### Edgar Filing: FEDERATED NATIONAL HOLDING CO - Form 4

#### FEDERATED NATIONAL HOLDING CO

Form 4

November 22, 2013

### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

if no longer subject to Section 16. Form 4 or Form 5

Check this box

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Estimated average burden hours per response...

**OMB APPROVAL** 

obligations may continue.

See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

response...

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*SIMBERG BRUCE

2. Issuer Name **and** Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

Symbol

FEDERATED NATIONAL HOLDING CO [FNHC]

(Check all applicable)

(Last)

(First)

(Middle)

3. Date of Earliest Transaction

\_X\_\_ Director \_\_\_\_ Officer (give title \_\_\_\_\_ 10% Owner \_X\_\_ Other (specify

14050 N.W. 14 STREET, SUITE

(Street)

180

Code V

below) Chairman of the Board

4. If Amendment, Date Original Filed(Month/Day/Year)

(Month/Day/Year)

11/20/2013

Applicable Line)

\_X\_ Form filed by One Reporting Person
\_\_\_ Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

Person

below)

SUNRISE, FL 33323

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of 2. Transaction Security (Month/Day/Y (Instr. 3)

2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if any (Month/Day/Year)

3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of Securities Beneficially Owned Following 6. 7. Nature of
Ownership Indirect
Form: Direct Beneficial
(D) or Ownership
Indirect (I) (Instr. 4)

(A) or Reported Transaction(s) (Instr. 3 and 4)

Amount (D) Price

)

(Instr. 4)

Common Stock

11/20/2013

P 50,000 A \$ 10.75

420,517 (1) D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)			7. Title and A Underlying S (Instr. 3 and	Securities	8 I S ((
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Options	\$ 13.17					12/06/2008(2)	12/06/2013	Common Stock	500	
Options	\$ 12.58					01/30/2009(2)	01/30/2014	Common Stock	4,500	
Options	\$ 2.45					08/22/2012(3)	08/22/2021	Common Stock	6,666	
Options	\$ 4.4					04/06/2013(3)	04/06/2022	Common Stock	10,000	

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## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
SIMBERG BRUCE 14050 N.W. 14 STREET SUITE 180 SUNRISE EL 33323	X			Chairman of the Board			

### **Signatures**

/s/ Bruce F.
Simberg

\*\*Signature of Reporting Person

11/22/2013

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) 4,000 of the total shares are restricted shares subject to vesting under the Issuer's 2012 Stock Incentive Plan.
- (2) The options vest 33 1/3% per year.
- (3) The options vest 33 1/3% per year.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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