Edgar Filing: AmpliPhi Biosciences Corp - Form 4

AmpliPhi Biosciences Corp Form 4 September 23, 2015

OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person * 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Kirk Julian P. Issuer Symbol AmpliPhi Biosciences Corp [APHB] (Check all applicable) (First) (Middle) (Last) 3. Date of Earliest Transaction (Month/Day/Year) _X__ Director 10% Owner Officer (give title _Other (specify C/O THIRD SECURITY, LLC, 1881 09/21/2015 below) below) **GROVE AVENUE** (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting RADFORD, VA 24141 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities 5. Amount of 6. Ownership 7. Nature of Security (Month/Day/Year) Execution Date, if TransactionAcquired (A) or Securities Form: Direct Indirect (Instr. 3) Code Disposed of (D) Beneficially (D) or Indirect Beneficial any (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) Owned (I) Ownership (Instr. 4) (Instr. 4) Following

Code V Amount (D) Price

(A)

or

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Reported

Transaction(s)

(Instr. 3 and 4)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number of	6. Date Exercisable and	7. Title and Amount of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	TransactionDerivative		Expiration Date	Underlying Securities
Security	or Exercise		any	Code	Securities	(Month/Day/Year)	(Instr. 3 and 4)

Edgar Filing: AmpliPhi Biosciences Corp - Form 4

(Instr. 3)	Price of Derivative Security		(Month/Day/Year)	(Instr.	,	Acquired or Dispos (D) (Instr. 3, 4 and 5)	ed of				
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Option to Purchase Common Stock	\$ 6.38	09/21/2015		A		16,200		<u>(1)</u>	09/21/2025	Common Stock	16,200

Reporting Owners

Reporting Owner Name / Addres	s	Relationships					
	Director	10% Owner	Officer	Other			
Kirk Julian P. C/O THIRD SECURITY, LL 1881 GROVE AVENUE RADFORD, VA 24141	с _х						
Signatures							
/s/ Julian P. Kirk 0	9/23/2015						

**Signature of

Reporting Person

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

Date

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The shares underlying this option shall vest and become exercisable in accordance with the following schedule: 6,500 shares will vest on an equal monthly basis over a four year period, commencing on August 3, 2015, the date of the Company's most recent annual meeting of

an equal monthly basis over a roal year period, commonening on reagist 5, 2015, the date of the company's most recent annual meeting of reagist 5, 2015, the date of the company's most recent annual meeting of reagist 5, 2015, the date of the company's most recent annual meeting of reagist 5, 2015, the date of the company's most recent annual meeting of reagist 5, 2015, the date of the company's most recent annual meeting of reagist 5, 2015, the date of the company's most recent annual meeting of reagist 5, 2015, the date of the company's most recent annual meeting of reagist 5, 2015, the date of the company's most recent annual meeting of reagist 5, 2015, the date of the company's most recent annual meeting of the company's most recent annual meet

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.