# Edgar Filing: CADORIA SHERIAN G - Form 144

CADORIA SHERIAN G

Form 144 November 08, 2011

November 0	8, 2011							
UNITED STATES					OMB APPROVAL			
SECURITIES AND EXCHANGE COMMISSION					OMB Number:	3235-0101		
		Washington, D.	C. 20549				Expires:	February 28, 2014
							Estimate burden	ed average
FORM 144					hours per			
	NOTICE OF	PROPOSED SA	ALE OF SEC	CURITIES			SEC USE ONLY	
PURSUANT TO RULE 144 UNDER THE SECURITIES ACT OF 1933					DOCUMENT SEQUENCE NO.			
A TTENTIO	CUSIP NUMBER ATTENTION: Transmit for filing 3 copies of this form concurrently with either placing an							NUMBER
	broker to execute sale	_		-				
1 (a) NAME	E OF ISSUER (Please ty	pe or print)	(b) IRS IDENT.		S.E.C. FILE N	IO		ORK ATION
CLECO CO	)RP		72-1445	5282 I-56	563			
1 (d) ADDR OF ISSUER			CITY	STA	ATE ZIP C	ODE	(e) TELI NO	EPHONE
	P.O. Box 5000		Pinevill	e LA	71361	-5000	318-484	1-7400
FOR WHOS	E OF PERSON SE ACCOUNT RITIES ARE D	(b) RELATIONSHI TO ISSUER	. ,	RESS STR	EET CITY		STATE	ZIP CODE
CADORIA	SHERIAN G	Director	107 Lan	ncelot Drive	Mansı	ura	LA	71350
INSTRUCTION: The person filing this notice should contact the issuer to obtain the I.R.S. Identification Number and the S.E.C. File Number.								
3 (a)	(b)	SEC USE ONLY	(c)	(d)	(e)	(f)	(	g)
Title of the			Number of Shares	Aggregate	Number of Shares	Appro	oximate	Name of Each
Class of	Name and Address of Each Broker Through	Broker-Dealer	or Other Units	Market	or Other Units	Date	of Sale	Securities

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### Whom the

Securities	Securities are to be	File Number	To Be	Value	Outstanding	(See instr.	Exchange
To Be Sold	Offered or Each		Sold			3(f))	
	Market Maker						
	who is Acquiring the		(See instr.	(See instr.	(See instr.	(MO. DAY	(See instr.
	Securities		3(c))	3(d))	3(e))	YR.)	3(g))
	Citigroup Global						
Common	Markets			\$366,600			
Stock,	787 7th Avenue, 13th		10,000		61,073,826	11/08/2011	NYSE
\$1.00 par	floor			<u>(1)</u>	<u>(2)</u>		
	New York, NY 10019						

# **INSTRUCTIONS:**

- 1. (a) Name of issuer
  - (b) Issuer's I.R.S. Identification Number
  - (c) Issuer's S.E.C. file number, if any
  - (d) Issuer's address, including zip code
  - (e) Issuer's telephone number, including area code
- 2. (a) Name of person for whose account the securities are to be sold
  - (b) Such person's relationship to the issuer (e.g., officer, director, 10% stockholder, or member of immediate family of any of the foregoing)
  - (c) Such person's address, including zip code

- 3. (a) Title of the class of securities to be sold
  - (b) Name and address of each broker through whom the securities are intended to be sold
  - (c) Number of shares or other units to be sold (if debt securities, give the aggregate face amount)
  - (d) Aggregate market value of the securities to be sold as of a specified date within 10 days prior to filing of this notice
  - (e) Number of shares or other units of the class outstanding, or if debt securities the face amount thereof outstanding, as shown by the most recent report or statement published by the issuer
  - (f) Approximate date on which the securities are to be sold
  - (g) Name of each securities exchange, if any, on which the securities are intended to be sold

Potential persons who are to respond to the collection of information contained in this form are SEC 1147 not required to respond unless the form displays a currently valid OMB control number. (08-07)

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# TABLE I — SECURITIES TO BE SOLD

Furnish the following information with respect to the acquisition of the securities to be sold and with respect to the payment of all or any part of the purchase price or other consideration therefor:

			Name of Person from			
			Whom Acquired	Amount of		
Title of	Date you	Nature of Acquisition	(If gift, also give date	Securities	Date of	Nature of
the Class	Acquired	Transaction	donor acquired)	Acquired	Payment	Payment
Common Stock, \$1.00 par	11/08/2011 (3)	Exercise of non-qualified stock options (4)	Issuer	10,000	11/11/2011 ( <u>5</u> )	Check

INSTRUCTIONS: If the securities were purchased and full payment therefor was not made in cash at the time of purchase, explain in the table or in a note thereto the nature of the consideration given. If the consideration consisted of any note or other obligation, or if payment was made in installments describe the arrangement and state when the note or other obligation was discharged in full or the last installment paid.

### TABLE II — SECURITIES SOLD DURING THE PAST 3 MONTHS

Furnish the following information as to all securities of the issuer sold during the past 3 months by the person for whose account the securities are to be sold.

		Date of	Securities	
Name and Address of Seller	Title of Securities Sold	Sale	Sold	Gross Proceeds

# **EXPLANATION OF RESPONSES:**

- 1. Based on Cleco Corporation common stock closing price on 11/04/2011 (\$36.66).
- 2. As reported on eGovdirect as of 09/30/2011.
- 3. Approximate date of exercise.
- 4. Plan is S-8 registered.
- 5. Approximate date of settlement.

# **REMARKS:**

### **INSTRUCTIONS:**

See the definition of "person" in paragraph (a) of Rule 144. The person for whose account the securities to which this Information is to be given not only as to the person for whose account the securities are to be sold but also as to all other persons included in that definition. In addition, information shall be given as to sales by all persons whose sales are required by paragraph (e) of Rule 144 to be aggregated with sales for the account of the person filing this notice.

November 07, 2011 DATE OF NOTICE

ATTENTION: Intentional

DATE OF PLAN ADOPTION OR GIVING OF INSTRUCTION, IF **RELYING ON RULE 10B5-1** 

SEC 1147 (02-08)

misstatements or omission of facts constitute

Federal Criminal Violations (See 18 U.S.C. 1001)

### ATTENTION:

notice relates are to be sold hereby represents by signing this notice that he does not know any material adverse information in regard to the current and prospective operations of the Issuer of the securities to be sold which has not been publicly disclosed. If such person has adopted a written trading plan or given trading instructions to satisfy Rule 10b5-1 under the Exchange Act, by signing the form and indicating the date that the plan was adopted or the instruction given, that person makes such representation as of the plan adoption or instruction date.

/s/ Sherian G. Cadoria (SIGNATURE)

The notice shall be signed by the person for whose account the securities are to be sold. At least one copy of the notice shall be manually signed. Any copies not manually signed shall bear typed or printed signatures.