Edgar Filing: Brookdale Senior Living Inc. - Form 4

Brookdale Senior Living Inc. Form 4 September 16, 2015 FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 Check this box if no longer STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to **SECURITIES** Section 16. Form 4 or Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person * 2. Issuer Name and Ticker or Trading Ferge Kristin A Symbol Brookdale Senior Living Inc. [BKD] (First) (Middle) (Last) 3. Date of Earliest Transaction (Month/Day/Year) 6737 W. WASHINGTON 09/14/2015 STREET, SUITE 2300 (Street) 4. If Amendment, Date Original Filed(Month/Day/Year) MILWAUKEE, WI 53214 (City) (State) (Zip) 1.Title of 2. Transaction Date 2A. Deemed 3. Security (Month/Day/Year) Execution Date, if Transaction Disposed of (D) (Instr. 3)

5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner X_Officer (give title Other (specify below) below) EVP & Chief Accounting Officer 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 4. Securities Acquired (A) 5. Amount of 6. 7. Nature of Securities Ownership Indirect Code (Instr. 3, 4 and 5) Beneficially Form: Beneficial anv (Month/Day/Year) Owned Direct (D) Ownership (Instr. 8) Following or Indirect (Instr. 4) Reported (\mathbf{T}) (A) Transaction(s) (Instr. 4) or (Instr. 3 and 4) Code V Amount (D) Price S 09/14/2015 S⁽¹⁾ D 846 D 26.5838 106,859

(2)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Common

Stock

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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3235-0287

January 31,

2005

0.5

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Estimated average

burden hours per

1. Title of Derivative2. Conversion or ExerciseSecurity (Instr. 3)Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. ctionNumber of 8) Derivativ Securities Acquired (A) or Disposed of (D)	;		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr	
			Code	(Instr. 3, 4, and 5) V (A) (D)	Date Exercisable	Expiration Date	Title I	Amount or Number of Shares		

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Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Ferge Kristin A 6737 W. WASHINGTON STREET SUITE 2300 MILWAUKEE, WI 53214			EVP & Chief Accounting Officer				
Signatures							
/s/ Chad C. White, By Power of Attorney		09/16/2015					
<u>**</u> Signature of Reporting Person		Date					

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Represents the sale of shares to satisfy tax withholding obligations due upon the vesting of restricted stock previously granted to the reporting person. The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan previously adopted by the reporting person.

This transaction was executed in multiple trades at prices ranging from \$26.581 to \$26.585. The price reported above reflects the
(2) weighted average sale price. The reporting person hereby undertakes to provide upon request by the staff of the Securities and Exchange Commission, the issuer or a security holder of the issuer full information regarding the number of shares sold at each separate price.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.