#### LSI INDUSTRIES INC

Form 4 April 21, 2016

#### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or

Form 5 obligations

may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

(Last)

(City)

1. Name and Address of Reporting Person \* **MEYER DENNIS B** 

(First)

(State)

Symbol

(Middle)

(Zip)

LSI INDUSTRIES INC [LYTS]

2. Issuer Name and Ticker or Trading

3. Date of Earliest Transaction (Month/Day/Year)

02173 GREEN TIMBER TRAIL 04/20/2016

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

Estimated average

burden hours per

Issuer

(Check all applicable)

X\_ Director 10% Owner Officer (give title Other (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

MINSTER, OH 45865

1.Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) (Instr. 3) anv

Execution Date, if (Month/Day/Year)

4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)

(A)

Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)

5. Amount of

Securities

(Instr. 4)

(D) or

Indirect (I)

6. Ownership 7. Nature of Form: Direct Indirect Beneficial Ownership (Instr. 4)

Code Amount (D) Price Common 04/20/2016 Α 13.12 Shares

267

25,901 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

#### Edgar Filing: LSI INDUSTRIES INC - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	io	of Deri Secu Acq (A) of Disp of (I (Inst	umber Expiration (Month/Day erivative eccurities ecquired A) or isposed		Date	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	7	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Option to Buy	\$ 17.6							<u>(1)</u>	08/24/2016	Common Shares	2,500
Option to Buy	\$ 17.55							<u>(1)</u>	11/15/2016	Common Shares	1,500
Option to Buy	\$ 19.76							<u>(1)</u>	08/24/2017	Common Shares	2,500
Option to Buy	\$ 19.68							<u>(1)</u>	11/15/2017	Common Shares	1,500
Option to Buy	\$ 8.98							<u>(1)</u>	08/22/2018	Common Shares	2,500
Option to Buy	\$ 4.6							<u>(1)</u>	11/20/2018	Common Shares	1,500
Option to Buy	\$ 8.4							<u>(1)</u>	08/21/2019	Common Shares	3,500
Option to Buy	\$ 7.2							<u>(1)</u>	11/19/2019	Common Shares	1,500
Option to Buy	\$ 5.21							<u>(1)</u>	08/19/2020	Common Shares	2,500
Option to Buy	\$ 8.92							<u>(1)</u>	11/18/2020	Common Shares	1,500
Option to Buy	\$ 6.68							<u>(1)</u>	11/17/2021	Common Shares	1,500

8. Price Derivat Securit (Instr. 5

(2)					
Option to Buy	\$ 6.58	<u>(1)</u>	08/15/2022	Common Shares	2,500
Option to Buy	\$ 6.28	<u>(1)</u>	11/15/2022	Common Shares	1,500

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
Reporting Owner Name / Address	Director	10% Owner	Officer	Other			
MEYER DENNIS B							
02173 GREEN TIMBER TRAIL	X						
MINSTER, OH 45865							

# **Signatures**

/s/ F. Mark Reuter, Attorney-in-Fact for Dennis B.
Meyer

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Non-Qualified stock options granted pursuant to the Company's 2003 Equity Compensation Plan. The options vest at a rate of 25% at the conclusion of each 90 day period following the grant date.
- (2) These holdings have been previously reported on Form 4.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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