Edgar Filing: CVB FINANCIAL CORP - Form 4

| CVB FINAN Form 4 | CIAL CORP | | | | | | | | | | |
|--|--|--|---|--|---|---------------------------------|---|--|--|--|--|
| October 23, 2 | 2014 | | | | | | | | | | |
| FORM | Л | | | | | | | | | APPROVAL | |
| | UNITED | STATES | | ITIES A hington, | | | NGE | COMMISSION | N OMB Number: | 3235-0287 | |
| if no long subject to Section 16 Form 4 or Form 5 obligation may conti | Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue SECTION TOR CHANGING SECTION TO SECTION TO SECTI | | | | ES IN BENEFICIAL OWNERSHIP OF SECURITIES (a) of the Securities Exchange Act of 1934, ity Holding Company Act of 1935 or Section estment Company Act of 1940 | | | | | Expires: January 31 2009 Estimated average burden hours per response 0.9 | |
| 1(b). | | | | | | | | | | | |
| (Print or Type R | (esponses) | | | | | | | | | | |
| Borba George A Jr Symbol | | | r Name and Ticker or Trading [NANCIAL CORP [CVBF] | | | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | | |
| (Last) | (First) (M | /iddle) | 3. Date of | Earliest Tra | ansaction | | | (Cne | еск ан аррисар | le) | |
| 701 N HAVI | (Month/Da 01 N HAVEN AVE 10/22/20 | | | • | | | | X_ Director10% Owner Officer (give titleOther (specify below) below) | | | |
| | | | endment, Date Original nth/Day/Year) | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting | | | | |
| ONTARIO, | CA 91764 | | | | | | | Person | wore than one r | ceporting | |
| (City) | (State) | (Zip) | Table | e I - Non-D | erivative S | Secur | ities Ac | quired, Disposed o | of, or Beneficia | ally Owned | |
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deem Execution any (Month/D | Date, if | 3. Transactio Code (Instr. 8) | Disposed (Instr. 3, | (A) of (D 4 and (A) or |)) 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | | |
| Common Stock | 10/22/2014 | | | Code V A | 4,000 | (D) A | Price \$ 0 | 15,232 <u>(1)</u> | D | | |
| Common Stock | | | | | | | | 4,599,439 <u>(2)</u> | I | Trustee | |
| Common Stock | | | | | | | | 2,277,000 <u>(3)</u> | I | Trustee | |
| Common Stock | | | | | | | | 288 <u>(4)</u> | I | As Custodian | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)

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required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 4. Transacti Code (Instr. 8) | 5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) | | ate | Amou Unde Secur | le and int of rlying ities . 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Nu Deriv Secur Bene Owne Follo Repo Trans |
|---|---|---|---------------------------------------|--|---------------------|--------------------|-----------------------|---|---|---|
| | | | Code V | of (D) (Instr. 3, 4, and 5) (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | (Instr |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | | |
|--------------------------------|---------------|-----------|---------------|--|--|--|--|--|
| I | Director | 10% Owner | Officer Other | | | | | |
| Borba George A Jr | | | | | | | | |
| 701 N HAVEN AVE | Х | | | | | | | |
| ONTARIO, CA 91764 | | | | | | | | |
| Signatures | | | | | | | | |

| /s/ George A Borba Jr | 10/23/2014 | | | | |
|--|------------|--|--|--|--|
| <u>**</u> Signature of Reporting Person | Date | | | | |

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares held by George Borba, Jr. of which 12,000 are restricted.
- (2) Shares held by the George Borba Family Trust of which filer is co-trustee. Filer disclaims beneficial ownership of all such shares.
- (3) Shares held by the Borba Children's Trust of which filer is a co-trustee. Filer disclaims beneficial ownership of such shares except to the extent of his distributable interest.
- (4) Shares held by George Borba Jr. as custodian for minor children.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.