LSI INDUSTRIES INC

Form 4 July 02, 2013

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

OMB

Washington, D.C. 20549

3235-0287 Number: January 31, Expires:

2005

OMB APPROVAL

if no longer subject to Section 16. Form 4 or

Check this box

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Estimated average burden hours per response... 0.5

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

Shares

(Print or Type Responses)

| 1. Name and Address of Reporting Person * MEYER DENNIS B | | | 2. Issuer Name and Ticker or Trading Symbol | 5. Relationship of Reporting Person(s) to Issuer | | | |
|--|----------|----------|--|--|--|--|--|
| | | | LSI INDUSTRIES INC [LYTS] | (Check all applicable) | | | |
| (Last) | (First) | (Middle) | 3. Date of Earliest Transaction | | | | |
| | | | (Month/Day/Year) | X Director 10% Owner | | | |
| 02173 GREEN TIMBER TRAIL | | | 07/01/2013 | Officer (give title below) Other (specify below) | | | |
| | (Street) | | 4. If Amendment, Date Original | 6. Individual or Joint/Group Filing(Check | | | |
| MINSTER, | ОН 45865 | | Filed(Month/Day/Year) | Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person | | | |
| (City) | (State) | (Zip) | Table I - Non-Derivative Securities A | cquired, Disposed of, or Beneficially Owned | | | |
| 4 551 1 0 | | | | | | | |

| . • | | Table | I - Moll-De | iivalive S | ecuri | nes Ac | quii eu, Disposeu | oi, of Deficition | ny Owneu |
|------------|---------------------|--------------------|-------------|-----------------|-----------|--------|-------------------|-------------------|--------------|
| 1.Title of | 2. Transaction Date | 2A. Deemed | 3. | 4. Securit | ies | | 5. Amount of | 6. Ownership | 7. Nature of |
| Security | (Month/Day/Year) | Execution Date, if | Transactio | nAcquired | (A) o | r | Securities | Form: Direct | Indirect |
| (Instr. 3) | | any | Code | Disposed of (D) | | | Beneficially | (D) or | Beneficial |
| | | (Month/Day/Year) | (Instr. 8) | (Instr. 3, | 4 and | 5) | Owned | Indirect (I) | Ownership |
| | | | | | | | Following | (Instr. 4) | (Instr. 4) |
| | | | | | (A) | | Reported | | |
| | | | | | (A) | | Transaction(s) | | |
| | | | Code V | Amount | or (D) | Price | (Instr. 3 and 4) | | |
| Common | 07/01/2013 | | A | 1,188 | A | \$8 | 13,105 | D | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Trans Code (Instr. | | of De Sec Ac (A) Dis of (In | erivative curities quired or sposed (D) str. 3, and 5) | Expirat (Month e | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | |
|---|---|---|---|--------------------------------|---|-----------------------------|--|------------------------|--|--------------------|---|--|
| | | | | Code | V | (A |) (D) | Date Exercis | sable | Expiration Date | Title | Amount or Number of Shares |
| Option to Buy | \$ 11.85 | | | | | | | <u>(1</u> | <u>)</u> | 11/13/2013 | Common Shares | 1,875 |
| Option to Buy | \$ 9.96 | | | | | | | <u>(1</u> | <u>)</u> | 10/27/2014 | Common Shares | 5,000 |
| Option to Buy | \$ 10.71 | | | | | | | <u>(1</u> | <u>)</u> | 11/18/2014 | Common Shares | 1,500 |
| Option to Buy | \$ 17.02 | | | | | | | <u>(1</u> | <u>)</u> | 11/15/2015 | Common Shares | 1,500 |
| Option to Buy | \$ 17.6 | | | | | | | <u>(1</u> | <u>)</u> | 08/24/2016 | Common Shares | 2,500 |
| Option to Buy | \$ 17.55 | | | | | | | <u>(1</u> | <u>)</u> | 11/15/2016 | Common Shares | 1,500 |
| Option to Buy | \$ 19.76 | | | | | | | <u>(1</u> | <u>)</u> | 08/24/2017 | Common Shares | 2,500 |
| Option to Buy | \$ 19.68 | | | | | | | <u>(1</u> | <u>1)</u> | 11/15/2017 | Common Shares | 1,500 |
| Option to Buy | \$ 8.98 | | | | | | | <u>(1</u> | <u>)</u> | 08/22/2018 | Common Shares | 2,500 |
| Option to Buy | \$ 4.6 | | | | | | | <u>(1</u> | 1) | 11/20/2018 | Common Shares | 1,500 |
| Option to Buy | \$ 8.4 | | | | | | | <u>(1</u> |) | 08/21/2019 | Common Shares | 3,500 |

8. Price Derivat Securit (Instr. 5

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| (2) | | | | | |
|---------------|---------|------------|------------|------------------|-------|
| Option to Buy | \$ 7.2 | <u>(1)</u> | 11/19/2019 | Common Shares | 1,500 |
| Option to Buy | \$ 5.21 | <u>(1)</u> | 08/19/2020 | Common Shares | 2,500 |
| Option to Buy | \$ 8.92 | <u>(1)</u> | 11/18/2020 | Common Shares | 1,500 |
| Option to Buy | \$ 6.68 | <u>(1)</u> | 11/17/2021 | Common Shares | 1,500 |
| Option to Buy | \$ 6.58 | <u>(1)</u> | 08/15/2022 | Common Shares | 2,500 |
| Option to Buy | \$ 6.28 | (1) | 11/15/2022 | Common Shares | 1,500 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | | |
|--------------------------------|---------------|-----------|---------|-------|--|--|--|--|
| | Director | 10% Owner | Officer | Other | | | | |
| MEYER DENNIS B | | | | | | | | |
| 02173 GREEN TIMBER TRAIL | X | | | | | | | |

Signatures

MINSTER, OH 45865

/s/ F. Mark Reuter, Attorney-in-Fact for Dennis B. 07/02/2013 Meyer

> **Signature of Reporting Person Date

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Non-Qualified stock options granted pursuant to the Company's 2003 Equity Compensation Plan. The options vest at a rate of 25% at the conclusion of each 90 day period following the grant date.
- (2) These holdings have been previously reported on Form 4.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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