## Edgar Filing: LSI INDUSTRIES INC - Form 4

LSI INDUST	<b>TRIES INC</b>										
Form 4											
October 05, 2											
FORM	$ 4 _{\mathrm{UNUTE}}$	та статес	SECUD	TTIES A	ND EV(	<b>TT A</b> 1	NCE	COMMISSION	-	PPROVAL	
	UNITE	DSIAILS		shington,			NGE	_0111115510N	OMB Number:	3235-0287	
Check thi	s box		vv as	mington,	D.C. 20.	<b>, , ,</b>				January 31,	
if no long	F CHAN	GES IN	BENEFI	CIA	LOW	NERSHIP OF	Expires:	2005			
subject to Section 10		SECUR	ITIES				Estimated a burden hou				
Form 4 or	r								response	•	
Form 5 obligatior		•					-	ge Act of 1934,			
may conti				•	•	· ·		f 1935 or Sectio	n		
See Instru		30(h)	of the In	vestment	Compan	y Act	t of 19	40			
1(b).											
(Print or Type R	(esponses)										
	ddress of Report	ing Person <sup>*</sup>	2. Issuer	Name and	Ticker or '	Fradin	ıg	5. Relationship of	Reporting Per	son(s) to	
OGARA WILFRED T			Symbol					Issuer			
			LSI INDUSTRIES INC [LYTS]					(Check all applicable)			
(Last)	(First)	(Middle)	3. Date of	Earliest Tr	ansaction			(chie	in un upprouon	-)	
			(Month/Day/Year)					X_ Director 10% Owner Officer (give title Other (specify			
	ORATE PAR	K	10/03/20	011				below)	below)	er (specify	
DRIVE, SU	11E 301										
	(Street)			ndment, Da	-			6. Individual or Jo	oint/Group Filin	1g(Check	
			Filed(Month/Day/Year)					Applicable Line) _X_ Form filed by One Reporting Person			
CINCINNA'	TI, OH 45242	2						Form filed by M			
								Person			
(City)	(State)	(Zip)	Tabl	e I - Non-D	erivative S	Securi	ties Ac	quired, Disposed of	f, or Beneficial	lly Owned	
1.Title of	2. Transaction	Date 2A. Dee	med	3.				5. Amount of	6. Ownership		
(Instr. 3) any			on Date, if	Transaction(A) or Disposed of Code (D)			d of			Indirect Beneficial	
			Code (D) /Day/Year) (Instr. 8) (Instr. 3, 4 and 5)				5)	Owned	(D) or Indirect (I)	Ownership	
			-					Following	(Instr. 4)	(Instr. 4)	
						(A)		Reported Transaction(s)			
						or	р.	(Instr. 3 and 4)			
Common					Amount	(D)	Price \$				
Shares	10/03/2011			А	506	А	ф 5.93	11,219	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivativ Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Price Derivat Securit (Instr. 5
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Option to Buy $(3)$	\$ 11.68					(2)	11/14/2011	Common Shares	2,813	
Option to Buy $(3)$	\$ 8.76					(2)	11/14/2012	Common Shares	1,875	
Option to Buy $(3)$	\$ 11.85					<u>(1)</u>	11/13/2013	Common Shares	1,875	
Option to Buy $(3)$	\$ 9.96					<u>(1)</u>	10/27/2014	Common Shares	5,000	
Option to Buy $(3)$	\$ 10.71					<u>(1)</u>	11/18/2014	Common Shares	1,500	
Option to Buy $(3)$	\$ 17.02					<u>(1)</u>	11/15/2015	Common Shares	1,500	
Option to Buy $(3)$	\$ 17.6					<u>(1)</u>	08/24/2016	Common Shares	2,500	
Option to Buy $(3)$	\$ 17.55					<u>(1)</u>	11/14/2016	Common Shares	1,500	
Option to Buy $(3)$	\$ 19.76					<u>(1)</u>	08/24/2017	Common Shares	2,500	
Option to Buy $(3)$	\$ 19.68					<u>(1)</u>	11/15/2017	Common Shares	1,500	
Option to Buy	\$ 8.98					<u>(1)</u>	08/22/2018	Common Shares	2,500	

(3	)					
	Deption Deption Deption Deption Deption Deption	\$ 4.6	<u>(1)</u>	11/20/2018	Common Shares	1,500
	Deption Deption Deption Deption Deption Deption	\$ 8.4	<u>(1)</u>	08/21/2019	Common Shares	3,500
	Detion Define Buy	\$ 7.2	<u>(1)</u>	11/19/2019	Common Shares	1,500
	Deption Deption Deption Deption Deption Deption Deption	\$ 5.21	<u>(1)</u>	08/19/2020	Common Shares	2,500
	Deption Deption Deption Deption Deption Deption	\$ 8.92	<u>(1)</u>	11/18/2020	Common Shares	1,500

## **Reporting Owners**

(2

		Relationships							
Director 10% Own		Officer Other							
ζ									
Signatures									
vilfred	1 T.	10/0	05/2011						
	ζ		K Vilfred T.						

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Non-Qualified stock options granted pursuant to the Company's 2003 Equity Compensation Plan. The options vest at a rate of 25% at the conclusion of each 90 day period following the date of grant.
- (2) Options granted pursuant to the Company's 1995 Directors Stock Option Plan. Options vest 25% per year commencing on the first anniversary of the date of grant.
- (3) These holdings have been previously reported on Form 4.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.