

LSI INDUSTRIES INC  
 Form 3  
 September 02, 2014

**FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

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**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
 Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

|                                           |         |                                      |                                                                        |                                                      |
|-------------------------------------------|---------|--------------------------------------|------------------------------------------------------------------------|------------------------------------------------------|
| 1. Name and Address of Reporting Person * |         | 2. Date of Event Requiring Statement | 3. Issuer Name <b>and</b> Ticker or Trading Symbol                     |                                                      |
| Â Toney Shawn                             |         | (Month/Day/Year)                     | LSI INDUSTRIES INC [LYTS]                                              |                                                      |
| (Last)                                    | (First) | (Middle)                             | 08/20/2014                                                             |                                                      |
| LSI INDUSTRIES, INC.,Â 1000 ALLIANCE ROAD |         |                                      | 4. Relationship of Reporting Person(s) to Issuer                       | 5. If Amendment, Date Original Filed(Month/Day/Year) |
| (Street)                                  |         |                                      | (Check all applicable)                                                 |                                                      |
| CINCINNATI,Â OHÂ 45242                    |         |                                      | <input type="checkbox"/> Director                                      | <input type="checkbox"/> 10% Owner                   |
| (City)                                    | (State) | (Zip)                                | <input checked="" type="checkbox"/> Officer                            | <input type="checkbox"/> Other                       |
|                                           |         |                                      | (give title below)                                                     | (specify below)                                      |
|                                           |         |                                      | Pres. - LSI Lighting Solutions                                         |                                                      |
|                                           |         |                                      | 6. Individual or Joint/Group Filing(Check Applicable Line)             |                                                      |
|                                           |         |                                      | <input checked="" type="checkbox"/> Form filed by One Reporting Person |                                                      |
|                                           |         |                                      | <input type="checkbox"/> Form filed by More than One Reporting Person  |                                                      |

**Table I - Non-Derivative Securities Beneficially Owned**

| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
|---------------------------------|-------------------------------------------------------|----------------------------------------------------------|-------------------------------------------------------|
| Common Stock <sup>(1)</sup>     | 6,785                                                 | D                                                        | Â                                                     |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) | 4. Conversion or Exercise Price of Derivative Security | 5. Ownership Form of Derivative Security: Direct (D) | 6. Nature of Indirect Beneficial Ownership (Instr. 5) |
|--------------------------------------------|----------------------------------------------------------|-----------------------------------------------------------------------------|--------------------------------------------------------|------------------------------------------------------|-------------------------------------------------------|
|                                            |                                                          | Title                                                                       |                                                        |                                                      |                                                       |

## Edgar Filing: LSI INDUSTRIES INC - Form 3

|               | Date Exercisable | Expiration Date |              | Amount or Number of Shares |         | or Indirect (I) (Instr. 5) |   |
|---------------|------------------|-----------------|--------------|----------------------------|---------|----------------------------|---|
| Option to Buy | Â (2)            | 08/19/2020      | Common Stock | 9,000                      | \$ 5.21 | D                          | Â |
| Option to Buy | Â (2)            | 08/16/2022      | Common Stock | 20,000                     | \$ 6.58 | D                          | Â |
| Option to Buy | Â (2)            | 08/23/2023      | Common Stock | 25,000                     | \$ 7.2  | D                          | Â |

## Reporting Owners

| Reporting Owner Name / Address                                                    | Relationships |           |                                  |       |
|-----------------------------------------------------------------------------------|---------------|-----------|----------------------------------|-------|
|                                                                                   | Director      | 10% Owner | Officer                          | Other |
| Toney Shawn<br>LSI INDUSTRIES, INC.<br>1000 ALLIANCE ROAD<br>CINCINNATI, OH 45242 | Â             | Â         | Â Pres. - LSI Lighting Solutions | Â     |

## Signatures

/s/ Shawn Toney by F. Mark Reuter,  
Attorney-in-Fact

09/02/2014

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Common Shares held in the LSI Industries, Inc. Non-Qualified Deferred Compensation Plan
- (2) The options vest at a rate of 25% per year beginning on the first anniversary of the grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.