

ASTRO MED INC /NEW/
Form 4
March 24, 2014

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
PIZZUTI EVERETT V

(Last) (First) (Middle)

ASTROMED, 600 E GREENWICH AVENUE

(Street)

WEST WARWICK, RI 02893

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
ASTRO MED INC /NEW/ [ALOT]

3. Date of Earliest Transaction (Month/Day/Year)
03/21/2014

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
| | | | | (A) or (D) | Price | | |
| Common Stock | 03/21/2014 | | M | 21,250 | A \$ 7.9316 | 264,372 | D |
| Common Stock | 03/21/2014 | | M | 14,000 | A \$ 11.445 | 278,372 | D |
| Common Stock | 03/21/2014 | | M | 6,275 | A \$ 8.95 | 284,647 | D |
| Common Stock | 03/21/2014 | | M | 5,000 | A \$ 6.22 | 289,647 | D |
| Common Stock | 03/21/2014 | | M | 5,000 | A \$ 7.36 | 294,647 | D |

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| | | | | | | | |
|--------------|------------|------------------|--------|---|----------|---------|---|
| Common Stock | 03/21/2014 | M | 6,800 | A | \$ 8.35 | 301,447 | D |
| Common Stock | 03/21/2014 | F ⁽¹⁾ | 40,809 | D | \$ 13.77 | 260,638 | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | |
|--|--|--------------------------------------|--|--------------------------------|---|--|-----------------|---|----------------------------|
| | | | | | V (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Stock Option (Right to Purchase) | \$ 7.9316 | 03/21/2014 | | M | 21,250 | 03/20/2007 | 03/20/2016 | Common Stock | 21,250 |
| Stock Option (Right to Purchase) | \$ 11.445 | 03/21/2014 | | M | 14,000 | 04/12/2008 | 04/12/2017 | Common Stock | 14,000 |
| Stock Option (Right to Purchase) | \$ 8.95 | 03/21/2014 | | M | 6,275 | 04/01/2009 | 04/01/2018 | Common Stock | 6,275 |
| Stock Option (Right to Purchase) | \$ 6.22 | 03/21/2014 | | M | 5,000 | 03/18/2010 | 03/18/2019 | Common Stock | 5,000 |
| Stock Option (Right to Purchase) | \$ 7.36 | 03/21/2014 | | M | 5,000 | 03/15/2011 | 03/15/2020 | Common Stock | 5,000 |
| Stock Option | \$ 8.35 | 03/21/2014 | | M | 6,800 | 03/29/2013 | 03/29/2022 | Common Stock | 6,800 |

(Right to Purchase)

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|---------|-------|
| | Director | 10% Owner | Officer | Other |
| PIZZUTI EVERETT V ASTROMED 600 E GREENWICH AVENUE WEST WARWICK, RI 02893 | X | | | |

Signatures

| | |
|---|------------|
| Margaret D. Farrell (Attorney-in-fact for Everett V. Pizzuti) | 03/24/2014 |
|---|------------|

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The reporting person delivered 40,809 shares of the issuer's common stock to the issuer to pay the exercise price of and taxes on the exercise of the options reported herein.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.