Edgar Filing: Wicks Tony D.S. - Form 4

Wicks Tony D.S. Form 4 August 28, 2009 FORM 4 Image: Check this box if no longer subject to Section 16. MITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 OMB APPROVAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF Form 5 obligations may continue. See Instruction 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 17(a) of the Public Utility Holding Company Act of 1940 1(b). MB 2035 (Print or Type Responses) State of the Securities Exchange Act of 1940 State of the Securities Exchange Act of 1940 State of the Securities Exchange Act of 1940								
1. Name and A Wicks Tony	ddress of Reporting Person D.S.	 2. Issuer Name and Symbol NovaBay Pharma [NBY] 		Issuer	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last) C/O 5980 H STREET, S		3. Date of Earliest Tra (Month/Day/Year) 08/28/2009	ansaction	X Director Officer (giv below)	Officer (give title Other (specify			
(Street) 4. If Amendment, Date Original Filed(Month/Day/Year)			6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person					
(City)	(State) (Zip)	Table I - Non-D	erivative Securities	Acquired, Disposed	of. or Benefici	ally Owned		
1.Title of Security (Instr. 3)	2. Transaction Date 2A. (Month/Day/Year) Exec any (Mo	Deemed 3. ution Date, if Transaction Code uth/Day/Year) (Instr. 8)	4. Securities nAcquired (A) or Disposed of (D) (Instr. 3, 4 and 5) (A) or	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of		
Common Stock	08/28/2009	Code V A	Amount (D) Pr 1,428 A \$	ice	I	By the Tony D. Wicks and Anne K. Wicks Revocable Trust		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Titl Amou Under Secur (Instr.	int of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Own Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address		Relationsh				
1	Director	10% Owner	Officer	Other		
Wicks Tony D.S. C/O 5980 HORTON STREET SUITE 550 EMERYVILLE, CA 94608	Х					
Signatures						
/s/ Theresa Granados, Attorney Wicks		08/28/2009				
<u>**</u> Signature of Report		Date				
Explanation of Responses:						

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.