LSI INDUSTRIES INC

Form 4 October 05, 2016

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

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OMB APPROVAL

response...

if no longer subject to Section 16. Form 4 or Form 5 obligations

may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

Shares

(Print or Type Responses)

1. Name and Address of Reporting Person * 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading OGARA WILFRED T Issuer Symbol LSI INDUSTRIES INC [LYTS] (Check all applicable) (First) (Middle) (Last) 3. Date of Earliest Transaction (Month/Day/Year) X_ Director 10% Owner Officer (give title Other (specify 8180 CORPORATE PARK 10/03/2016 below) DRIVE, SUITE 301 4. If Amendment, Date Original (Street) 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting CINCINNATI, OH 45242 (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired 6. Ownership 7. Nature of 5. Amount of Transaction(A) or Disposed of Security (Month/Day/Year) Execution Date, if Securities Form: Direct Indirect (Instr. 3) Code (D) Beneficially (D) or Beneficial any (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) Owned Indirect (I) Ownership Following (Instr. 4) (Instr. 4) Reported (A) Transaction(s) or (Instr. 3 and 4) Code V Amount (D) Price Common 10/03/2016 D 1,193 30,207

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. orNumber of Derivativ Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)			7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Option to Buy	\$ 17.55					<u>(1)</u>	11/14/2016	Common Shares	1,500
Option to Buy	\$ 19.76					<u>(1)</u>	08/24/2017	Common Shares	2,500
Option to Buy	\$ 19.68					<u>(1)</u>	11/15/2017	Common Shares	1,500
Option to Buy	\$ 8.98					<u>(1)</u>	08/22/2018	Common Shares	2,500
Option to Buy	\$ 4.6					<u>(1)</u>	11/20/2018	Common Shares	1,500
Option to Buy	\$ 8.4					<u>(1)</u>	08/21/2019	Common Shares	3,500
Option to Buy	\$ 7.2					<u>(1)</u>	11/19/2019	Common Shares	1,500
Option to Buy	\$ 5.21					<u>(1)</u>	08/19/2020	Common Shares	2,500
Option to Buy	\$ 8.92					<u>(1)</u>	11/18/2020	Common Shares	1,500
Option to Buy	\$ 6.68					<u>(1)</u>	11/17/2021	Common Shares	1,500
Option to Buy	\$ 6.58					<u>(1)</u>	08/15/2022	Common Shares	2,500

8. Price Derivat Securit (Instr. 5 (2)

(2)

Option to Buy

\$ 6.28

<u>(1)</u> 11/15/2022

Common Shares

1,500

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

OGARA WILFRED T 8180 CORPORATE PARK DRIVE SUITE 301 CINCINNATI, OH 45242

X

Signatures

/s/ F. Mark Reuter, Attorney-in-Fact for Wilfred T. O'Gara

10/05/2016

**Signature of Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Non-Qualified stock options granted pursuant to the Company's 2003 Equity Compensation Plan. The options vest at a rate of 25% at the conclusion of each 90 day period following the date of grant.

Date

(2) These holdings have been previously reported on Form 4.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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