | Â | Â     | Â (2) | Â (2) | Class A<br>Common<br>Stock | 951    |
| Class B<br>Common<br>Stock | Â | Â          | Â | Â | Â | Â     | Â (2) | Â (2) | Class A<br>Common<br>Stock | 31     |

Edgar Filing: RANKIN ALFRED M ET AL - Form 5/A

|                            |   |   |   |   |   |   |       |       |                            |        |
|----------------------------|---|---|---|---|---|---|-------|-------|----------------------------|--------|
| Class B<br>Common<br>Stock | Â | Â | Â | Â | Â | Â | Â (2) | Â (2) | Class A<br>Common<br>Stock | 1,721  |
| Class B<br>Common<br>Stock | Â | Â | Â | Â | Â | Â | Â (2) | Â (2) | Class A<br>Common<br>Stock | 1,975  |
| Class B<br>Common<br>Stock | Â | Â | Â | Â | Â | Â | Â (2) | Â (2) | Class A<br>Common<br>Stock | 21,286 |
| Class B<br>Common<br>Stock | Â | Â | Â | Â | Â | Â | Â (2) | Â (2) | Class A<br>Common<br>Stock | 76,330 |
| Class B<br>Common<br>Stock | Â | Â | Â | Â | Â | Â | Â (2) | Â (2) | Class A<br>Common<br>Stock | 26,119 |
| Class B<br>Common<br>Stock | Â | Â | Â | Â | Â | Â | Â (2) | Â (2) | Class A<br>Common<br>Stock | 73,166 |

|                            |   |   |   |   |   |   |       |       |                            |        |
|----------------------------|---|---|---|---|---|---|-------|-------|----------------------------|--------|
| Class B<br>Common<br>Stock | ^ | ^ | ^ | ^ | ^ | ^ | ^ (2) | ^ (2) | Class A<br>Common<br>Stock | 747    |
| Class B<br>Common<br>Stock | ^ | ^ | ^ | ^ | ^ | ^ | ^ (2) | ^ (2) | Class A<br>Common<br>Stock | 9,600  |
| Class B<br>Common<br>Stock | ^ | ^ | ^ | ^ | ^ | ^ | ^ (2) | ^ (2) | Class A<br>Common<br>Stock | 6,889  |
| Class B<br>Common<br>Stock | ^ | ^ | ^ | ^ | ^ | ^ | ^ (2) | ^ (2) | Class A<br>Common<br>Stock | 6,889  |
| Class B<br>Common<br>Stock | ^ | ^ | ^ | ^ | ^ | ^ | ^ (2) | ^ (2) | Class A<br>Common<br>Stock | 21,006 |

## Reporting Owners

| Reporting Owner Name / Address  | Relationships |           |            |       |
|---|---------------|-----------|------------|-------|
|   | Director      | 10% Owner | Officer    | Other |
| RANKIN ALFRED M ET AL<br>5875 LANDERBROOK DRIVE<br>MAYFIELD HEIGHTS, OH 44124 | ^ X           | ^         | ^ Chairman | ^     |



## Signatures

/s/ Suzanne S. Taylor,  
attorney-in-fact

09/09/2018

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
  - \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reporting Person disclaims beneficial ownership of all such shares.
  - (2) N/A

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.