## Edgar Filing: CVB FINANCIAL CORP - Form 144

CVB FINANCIAL CORP Form 144 November 15, 2016

UNITED STATES	ON APPRO	
SECURITIES AND EXCHANGE COMMISSION	OMB Number:	3235-0101
Washington, D.C. 20549	Expires:	May 31, 2017
	Estimated burden	l average
FORM 144	hours per response	1.00
NOTICE OF PROPOSED SALE OF SECURITIES	SEC USI	E ONLY
PURSUANT TO RULE 144 UNDER THE SECURITIES ACT OF 1933	DOCUMI SEQUEN	

CUSIP NUMBER

ATTENTION: Transmit for filing 3 copies of this form concurrently with either placing an order with a broker to execute sale or executing a sale directly with a market maker.

			(b) IRS (c) S.E.C. FILE IDENT. NO.		ILE NO	E NO WORK LOCATION		
CVB FINANCIAI	L CORP		95-36	29339 03	10140			
1 (d) ADDRESS OF ISSUER	STREET		CITY	ST	ΓΑΤΕ	ZIP CODE	(e) TELE NO	PHONE
	701 N Haven A	ive	Ontar	io C	ĊA	91764	9099804	030
2 (a) NAME OF PI FOR WHOSE ACC THE SECURITIES TO BE SOLD	COUNT	(b) RELATIONSH TO ISSUER		DRESS ST	REET	CITY	STATE	ZIP CODE
Harvey David C		EVP & COO	701 N	. Haven Ave	e.	Ontario	CA	91764
INSTRUCTION: The person filing this notice should contact the issuer to obtain the I.R.S. Identification Number and the S.E.C. File Number.								
3 (a) (b)		SEC USE ONLY	(c)	(d)	(e)	(f)	(g	
			Number of		Number			Name of
Title of the			Shares	Aggregate	e Shares	Appro	ximate	Each
	e and Address of Broker Through Whom the	Broker-Dealer	or Other Units	Market	or Other Units	Date of	of Sale S	Securities

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Securities To Be Sold	Securities are to be Offered or Each Market Maker	File Number	To Be Sold	Value	Outstanding	(See instr. 3(f))	Exchange
	who is Acquiring the Securities		(See instr. 3(c))	(See instr. 3(d))	(See instr. 3(e))	(MO. DAY YR.)	(See instr. 3(g))
Common Stock	Computershare 250 Royall St Canton MA 02021		15000	310350	108054993	11/14/2016	NASDAQ
INSTRUCT	IONS						
1. (a)			3. (a) Titl	e of the clas	s of securities	to be sold	
(b)			. ,			oker through w	hom the
	Identification Nu	ımber	secu	urities are in	tended to be s	old	
(c)	Issuer's S.E.C. fi	le	(c) Nur	nber of shar	es or other un	its to be sold (it	f debt
	number, if any				the aggregate		
(d)	) Issuer's address, zip code	including	(d) Aggregate market value of the securities to be sold as of a specified date within 10 days prior to filing of this notice				
(e)	) Issuer's telephon including area co		<ul> <li>(e) Number of shares or other units of the class outstanding, or if debt securities the face amount thereof outstanding, as shown by the most recent report or statement published by the issuer</li> <li>(f) Approximate date on which the securities are to be sold</li> </ul>				
2. (a)	Nama of parson	for whose				ne securities are ange, if any, on	
2. (a)	Name of person account the secu to be sold				tended to be s		
(b)		lationship					
	to the issuer (e.g	-					
	director, 10% sto						
	or member of im						
	family of any of	the					
	foregoing)						
(c)	) Such person's ac including zip cod						
Potential persons who are to respond to the collection of information contained in this form are SEC 1147						e SEC 1147	

Potential persons who are to respond to the collection of information contained in this form are SEC 1147 not required to respond unless the form displays a currently valid OMB control number. (08-07)

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## TABLE I — SECURITIES TO BE SOLD

Furnish the following information with respect to the acquisition of the securities to be sold and with respect to the payment of all or any part of the purchase price or other consideration therefor:

Title of the Class	Date you Acquired	Nature of Acquisition Transaction	Name of Person from Whom Acquired (If gift, also give date donor acquired)	Amount of Securities Acquired	Date of Payment	Nature of Payment
Common Stock	11/17/2010	Restricted Stock Issuance	Issuer	15000	<u>(1)</u>	<u>(1)</u>
Common Stock	3/21/2012	Restricted Stock Issuance	Issuer	5000	(1)	(1)
Common Stock	9/18/2013	Restricted Stock Issuance	Issuer	7500	(1)	<u>(1)</u>
Common Stock	11/19/2014	Restricted Stock Issuance	Issuer	15000	<u>(1)</u>	<u>(1)</u>

INSTRUCTIONS: If the securities were purchased and full payment therefor was not made in cash at the time of purchase, explain in the table or in a note thereto the nature of the consideration given. If the consideration consisted of any note or other obligation, or if payment was made in installments describe the arrangement and state when the note or other obligation was discharged in full or the last installment paid.

## TABLE II — SECURITIES SOLD DURING THE PAST 3 MONTHS

Furnish the following information as to all securities of the issuer sold during the past 3 months by the person for whose account the securities are to be sold.

Name and Address of Seller	Title of Securities Sold	Date of Sale	Amount of Securities Sold	Gross Proceeds
David Harvey 701 N Haven Ave Ontario CA 91764	Common Stock	9/18/2016	564	0 <u>(2)</u>

# **EXPLANATION OF RESPONSES:**

1. N/A

2. Proceeds used for taxes due on RSA vesting

## **REMARKS:**

#### **INSTRUCTIONS:**

See the definition of "person" in paragraph (a) of Rule 144. The person for whose account the securities to which this Information is to be given not only as to the person for whose account the securities are to be sold but also as to all other persons included in that definition. In addition, information shall be given as to sales by all persons whose sales are required by paragraph (e) of Rule 144 to be aggregated with sales for the account of the person filing this notice.

#### **ATTENTION:**

notice relates are to be sold hereby represents by signing this notice that he does not know any material adverse information in regard to the current and prospective operations of the Issuer of the securities to be sold which has not been publicly disclosed. If such person has adopted a written trading plan or given trading instructions to satisfy Rule 10b5-1 under the Exchange Act, by signing the form and indicating the date that the plan was adopted or the instruction given, that person makes such representation as of the plan adoption or instruction date.

November 15, 2016 DATE OF NOTICE /s/ David Harvey

### (SIGNATURE)

### DATE OF PLAN ADOPTION OR GIVING OF INSTRUCTION. IF **RELYING ON RULE 10B5-1**

The notice shall be signed by the person for whose account the securities are to be sold. At least one copy of the notice shall be manually signed. Any copies not manually signed shall bear typed or printed signatures.

**ATTENTION:** Intentional misstatements or omission of facts constitute Federal Criminal Violations (See 18 U.S.C. 1001)

SEC 1147 (02-08)