Edgar Filing: AECOM TECHNOLOGY CORP - Form 3

AECOM TECHNOLOGY CORP Form 3 March 30, 2007 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB APPROVAL FORM 3 Washington, D.C. 20549 OMB

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

3235-0104 Number: January 31, Expires: 2005 Estimated average burden hours per response... 0.5

(Print or Type Responses)

| 1. Name and A Person <u>*</u> FORDY | | | 2. Date of Event R Statement (Month/Day/Year) | 3. Issuer Name and Ticker or Trading Symbol AECOM TECHNOLOGY CORP [ACM] | | | | | | | |
|---|--------------------------|--|---|---|---|--|---|--|---|---|--|
| (Last) | (First) | (Middle) | 03/30/2007 | 4. Relationship of Reporting Person(s) to Issuer | | | | 5. If Amendment, Date Original Filed(Month/Day/Year) | | | |
| 130 MAIN | STREET | | | | | | | | (| | |
| (Street) | | | | (Check all applicable) <u>X</u> Director <u>X</u> 10% Owner <u>Officer</u> <u>Other</u> (give title below) (specify below) | | | 6. Inc | 6. Individual or Joint/Group | | | |
| NEW CANAAN, CT 06840 | | | | | | | | wner Filing _X_ I) Perso | Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One | | |
| | | | | | | | | | rting Po | | |
| (City) | (State) | (Zip) | Tal | ble I - N | on-Derivati | Derivative Securities Beneficially Owned | | | | | |
| 1.Title of Security (Instr. 4) | | | Ben | 2. Amount of Securities Beneficially Owned (Instr. 4) | | Forn Dire or In (I) | nership (| (Instr. 5) | | | |
| Reminder: Rep owned directly | | | ach class of securitie | s benefici | ally SI | EC 14 | 473 (7-02) | | | | |
| | Perso inforr requi | ons who res nation cont red to respo | pond to the colled ained in this form and unless the for MB control numb | are not rm displa | ays a | | | | | | |
| , | Table II - De | rivative Secu | rities Beneficially C | Owned (e. | g., puts, calls, | warr | rants, optio | ns, conver | tible s | securities) | |
| 1. Title of Der (Instr. 4) | ivative Securi | Expir | te Exercisable and ration Date /Day/Year) Expiration | Securitie | nd Amount of s Underlying /e Security | | 4. Conversion or Exercise Price of Derivative Security | e Form o Derivat | of tive y: | 6. Nature of Indirect Beneficial Ownership (Instr. 5) | |

Title

Amount or

Number of

Shares

or Indirect

(Instr. 5)

(I)

Exercisable Date

Edgar Filing: AECOM TECHNOLOGY CORP - Form 3

| Class G Convertible | $\hat{\mathbf{A}}$ (2) | $\hat{\mathbf{A}}$ (2) | Common | 40.000 | \$ (2) | т | See Footnote 1 ⁽¹⁾ |
|---------------------|------------------------|------------------------|--------|--------|---------------|---|-------------------------------|
| Preferred | A <u></u> | A <u></u> | Common | 40,000 | φ <u>(-)</u> | 1 | |

Reporting Owners

| Relationships | | | | | | | |
|--|----------------|--|--|--|--|--|--|
| Director | 10% Owner | Officer | Other | | | | |
| X | ÂX | Â | Â | | | | |
| | | | | | | | |
| James H. Fordyce, By: Michael C. Salvator, Attorney-in-Fact 03/30/200 | | | | | | | |
| **Signature of Reporting Person | | | | | | | |
| | X C. Salvat | Director 10% Owner X X C. Salvator, | Director 10% Owner Officer X X Â C. Salvator, | | | | |

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Securities owned by J. H. Whitney VI, L.P. ("JHW VI"). The undersigned is a managing member of J. H. Whitney Equity Partners VI, LLC, the general partner of JHW VI, and has an interest in a limited partner of JHW VI. The undersigned may be deemed to share voting and dispositive power with respect to such securities. The undersigned disclaims beneficial ownership of such securities except to the extent of his proportionate interest.
- (2) The shares of Class G Convertible Preferred Stock are convertible into 3,988,831 shares of Common Stock. Such conversion will occur automatically upon closing of a "Qualified Public Offering" (as defined in the issuer's Certificate of Incorporation).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.