CME GROUP INC.

Form 3 April 06, 2015

# FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement CME GROUP INC. [CME] Tobin Jack J (Month/Day/Year) 04/01/2015 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 20 S. WACKER DRIVE (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) Director 10% Owner \_X\_ Form filed by One Reporting \_X\_\_ Officer \_ Other Person CHICAGO, ÂILÂ 60606 (give title below) (specify below) Form filed by More than One MD & CAO Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 4. Nature of Indirect Beneficial 1. Title of Security 2. Amount of Securities Beneficially Owned Ownership (Instr. 4) Ownership (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Common Stock Class A D Â 10,853 Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

currently valid OMB control number.

1. Title of Derivative Security	2. Date Exercisab	ole and	3. Title and A	mount of	4.	5.	6. Nature of
(Instr. 4)	Expiration Date		Securities Un	derlying	Conversion	Ownership	Indirect Beneficial
	(Month/Day/Year)		Derivative Se	curity	or Exercise	Form of	Ownership
			(Instr. 4)		Price of	Derivative	(Instr. 5)
	Data Evanaigable	Evaluation	Title	A manuat an	Derivative	Security:	
	Date Exercisable		Title	Amount or Number of	Security	Direct (D)	
		Date		Number of		or Indirect	

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			Shares		(I) (Instr. 5)	
Non-Qualified Stock Option (right to buy)	09/15/2014 <sup>(1)</sup> 09/15/20	Common 20 Stock Class A	1,100	\$ 54.3	D	Â
Non-Qualified Stock Option (right to buy)	09/15/2015 <sup>(2)</sup> 09/15/20	Common 21 Stock Class A	4,940	\$ 54.37	D	Â
Non-Qualified Stock Option (right to buy)	09/15/2013 <sup>(3)</sup> 09/15/20	Common 19 Stock Class A	1,780	\$ 56.87	D	Â
Non-Qualified Stock Option (right to buy)	07/12/2007(4) 01/02/20	Common 17 Stock Class A	3,750	\$ 80.78	D	Â
Non-Qualified Stock Option (right to buy)	06/16/2013 <u>(5)</u> 06/16/20	Common 18 Stock Class A	2,325	\$ 83.88	D	Â
Non-Qualified Stock Option (right to buy)	09/14/2012 <u>(6)</u> 09/14/20	Common 17 Stock Class A	1,525	\$ 109.72	D	Â

## **Reporting Owners**

Reporting Owner Name / Address	Relationships				
•	Director	10% Owner	Officer	Other	
Tobin Jack J					
20 S. WACKER DRIVE	Â	Â	MD & CAO	Â	
CHICAGO, IL 60606					

# **Signatures**

By: Margaret Austin Wright For: Jack J.
Tobin

04/06/2015

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) As of 9/15/2013, this option vested with respect to 100% of the granted number of shares covered by the option.
- (2) These options were granted on September 15, 2011. They vest over a four-year period, with 25% vesting one year after the grant date and 25% vesting on that same date in each of the following three years, subject to acceleration or termination in certain circumstances.
- (3) As of 9/15/2013, this option vested with respect to 100% of the granted number of shares covered by the option.
- (4) As of 7/12/2007, this option vested with respect to 100% of the granted number of shares covered by the option.
- (5) As of 6/16/2013, this option vested with respect to 100% of the granted number of shares covered by the option.
- (6) As of 9/14/2012, this option vested with respect to 100% of the granted number of shares covered by the option.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

Reporting Owners 2

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