

ALKALINE WATER Co INC  
Form 4  
February 02, 2016

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
*See Instruction*  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
Number: 3235-0287  
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2005  
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burden hours per  
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**WRIGHT RICHARD A**

(Last) (First) (Middle)

**7730 E. GREENWAY ROAD,  
SUITE 203**

(Street)

**SCOTTSDALE, AZ 85260**

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading  
Symbol  
**ALKALINE WATER Co INC**  
**[ALKW]**

3. Date of Earliest Transaction  
(Month/Day/Year)  
**01/29/2016**

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

☐ Director ☐ 10% Owner  
☒ Officer (give title below) ☐ Other (specify  
below) **VP Secretary Treasurer**

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of<br>Security<br>(Instr. 3)         | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transaction<br>Code<br>(Instr. 8) | 4. Securities<br>Acquired (A) or<br>Disposed of (D)<br>(Instr. 3, 4 and 5) | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|-----------------------------------------------|-----------------------------------------|-------------------------------------------------------------|-----------------------------------------|----------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------|-------------------------------------------------------------------|
|                                               |                                         |                                                             | (A)<br>or<br>(D)                        | Code V Amount (D) Price                                                    |                                                                                                                    |                                                                      |                                                                   |
| Series A<br>Preferred<br>Stock <sup>(1)</sup> |                                         |                                                             |                                         |                                                                            | 10,000,000                                                                                                         | D                                                                    |                                                                   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of  
information contained in this form are not  
required to respond unless the form  
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SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
**(e.g., puts, calls, warrants, options, convertible securities)**

## Edgar Filing: ALKALINE WATER Co INC - Form 4

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2. Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4. Transaction<br>Code<br>(Instr. 8) | 5. Number of<br>Derivative<br>Securities<br>Acquired (A) or<br>Disposed of (D)<br>(Instr. 3, 4, and 5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) | 7. Title and Amount<br>Underlying Security<br>(Instr. 3 and 4) | 8. Amount<br>Underlying<br>Security<br>(Instr. 3 and 4) |
|-----------------------------------------------------|--------------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------|--------------------------------------|--------------------------------------------------------------------------------------------------------|----------------------------------------------------------------|----------------------------------------------------------------|---------------------------------------------------------|
|                                                     |                                                                    |                                         |                                                             | Code V (A) (D)                       |                                                                                                        | Date Exercisable Expiration Date                               | Title                                                          | Amount                                                  |
| Stock Options                                       | \$ 7.5 <sup>(4)</sup>                                              |                                         |                                                             |                                      |                                                                                                        | 10/09/2013 <sup>(2)</sup> 10/09/2023                           | Common                                                         | 60,000                                                  |
| Stock Options                                       | \$ 8.25 <sup>(4)</sup>                                             |                                         |                                                             |                                      |                                                                                                        | 05/12/2014 05/12/2019                                          | Common                                                         | 12,000                                                  |
| Stock Options                                       | \$ 7.275 <sup>(4)</sup>                                            |                                         |                                                             |                                      |                                                                                                        | 05/12/2014 <sup>(3)</sup> 05/12/2024                           | Common                                                         | 60,000                                                  |
| Stock Options                                       | \$ 5.75 <sup>(4)</sup>                                             |                                         |                                                             |                                      |                                                                                                        | 02/18/2015 02/18/2020                                          | Common                                                         | 16,000                                                  |
| Stock Options                                       | \$ 0.52                                                            | 01/29/2016                              |                                                             | A                                    | 1,500,000                                                                                              | 01/29/2016 10/07/2023                                          | Common                                                         | 1,500,000                                               |

## Reporting Owners

| Reporting Owner Name / Address                                               | Relationships                    |
|------------------------------------------------------------------------------|----------------------------------|
|                                                                              | Director 10% Owner Officer Other |
| WRIGHT RICHARD A<br>7730 E. GREENWAY ROAD, SUITE 203<br>SCOTTSDALE, AZ 85260 | X VP Secretary Treasurer         |

## Signatures

/s/ Richard A. Wright 02/02/2016

Signature of Reporting Person Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The Series A Preferred Stock has 10 votes per share and is not convertible into shares of common stock.
- (2) The stock options vest as follows: (i) 20,000 on October 9, 2013; and (ii) 10,000 per quarter until fully vested.
- (3) The stock options vest as follows: (i) 30,000 on May 21, 2014 and (ii) 30,000 on November 21, 2014.
- (4) Reflects a 50-1 reverse split effective as of December 29, 2015.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.