#### SIMBERG BRUCE

Form 4 May 25, 2010

### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5

#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Estimated average burden hours per response...

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

SIMBERG BRUCE

2. Issuer Name and Ticker or Trading

Symbol

21ST CENTURY HOLDING CO

3. Date of Earliest Transaction

[TCHC]

(Last) (First) (Middle)

> (Month/Day/Year) 05/21/2010

3661 WEST OAKLAND PARK BLVD, SUITE 300

> (Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

OMB

Number:

Expires:

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

Issuer

(Check all applicable)

\_X\_ Director 10% Owner \_\_X\_\_ Other (specify Officer (give title

below) below)

Chairman of the Board

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

#### LAUDERDALE LAKES, FL 33311

(City)	(State)	(Zip) Tabl	le I - Non-l	Derivative	Secu	rities Acqu	ired, Disposed of	, or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired action(A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)	, ,	
Common Stock	05/21/2010		P	700	A	\$ 3.55	194,479	D	
Common Stock	05/24/2010		P	520	A	\$ 3.55	194,999	D	
Common Stock	05/24/2010		P	600	A	\$ 3.65	195,599	D	
Common Stock	05/24/2010		P	900	A	\$ 3.6599	196,499	D	
Common Stock	05/24/2010		P	2,000	A	\$ 3.7691	198,499	D	

#### Edgar Filing: SIMBERG BRUCE - Form 4

Common 05/24/2010 P 500 A \$ 3.77 198,999 D Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

(9-02)

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Options	\$ 15.79					12/05/2006(1)	12/05/2011	Common Stock	10,000
Options	\$ 13.17					12/06/2008(1)	12/06/2013	Common Stock	500
Options	\$ 12.58					01/30/2009(1)	01/30/2014	Common Stock	4,500
Options	\$ 4.73					01/02/2010(2)	01/02/2019	Common Stock	25,000

Relationships

# **Reporting Owners**

Reporting Owner Name / Address				•
	Director	10% Owner	Officer	Other
SIMBERG BRUCE 3661 WEST OAKLAND PARK BLVD SUITE 300 LAUDERDALE LAKES FL 33311	X			Chairman of the Board

Reporting Owners 2

## **Signatures**

Bruce Simberg 05/25/2010

\*\*Signature of Date
Reporting Person

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The options vest 20% per year.
- (2) The options vest 33 1/3% per year.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3