### Edgar Filing: SMITH W NORMAN - Form 4

SMITH W N	ORMAN										
Form 4 August 08, 20	)17										
FORM	Л	STATFS	SECUR	ITIFS AT	ND FXC	'HAI	NGE	COMMISSION	r	PPROVAL	
		STATES		hington, l			NGE V		OMB Number:	3235-0287	
Check this if no longe	ar .	TENT OF				CTAI		NEDCHID OF	Expires:	January 31, 2005	
subject to Section 16 Form 4 or	ō.			SECURI	TIES			NERSHIP OF	Estimated a burden hou response	average Irs per	
Form 5 obligation may contin <i>See</i> Instruct 1(b).	s Section 17(	a) of the I	Public Uti		ng Com	pany	Act o	ge Act of 1934, f 1935 or Sectio 40	n		
(Print or Type R	esponses)										
SMITH W NORMAN S			2. Issuer Name <b>and</b> Ticker or Trading Symbol ASTEC INDUSTRIES INC [ASTE]					5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First) (1	Middle)		Earliest Tra		- L		(Chec	k all applicabl	e)	
4101 JERON	IE AVENUE		(Month/Da 08/07/20	•				_X_ Director _X_ Officer (give below)		6 Owner er (specify	
CHATTANO	(Street) DOGA, TN 3740	)7		dment, Dato h/Day/Year)	e Original			6. Individual or Jo Applicable Line) _X_ Form filed by 0 Form filed by N Person	One Reporting Po	erson	
(City)	(State)	(Zip)	Table	I - Non-De	erivative S	ecuri	ties Aco	quired, Disposed of	f, or Beneficia	lly Owned	
1.Title of Security2. Transaction Date (Month/Day/Year)2A. Deemed Execution Date, if any		3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5) (A) or				5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	curities Form: Direct neficially (D) or yned Indirect (I) llowing (Instr. 4) ported ansaction(s)				
Common				Code V	Amount	(D)	Price	(Instr. 3 and 4) 3,588 (1)	D		
Stock								<u> </u>	D		
Common Stock	08/07/2017			G	750 <u>(3)</u>	D	\$0	102,046 <u>(3)</u>	I	W.N. Smith Living Trust	
Common Stock	08/08/2017			G	250 <u>(3)</u>	D	\$ 0	101,796 <u>(3)</u>	I	W. N. Smith Living Trust	

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative	2. Conversion	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if	4. Transactio	5. onNumber	6. Date Exer Expiration D		7. Title and A Underlying S		8 I
Security	or Exercise		any	Code	of	(Month/Day/	(Year)	(Instr. 3 and	4)	S
(Instr. 3)	Price of Derivative Security		(Month/Day/Year)	(Instr. 8)	Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)					(
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Phantom Stock (2)	<u>(2)</u>					(2)	(2)	Common Stock	25,586.0785 (2)	

## **Reporting Owners**

Reporting Owner Name / Address		Rela		
	Director 10% Owner Officer		Officer	Other
SMITH W NORMAN 4101 JEROME AVENUE CHATTANOOGA, TN 37407	Х		Vice Chairman	
Signatures				
Robert Taylor, attorney in fact Smith	for W. N	orman	08/08/2017	

# \*\*Signature of Reporting Person Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Date

- (1) Holdings are Restricted Stock Units ("RSUs") that convert to common stock on a one-for-one basis at a later date.
- (2) Phantom shares are held in a SERP and are payable in cash following the reporting person's termination of employment from Astec.
- (3) Transaction represents gift of Astec stock to reporting person's grandchildren.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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