RANKIN ROGER F
Form 4
January 08, 2019

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person *
RANKIN ROGER F

(Last) (First) (Middle)

5875 LANDERBROOK DRIVE

(Street)

MAYFIELD HEIGHTS, OH 44124

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading Symbol
HYSTER-YALE MATERIALS HANDLING, INC. [HY]

3. Date of Earliest Transaction (Month/Day/Year)
01/04/2019

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

_____ Director _____ 10% Owner
_____ Officer (give title below) __X__ Other (specify below)
Member of a Group

6. Individual or Joint/Group Filing(Check Applicable Line)
_X_ Form filed by One Reporting Person
___ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price			
Class A Common Stock	01/04/2019		P		75 (1)	A	$ 63.8527	10,272	I	spouse serves as Trustee of a Trust for the benefit of Alison Rankin (2)
Class A Common Stock	01/04/2019		P		1 (1)	A	$ 63.06 (3)	596	I	Interest in shares held by Rankin Associates V
Class A Common	01/04/2019		P		15 (1)	A	$ 63.06 (3)	38,285	I	Serves as Trustee of

Stock								GSTs for the benefit of A. Farnham Rankin
Class A Common Stock	01/04/2019	P	15 [(1)]	A	$ 63.06 [(3)]	38,285	I	Serves as Trustee of GSTs for the benefit of Elisabeth M. Rankin
Class A Common Stock	01/04/2019	P	1 [(1)]	A	$ 64.14 [(4)]	70	I	Interest in shares of Rankin Associates V held by Rankin Management
Class A Common Stock	01/04/2019	P	1 [(1)]	A	$ 64.14 [(4)]	597	I	Interest in shares held by Rankin Associates V
Class A Common Stock	01/04/2019	P	1 [(1)]	A	$ 63.06 [(3)]	552	I	Spouse's proportionate interest in shares held by Rankin Associates VI [(2)]
Class A Common Stock	01/04/2019	P	1 [(1)]	A	$ 64.14 [(4)]	87	I	Interest in shares of Rankin Associates VI held by Rankin Management
Class A Common Stock	01/04/2019	P	1 [(1)]	A	$ 64.14 [(4)]	255	I	Proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	01/04/2019	P	2 [(1)]	A	$ 64.14 [(4)]	554	I	Spouse's proportionate interest in shares held by Rankin Associates VI [(2)]

Class A Common Stock	01/04/2019	P	2 [(1)]	A	$ 64.14 [(4)]	465	I	Child's Proportionate interest in shares held by Rankin Associates VI [(5)]
Class A Common Stock	01/04/2019	P	2 [(1)]	A	$ 64.14 [(4)]	465	I	Child's Proportionate interest of shares held by Rankin Associates VI [(6)]
Class A Common Stock						54,147	I	spouses proportionate LP interest in shares held by RA1 [(2)]
Class A Common Stock						29,986	I	spouse's proportionate limited partnership interest in shares held by Rankin Associates II, L.P [(2)]
Class A Common Stock						200	I	Reporting person serves as Trustee for the benefit of Elisabeth Rankin
Class A Common Stock						765	I	Reporting person serves as co-trustee of trust fbo Elisabeth M. Rankin
Class A Common Stock						20,426	I	daughter's proportionate LP interest in shares held by RA II LP held in a trust [(2)]
						1,851	I	

Class A Common Stock			Spouse is Trustee for the benefit of Reporting Person's daughter. (2)
Class A Common Stock	93	I	Child's proportionate interest in shares held by Rankin Associates V (2)
Class A Common Stock	1,209	I	Reporting Person Serves as Trustee for the benefit of A. Farnham Rankin
Class A Common Stock	18,294	I	daughter's proportionate LP interest in shares held by RA II LP held in a trust (2)
Class A Common Stock	93	I	Child's proportionate interest in shares held by Rankin Associates V (2)
Class A Common Stock	3,738	I	Spouse is Co-Trustee for the benefit of Reporting Person's daughter. (2)
Class A Common Stock	19	I	proportionate general partnership interest in shares of Rankin Associates IV,
	3,448	I	

Class A Common Stock			proportionate limited partnership interest in shares held by Rankin Associates I, L.P
Class A Common Stock	4,283	I	proportionate limited partnership interests in shares held by Rankin Associates II, L.P
Class A Common Stock	65,824	I	proportionate limited partnership interest in shares held by Rankin Associates IV, L.P
Class A Common Stock	1,975	I	proportionate interest in shares held by Rankin Management, Inc. ("RMI).
Class A Common Stock	192,662	I	Reporting Person serves as Trustee of a Trust for the benefit of Roger F. Rankin

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(*e.g.*, puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Price Derivati Security (Instr. 5)
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Class B Common Stock	(7)							(7)	(7)	Class A Common Stock	444	
Class B Common Stock	(7)							(7)	(7)	Class A Common Stock	96,861	
Class B Common Stock	(7)							(7)	(7)	Class A Common Stock	29,986	
Class B Common Stock	(7)							(7)	(7)	Class A Common Stock	3,123	
Class B Common Stock	(7)							(7)	(7)	Class A Common Stock	200	
Class B Common Stock	(7)							(7)	(7)	Class A Common Stock	20,426	

Class B Common Stock	(7)		(7)	(7)	Class A Common Stock	1,851
Class B Common Stock	(7)		(7)	(7)	Class A Common Stock	18,294
Class B Common Stock	(7)		(7)	(7)	Class A Common Stock	3,738
Class B Common Stock	(7)		(7)	(7)	Class A Common Stock	6,889
Class B Common Stock	(7)		(7)	(7)	Class A Common Stock	6,889
Class B Common Stock	(7)		(7)	(7)	Class A Common Stock	31
Class B Common Stock	(7)		(7)	(7)	Class A Common Stock	6,168

Class B Common Stock	(7)		(7)	(7)	Class A Common Stock	4,283
Class B Common Stock	(7)		(7)	(7)	Class A Common Stock	104,286
Class B Common Stock	(7)		(7)	(7)	Class A Common Stock	1,975
Class B Common Stock	(7)		(7)	(7)	Class A Common Stock	193,760

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
RANKIN ROGER F 5875 LANDERBROOK DRIVE MAYFIELD HEIGHTS, OH 44124				Member of a Group

Signatures

/s/ Suzanne S. Taylor, attorney-in-fact	01/08/2019
**Signature of Reporting Person	Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Shares purchased pursuant to 10b5-1 plan

(2) Reporting Person disclaims beneficial ownership of all such shares.

(3) 2019-Jan-4 -Block 1 Weighted Average- Share Price represents average price between $62.81 and $63.77.

(4) 2019-Jan-4 -Block 2 Weighted Average- Share Price represents average price between $63.95 and $64.20.

(5) Interest held by Trust u/a/d 8/15/2012

(6) Held in trust u/a/d 12/30/2015

(7) N/A

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